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# Linear depth inversion sensitivity to wave viewing angle using synthetic optical video 2

Eleonora Perugini a\*, Luciano Soldini a, Margaret L. Palmsten b, Joseph Calantoni b & Maurizio Brocchini a

(a) Dipartimento di Ingegneria Civile, Edile e Architettura, Università Politecnica delle Marche (Ancona, Italia) e.perugini@pm.univpm.it; l.soldini@univpm.it; m.brocchini@univpm.it; (b) Marine Geosciences Division, U.S. Naval Research Laboratory (Stennis Space Center, MS USA) margaret.palmsten@nrlssc.navy.mil; Joe.Calantoni@nrlssc.navy.mil.

6 7 8

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#### Abstract 10

11 The accuracy of bathymetry estimated by optical implementations of remotely sensed depth inversion 12 algorithms is in part related to the presence of optical wave signal in the images, which depend nonlinearly on the water surface slope. The signal to noise ratio in video images of waves decreases under large 13 14 azimuthal angles between the camera and wave propagation direction, which can result in poor 15 bathymetry estimation. We quantified errors in depth estimation by analysing the sensitivity of the optical 16 implementation of cBathy v1.1, a widely applied algorithm for depth inversion in coastal regions, to wave 17 viewing angle using synthetic tests. We found relative root mean square errors between 0.02 and 0.08 18 when the azimuthal angle between the camera look direction and wave approach was less than 75°. 19 However, for higher azimuthal angles, the wave signal was dominated by short wavelengths in the optical 20 images lead in larger depth errors (relative root mean square error = 0.2). We also investigated the 21 sensitivity of the initial guess of the wave direction in the nonlinear solution used by the cBathy v1.1 22 algorithm to estimate water depth. Observed water depth errors caused by wave viewing angle or initial guess of the wave direction are shown in part to be related to errors in the estimates of frequency and 23 24 wavenumber. The synthetic methodology and the results of the sensitivity analysis can be generalized to 25 test the accuracy of depth estimation in shore-based video monitoring systems, to design future fixed 26 camera coastal video monitoring stations or to drive the choice of the better viewing angles using small 27 Unmanned Aerial Systems (sUAS) using the Matlab Toolbox we developed.

#### **Keywords** 28

29 Remote Sensing; Depth-inversion method; Bathymetry estimation; Video imaging.

<sup>\*</sup> Corresponding author.

E-mail address: e.perugini@pm.univpm.it, Tel: +39 071 220 4912, Fax: +39 071 220 4525.

#### 30 **1.** Introduction

Optical remote sensing techniques, especially video imagery, are widely employed to monitor the coastal evolution [1, 2]. Optical imagery offers the unique capability to collect high volumes of data at high temporal and spatial resolution with relatively low cost and over long periods. The underlying concept of video imaging in coastal regions is that any visually discernible physical phenomenon can be investigated [1, 2]. Since many nearshore processes have optical signatures, video-monitoring techniques provide useful indirect measurements of the nearshore hydrodynamic and morphological processes.

Waves are imaged as sunlight reflected from the sloped sea surface reaches the camera sensor and is recorded as image intensity. The radiance reaching the camera sensor from a point on the sea surface, *I*, depends on the sky conditions, the light reflected off the sea surface, as well as the light upwelled from below the sea surface [e.g. 3, 4, 5, 6]. The variation of the wave slope between wave crests and troughs produces the main time-dependent signal in optical imaging of surface gravity waves. *I* can be expressed as the sky radiance distribution, *L*, modified by the Fresnel reflection coefficient, *R*,

$$I = L R, \tag{1}$$

where *L* depends on the brightness of the sky and of the angle of incidence of the light. The sky radiance
distribution may be modelled in different ways for different sky conditions [7]. In this manuscript, we
consider a uniform sky condition for simplicity, which is equivalent to considering only the Fresnel
coefficient, *R*, [5],

$$I = R = \frac{1}{2} \left[ \frac{\sin^2(\omega - \omega')}{\sin^2(\omega + \omega')} + \frac{\tan^2(\omega - \omega')}{\tan^2(\omega + \omega')} \right].$$
 (2)

The Fresnel reflection coefficient describes the reflectivity of the surface for an unpolarised illumination source where  $\omega$  is the angle of incidence of the sky radiance with respect to the sea surface normal. Therefore,  $\omega$  is equal to the angle of the camera viewing direction with respect to the sea surface normal, while  $\omega'$  is the angle of refraction related to  $\omega$  by Snell's law,  $\sin(\omega) = 1.34 \sin(\omega')$ . The sea surface can be defined by the local wave slope, hence it is possible to calculate the vector normal to the wave sea surface,

48

$$r_n = \frac{r_n'}{\|r_n'\|}$$
, where  $r_n' = \left[\frac{\partial \eta}{\partial x}, \frac{\partial \eta}{\partial y}, 1\right]$ . (3)

56 The camera viewing direction,

 $r_c = \left[ -\cos\tau\cos\alpha_c, -\cos\tau\sin\alpha_c, \sin\alpha_c \right], \tag{4}$ 

depends on both camera tilt from horizontal,  $\tau$ , and azimuth,  $\alpha_c$ , where the latter is measured from the xaxis in the counter-clockwise direction. Therefore, the incident ray,  $r_i$ , can be defined knowing the surface normal and the extrinsic camera parameters as,

$$r_i = 2r_n(r_n \cdot r_c) - r_c. \tag{5}$$

62 Then, the incidence angle,  $\omega$ , can be defined as[3],

64

$$\omega = \cos^{-1} \left( r_n \cdot r_c \right). \tag{6}$$

The highest optical contrast occurs when the camera looks in the direction of wave propagation ( $\theta - \alpha_c$ = 0°, where  $\theta$  is the incident wave direction), while the waves are less visible when the camera looks along the crest ( $\theta - \alpha_c = 90^\circ$ ), where surface gravity wave slope is less than the direction of propagation. Images looking along the wave crest may be dominated by high frequency waves rather than the dominant component of the wave spectrum [3, 4].

70 The loss of wave signatures in the images may influence many algorithms that exploit imaging of waves. 71 One of the most important morphological measurements that can be derived from optical determination of 72 wave characteristics is the nearshore bathymetry. The importance of nearshore bathymetry stems from its 73 influence on nearshore physical processes. For example, prediction skill of forecasting models increases 74 with more accurate bathymetric boundary conditions [e.g. 8, 9, 10]. Quantifying bathymetric change is 75 crucial to understand flood risk exposure [11] and erosion and accretion processes of the beach, as well as 76 to support navigation and engineering projects. Monitoring the beach behavior under both seasonal and 77 extreme events is also important to facilitate coastal management decisions [12]. Yet, traditional methods 78 for surveying nearshore bathymetry are expensive and time-consuming, resulting in spatial and temporal 79 resolution lower than necessary for observational and modelling needs. On the contrary, remote sensing 80 techniques can indirectly estimate the water depth and fill spatial and temporal gaps in surveyed 81 bathymetry [13].

82 Depth-inversion is one of the most frequently used video-based remote sensing methods to estimate 83 nearshore bathymetry in the presence of surface gravity waves. The method is based on the inversion of 84 the dispersion relationship and exploits the wave celerity observed by optical imagery in intermediate or 85 shallow water depths. This approach is based on the linear [e.g. 14], nonlinear [e.g. 15], or extended 86 Boussinesq dispersion equations [e.g. 16]. Wave celerity estimates needed for the inversion can be 87 conducted in the time domain [e.g. 17] or the frequency domain [e.g. 14]. The temporal method computes 88 a time-domain cross-correlation between neighboring positions to estimate the wave celerity [17], while 89 the spectral method uses a cross-spectral correlation to estimate the wave celerity [18]. Both approaches 90 result in depth estimates with similar accuracy using synthetic optical video data [19].

Optical applications of remotely sensed depth inversion methods require video images of waves.
Therefore, accuracy of the bathymetric estimation depends partly on the ability to distinguish the wave

signal, which is dependent on viewing angle. Typically, shore based video monitoring stations have a fixed
azimuthal direction that is nominally in the direction of wave propagation. However, shore based
monitoring stations mounted at atypical locations (e.g., cameras mounted on a jetty, headland, or satellite
video and unmanned aircraft system (UAS) looking perpendicular to the direction of wave propagation)

97 may result in optical image with a lower signal to noise ratio.

98 The effects of azimuthal viewing angle on depth inversion algorithms are not documented in literature; 99 therefore, this Short Communication aims to quantify the sensitivity of a widely used depth inversion 100 algorithm, cBathy, to the wave-viewing angle. We chose to conduct the analysis using synthetic data to 101 avoid the complexities of real imagery such as breaking waves, irregular bathymetry, currents, non-uniform 102 lighting conditions and, sometimes, reflection or diffraction of waves and interaction with engineered 103 structures, such as harbors and jetties that violate assumptions of cBathy. Synthetic tests simplify the 104 problem and focus the analysis on the role of light reflection off the water surface and wave viewing angle 105 on error in estimated water depth as well as estimated frequency and wavenumber. The method for 106 creating synthetic imagery is presented in Section 2 along with a review of the cBathy algorithm. In Section 107 3, we illustrate the application of synthetic tests to study the influence of wave viewing direction on water 108 depth estimation and we discuss the results and the role of errors in frequency and wavenumber. General 109 conclusions are provided in Section 4. In the appendix A we discuss the sensitivity of cBathy v1.1 to the 110 initial guess of the wave direction necessary for the nonlinear solver. Additionally, we provide a freely available toolbox for generating synthetic imagery, so that users can generalize results to their own coastal 111 112 video monitoring stations.

## 113 **2.** Methods

The procedure used to build synthetic data consists in four main steps. First, frequency-directional spectra are defined. Then, synthetic sea surface elevation time series are generated based on the previously defined input spectra. Afterwards, a time series of synthetic imagery from simulated reflected radiance are built for the simulated sea surface [7, 20]. Finally, the synthetic optical time series are used as input to a widely used depth inversion algorithm to estimate the bathymetry. The Matlab© functions we developed to model the synthetic optical time series, based on the work of Chickadel [21], are available (https://github.com/Coastal-Imaging-Research-Network/station-design-toolbox).

### 121 2.1 Synthetic imagery

We considered two types of input spectra to generate the synthetic imagery that included real spectra (Table 1) representative of protected seas (e.g., Adriatic Sea), characterized by bi-modal spectra [22], and analytic frequency-directional spectra (Table 2) to analyze different conditions (different camera height, tilt 125 or spreading parameter). The first type of spectra used to develop synthetic imagery comes from the 126 EsCoSed field experiment, performed at the Adriatic Sea [23], and are representative of the Adriatic winter 127 storm conditions. The observations were collected with a sentinel Acoustic Doppler Current Profile (ADCP) 128 deployed in about 7.3 m water depth and 850 m offshore of the mouth of the Misa River, Senigallia, Italy (43° 43.588' N, 13° 13.941' E). The spectra were statistically estimated from ADCP velocity observations. We 129 focused on a storm that occurred on 25 January 2014, and we selected spectra around the peak of the 130 131 storm during which the wave height and energy were maximum (E01-E02-E03-E04). Then, we selected one 132 spectrum related to the mean storm energy (E07). We manually modified the peak direction of each 133 selected spectrum, shifting the wave angle but preserving the spectral shape and energy (Figure 1a). In our 134 analyses, the wave propagation direction,  $\theta$ , is measured from the x-axis (considered the cross-shore 135 direction) in the counter-clockwise direction. The second type of spectra was generated from an analytic 136 frequency-directional spectra,  $S(f,\theta)$ , which was expressed as

137 
$$S(f,\theta) = E(f)D(f,\theta), \tag{7}$$

138 where E(f) is the one dimensional, frequency dependent wave spectrum and  $D(f,\theta)$  is the directional 139 distribution, which depends on both frequency, *f*, and direction,  $\theta$ . The shape of the frequency spectrum (*E* 

140 (f) is defined in terms of the significant wave height,  $H_s$ , and the mean zero-upcrossing period,  $T_z$ , by

fitting the JONSWAP spectrum. For E(f), the formulation of Carter [24] was used, where  $T_p = 1.286T_z$  is the spectral peak period,

143 
$$E(f) = G(f)0.0749H_s^2T_z(T_z f)^{-5}\exp\left[-0.4567/(T_z f)^4\right],$$
 (8)

144 
$$G(f) = 3.3^{\exp\left[-\frac{(1.286T_z f - 1)^2}{2\sigma^2}\right]},$$
 (9)

145 
$$\sigma = \begin{cases} 0.07 \ for \ 1.286T_z f < 1\\ 0.09 \ for \ 1.286T_z f > 1 \end{cases}$$
(10)

146 The direction distribution,  $D(\theta)$ , depends only on the wave direction,  $\theta$ ,

147 
$$\begin{cases} D(\theta) = D_0 \cos^{2s} \left[ \theta - \theta_P \right] & if \ \left| \theta - \theta_P \right| < \pi/2 \\ 0 & otherwise \end{cases},$$
(11)

148 
$$D_0 = \frac{1}{\pi^{0.5} \Gamma(s+1/2)},$$
 (12)

where  $\theta_P$  is the spectral peak direction,  $\Gamma$  is the Gamma Function,  $D_0$  is the normalization factor and *s* is the spreading parameter [25, 26]. The parameters used for the analytical spectra are summarized in Table 2 and an example of the resulting frequency-directional spectra is shown in Figure 1b. We define two general cases, characteristic of the Central Adriatic wave climatology, but the results may be generalized for other sites. The first case used  $H_s = 3.0 \text{ m}$  and  $T_p = 7 \text{ s}$ , typical of storm waves in the Adriatic approaching the Italian coast from ESE (A10-A11-A12-A13). The second case used  $H_s = 2.5 \text{ m}$  and  $T_p = 10 \text{ s}$ , typical of storm waves approaching from NNE (A20 A21-A22-A23). We generated wave spectra for a range of peak

156 directions.

For each defined spectrum, synthetic sea surface time series have been generated within a simulated camera field-of-view following Percival [27] and Scarsi [26]. The sea surface elevation time series,  $\eta(x,y,t)$ , can be represented as

160

169

$$\eta = i fft(W), \tag{13}$$

161 where ifft(W) is the inverse Fourier transform and the Fourier series, W, is defined as

162 
$$W = A_w(\cos(\varphi) + i\sin(\varphi)) + A_n(\cos(\varphi_n) + i\sin(\varphi_n)), \tag{14}$$

163 where  $A_w$  is the amplitude of the wave signal in the frequency domain and is related to the input spectral 164 characteristics;  $A_n$  is the amplitude of the noise signal in the frequency domain and is proportional to the 165 noise to signal ratio, and  $\varphi$  and  $\varphi_n$  are the phase of the harmonic variability of the waves and noise, 166 respectively. Since the spectrum is independent of the phase of the harmonic variability, the phases,  $\varphi$  and 167  $\varphi_n$ , are arbitrary, hence we computed them with a random function,

168 
$$\varphi = \varphi_r - k x \cos \theta - k y \sin \theta, \tag{15}$$

$$\varphi_n = \varphi_{r,n},\tag{16}$$

170 with random values,  $0 \le \varphi_r < 2\pi$ ,  $0 \le \varphi_{r,n} < 2\pi$ , and k, the wavenumber. The approach allows for an 171 infinite number of possible time series to be generated with the same input spectrum. We generated a 172 time series for each wave direction, then, we summed for all wave directions. We considered only the real 173 part for the first  $N_s$  elements of the transformed series.

Considering the slope of the synthetic sea surface, we generated synthetic optical time series corresponding to the simulated wave time series using the radiance modulation model [7] described in (1) – (6). We simulated optical images of linear, non-breaking waves propagating over a flat bottom in intermediate water depth, where depth inversion algorithms were expected to work well.

178 2.2 Depth inversion

179 The optical time series generated in Section 2.1 were used as input to the well-known cBathy v1.1

180 depth inversion algorithm [28]. We chose this algorithm because it is open source

181 (https://github.com/Coastal-Imaging-Research-Network/cBathy-toolbox) and has become one of the most

182 widely used depth inversion algorithms [e.g. 29, 30, 31, 32, 33, 6, 19, 34, 35, 36].

183 The cBathy algorithm is based on the inversion of the linear dispersion equation, that relates the water 184 depth to the wave celerity, without a current present,

185

$$\Omega^2 = gk \tanh(kh),\tag{17}$$

186 where  $\Omega$  is the radian wave frequency, k is the wavenumber, h is the water depth and g is the acceleration 187 due to gravity. The local water depth was estimated from a suite of observed wave frequency and 188 wavenumber pairs. Therefore, accurate bathymetry estimation is dependent upon accurate estimation of 189 both frequency and wavenumber.

190 Execution of the cBathy v1.1 algorithm consists of three steps. The first step carries out a 191 frequency-dependent analysis and estimates the (usually four) most coherent pairs of wave frequencies 192 and wavenumbers. Following Plant et al. [18], for each analysis point, the algorithm considers a subgrid in 193 which the dominant frequencies are estimated by Fourier transform of the input optical signal and the 194 cross-spectral matrix is computed between all pixel pairs in the subgrid. The cross-spectral matrix is filtered 195 using spatial eigenvector analysis to identify the dominant spatial phase of the waves. The corresponding 196 wavenumbers are derived by fitting the observed spatial phase structure to a forward model. Initial guesses 197 at the value of wavenumber and wave direction (seed angle) are necessary for this nonlinear fit. The 198 second step in the cBathy v1.1 algorithm combines the frequency-wavenumber pairs from Step 1 to give a 199 single depth estimate. At each analysis point, the algorithm chooses the f - k pairs from within the subgrid 200 to use in the depth estimate by weighting by distance from the analysis point and skill of the modelled 201 wave phase. Then, the algorithm calculates the depth as the value that yields the best weighted nonlinear 202 fit between the first step f - k pairs and the dispersion (17). The third step uses a Kalman filter to smooth 203 and average the estimated depth results. The third step is neglected in this analysis.

### 204 2.3 Example imagery and depth inversion

An example image and depth inversion is shown for a 1 km by 1 km region with 3 m resolution (Figure 2). The camera height was 25 m and the water depth was constant and equal to 7 m. The camera was located at the origin of coordinate system and looks along the x-direction, but the tilt and azimuth changed over the synthetic image so that the angular difference between the wave and camera view directions varied. In the example imagery, we varied the direction of wave propagation that included, 0° or from the x-direction (Figure 2a and 2d), 90° or from the y-direction (Figure 2c and 2f) and 45° (Figure 2b and 2e).Waves approaching from the x-direction have the convention,  $\theta - \alpha_c = 0^\circ$ .

The effects of the variation in camera tilt and camera azimuthal angles on the optical imaging of surface gravity waves were summarized in Section 1. The tilt variation effects manifest as variation of intensity magnitude so that when moving closer to the origin of the camera system, the tilt angle increased and the intensity magnitude decreased (Figure 2a, 2b, 2c). The azimuth variation effects have been observed by 216 changing the wave direction,  $\theta$ , that is equivalent to changes in azimuth direction,  $\alpha_c$ . Qualitatively, synthetic imagery (Figure 2) demonstrates the effect of varying  $\theta - \alpha_c$  on both image intensity and 217 218 bathymetric estimation. By increasing the angular difference, the longer wavelength waves are less visible 219 in the optical image, and wave crests propagating parallel to the viewing direction are dominated by high 220 wavenumbers. Likewise, the estimated water depth is more variable in regions dominated by high 221 wavenumbers which fall closer to the deep water limit. For example, in Figure 2a and 2d, the error was 222 largest close to the y-axis, where the angular difference was maximum (90°), and the error decreases 223 towards the x-axis, where the angular difference was minimum (0°). In Figure 2b and 2e, the bathymetric 224 error was lower because the angular difference did not exceed 45°. In Figure 2c and 2f, the maximum error, 225 corresponding to the maximum angle difference, was close to the x-axis.

226

## 227 **3. Results and Discussion**

228 We used the synthetic procedure illustrated in Section 2 to perform a sensitivity analysis of wave 229 viewing direction on water depth estimation. We considered an analysis domain of 200 m by 200 m with 3 230 m resolution. The camera was located at the origin of coordinate system and looking along the x-direction. 231 Within the domain, we assumed a fixed camera tilt and azimuth angle to focus on the effects of the variation of the azimuthal wave viewing direction. The azimuthal wave viewing angle,  $(\theta - \alpha_c)$  was 232 233 progressively increased from 0° to 90°, by changing the peak wave direction, over the small analysis 234 domain. The camera tilt was set to 14° or 18° and the camera height set to 25 m or 40m, respectively. In 235 one case the tilt was set to 45°. The input bathymetry had a constant depth of 7 m or 10 m. For each 236 combination of input parameters listed in Table 1-2, we computed ten random realizations of the sea 237 surface, optical image, and estimated the water depth, following the methodology outlined in Section 2. 238 Then, for each realization, the relative error in depth estimation was quantified by comparing the 239 estimated bathymetry to the water depth used to create the synthetic sea surface:

240 
$$relative \ error = \sqrt{\frac{\sum_{n=1}^{N} |(h_E - h_T)/h_T|^2}{N}},$$
(18)

where  $h_E$  is the estimated water depth and  $h_T$  is the true water depth, and N is the number of comparison values (number of grid points). Finally, the mean relative error and the corresponding standard deviation were calculated over the ten realizations to reduce the noise due to the random phases (Figure 3-4). Consistent with our understanding of the effects of azimuthal viewing angle on optical imaging of waves [4, 5], the variation of  $(\theta - \alpha_c)$  influenced estimates of water depth. 246 For analytical spectra, the relative errors for angular differences of less than 75° were almost constant 247 and low (relative error order 0.02 – 0.08) over the horizontal viewing angle variation (Figure 3a, 3b). Within 248 this range of viewing angles, the magnitude of error in bathymetry estimation was consistent with the error 249 reported in observational studies when algorithm assumptions are not violated [14, 6, 34]. For larger 250 angular differences, the waves are looked mainly along the crest and the optical images are dominated by 251 high frequency waves rather than the dominant component of the wave spectrum heading to a noisy signal 252 for the depth inversion algorithm (see Section 1). The presence of short wavelengths in the optical images 253 lead to errors in depth estimation that rapidly increase until a relative error order 0.2 (Figure 3).

254 Again, using analytical spectra, we considered several other influences on estimated water depth 255 including camera height, camera tilt angle, water depth and directional spreading of the analytical spectra. 256 By considering a specific area of the field of view, changing the camera height is equivalent to changing the 257 tilt angle and vice versa. The camera heights and the relative tilt angles considered here did not affect the 258 general reconstruction of the bathymetry (see differences between case A20 and case A21 in Figure 3a). 259 Considering a fixed camera height and a variable tilt angle is equivalent to modifying the distance from the 260 camera location of the observed area in x direction. We analyzed several values of tilt for a fixed camera 261 height (not shown) but we reported only the case in which the camera looks straight down (A23) because it 262 could be relevant for sUAS. In all cases we did not find any relevant errors on bathymetry estimation in 263 relation to the tilt variation. In fact, in the optical model that we used, the tilt variation affects only the 264 intensity magnitude (Figure 2), which is then normalized by the depth inversion algorithm. The range of 265 waters depths, and normalized water depths (kh) considered here had a minimal effect on the relative depth error, in particular for  $(\theta - \alpha_c)$  less than 75° (compare cases A20 and case A22 Figure 3a). The water 266 267 depths were in fact chosen deep enough to avoid breaking and nonlinear effects but not too deep to make 268 the dispersion relationship insensitive to depth. Instead, the directional spreading somewhat affected the 269 depth inversions, particularly as  $(\theta - \alpha_c)$  increased (Figure 3b). When directional spreading was small, the 270 depth estimate from the inversion was insensitive to  $(\theta - \alpha_c)$  (see case A13 in Figure 3b).

271 Error analysis with experimental spectra produced similar results to the analytical spectra (rapidly increasing error for  $(\theta - \alpha_c)$  greater than 75°), with a few notable differences (Figure 4). Our experimental 272 273 input spectra were less directionally spread than the analytical spectra. In most cases the error magnitude 274 of the experimental cases was similar to the error magnitude of the analytical cases with low directional 275 spreading (A12-A13). The cases E01, E02, E03, E04 error increases as a function of  $(\theta - \alpha_c)$  in a way similar 276 to the analytical cases while a different behavior has been observed for case E07 (Figure 4, green line). This 277 last case is characterized by shorter peak wave period, and in turn by larger value of kh, than the other 278 cases (see Table 1). We found that the anomalous shape is related to sampling problems inside the cBathy 279 v1.1 due to the shorter waves of case E07. To avoid this problem, we used the cBathy v1.2 that improves 280 the nonlinear fit for short waves (see Figure 4).

Since the depth inversion estimation depends upon accurate estimates of frequency and wave number pairs, we compared the f - k pairs, estimated from cBathy v1.1, with the linear dispersion relationship relative to the spectrum at the specific depth (Figure 5). The cBathy v1.1 derived frequencies and wavenumbers come from the four most coherent frequency-wavenumber pairs obtained in Step 1 of the algorithm that exceded a minimum skill threshold. Errors in frequency and wavenumber pair estimations increase with increasing the wave viewing angle ( $\theta - \alpha_c$ ) and erroneous frequency and wavenumber pairs begin to dominate for angles exceeding 75°.

288

## 289 4. Conclusions

290 We utilized synthetic tests to analyze the effects of wave direction on water depth estimation using the 291 optical implementation of the linear depth inversion algorithm, cBathy v1.1. We found that the error in the 292 water depth estimates where wave viewing angle is less than 75° were consistent with previous field 293 observations (relative root mean square error = 0.02 - 0.08). Given that the synthetic tests were designed 294 to adhere to algorithm assumptions, the result suggests the limit of accuracy that can be expected from the 295 algorithm. When the wave viewing angle exceeded 75°, the wave slope associated with the dominant 296 frequencies became obscured, leading to errors in both frequency and wavenumber estimation which in 297 turn result to errors in depth. Errors were larger for directionally spread waves. Our results and the 298 proposed procedure to build synthetic optical images can be applied to develop sampling schemes for fixed 299 camera coastal video monitoring stations or for small Unmanned Aerial Systems (sUAS) with viewing waves 300 different from the typically offshore-pointing azimuth direction.

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#### 308 Appendix A

309 cBathy v1.1 requires an initial guess at the direction of wave propagation to seed the nonlinear fit used 310 to obtain directions and wavenumbers in Step 2 of the algorithm. Therefore, the choice of the seed angle 311 also impacts the final estimate of water depth. Usually, the cBathy v1.1 seed angle is set assuming that the 312 waves come from the cross-shore direction ( $0^{\circ}$ ). However, in operational application the incoming wave 313 may not be shore-normal directed and the direction of wave propagation may vary with space and time. As 314 a result, the seed angle is a potential source of error in the estimated water depth using cBathy v1.1. Note that the new version of cBathy algorithm (cBathy v1.2) removes the need to specify the incoming wave 315 316 angle by estimating the seed angle from the spatial phase structure and an initial guess at water depth. 317 However, the cBathy v1.1 is still widely used and the seed angle problem is not yet addressed in the 318 literature.

319 To quantify the sensitivity of the cBathy v1.1 to the seed angle, we present results with different initial 320 guesses of the wave direction. This analysis has been performed using the 1 km by 1 km grid (Figure 2) and 321 considering three directions of wave propagation (0°, 45°, 90°). cBathy was initialized using a range of seed angles (from 0° to 90°) and the parameters listed in Table 3. The relative error was computed with (18) 322 (Figure A.1). Differences between seed angle and wave direction greater than 45° resulted in undulatory 323 324 features in the estimated water depth (not shown) and relative errors order 0.1-0.4 (Figure A.1). Relative 325 error was minimized when the seed angle was closest to the wave direction. In the analyses performed in 326 Section 2-3, we cared to set the initial guess at the direction equal to the wave propagation direction to 327 avoid that the error due to a mistake of the setting seed angle can be added to the error due to a large 328 wave viewing angle.

Finally, we investigated the role of the seed angle in f - k estimated from Step 1 of the cBathy v1.1 algorithm. For this analysis, we compared the linear dispersion relationship with the estimated frequencywavenumber pairs that exceded a minimum skill threshold in a way similar to the analysis performed in Section 3. Figure A.2 shows an example of this comparison for case E01 and wave direction equal to 0°. For cases with no error in seed angle (Figure A.2a), errors in frequency and wavenumber pair estimations were minimal while the errors increased when the seed angle was not correctly setting (Figure A.2b-c). In the last cases the underestimation of the depth was related to an overestimation of the wavenumber.

336

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- **Table 1** Summary of analysed sea states and parameters, from EsCoSed experiment source. For each case the table displays the
- 419 index, the peak period, the significant wave height, the wave energy, the camera height, the camera tilt angle (fixed in the wave

420 viewing analysis), the water depth and the kh computation.

viewing analysis), the water depth and the kh computation.

case	<b>Tp</b> (s)	<b>Hs</b> (m)	Smax (m²s)	<b>hc</b> (m)	tilt (°)	<b>h</b> (m)	kh
E01	8.79	2.95	10.85	25	14	7	0.6427
E02	8.79	3.09	8.74	25	14	7	0.6427
E03	9.44	2.99	5.31	25	14	7	0.5933
E04	9.44	2.92	14.21	25	14	7	0.5933
E07	5.94	1.54	1.51	25	14	7	1.0304

422
 423 Table 2 - Summary of analysed sea states and parameters, from analytical source. For each case the table displays the index, the

424 peak period, the significant wave height, the spreading parameter, the camera height, the camera tilt angle (fixed in the wave

case	<b>Tp</b> (s)	<b>Hs</b> (m)	s	<b>hc</b> (m)	tilt (°)	<b>h</b> (m)	kh
A10	7.00	3.00	5	25	14	7	0.8384
A11	7.00	3.00	2	25	14	7	0.8384
A12	7.00	3.00	10	25	14	7	0.8384
A13	7.00	3.00	20	25	14	7	0.8384
A20	10.00	2.50	5	25	14	7	0.5567
A21	10.00	2.50	5	40	18	7	0.5567
A22	10.00	2.50	5	25	14	10	0.6798
A23	10.00	2.50	5	25	45	7	0.5567

**Table 3 -** Summary of cBathy parameters. The x-axis is the cross-shore direction, and the y-axis is the alongshore direction.

cBathy parameter name	value	desription		
params.dxm	9 m	Analysis domain spacing in x		
params.dym	13 m	Analysis domain spacing in y		
params.xyMinMax	[0 1000 0 1000] for Grids [50 250 50 250] for Patches	Spatial extent of the analysis grid		
params.MINDEPTH	0.25 m	Min limit set for the nonlinear depth search in phase 2.		
params.QTOL	0.5	Min skill		
params.minLam	10	Min normalized eigenvalue to proceed		
params.Lx	2*params.dxm	Smoothing length scales in x		
params.Ly	2*params.dym	Smoothing length scales in y		
params.kappa0	3	Multiplier that increase Lx seaward		
params.maxNPix	80	Max number of pixels per subgrid		
params.fB	[1/15:1/100:1/4]	List of frequencies for analysis		
params.nKeep	4	Number of frequencies to keep		
params.offshoreRadCCWFromx	Variable	Seed angle		



430

Figure 1 - a) Example of peak shifting for case E01 with peak directions of 0° (red), 45° (blue), and 90° (green). b) Example of
frequency directional spectrum (A20) designed using equations (7) to (12). (For interpretation of the references to color in this
figure, the reader is referred to the Web version of this article.)





436 Figure 2 - Synthetic optical images (upper panels) and estimated bathymetry (lower panels), for case E01, for wave angles equal to

437 0° (a,d), 45° (b,e), and 90° (c,f). The angles are positive in the counter-clockwise direction from the x-axis. The red arrows indicate

438 the wave direction. The seed angle was set coherent to wave propagation. (For interpretation of the references to color in this

439 figure, the reader is referred to the Web version of this article.)





Figure 3 - Mean (\*) and standard deviation (bars) of bathymetric error as function of difference between wave angle and camera
viewing direction. a) analytical spectra A20, A21, A22 and A23; b) analytical spectra A10, A11, A12 and A13 with different

447 directional spreading. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of

- this article.)





**Figure 4** - Mean (\*) and standard deviation (bars) of bathymetric error as function of difference between wave angle and camera

455 viewing direction for observed spectra E01, E02, E03, E04 and E07. (For interpretation of the references to color in this figure legend,

456 the reader is referred to the Web version of this article.)



**Figure 5** – Frequency and wavenumber pairs estimated from cBathy for case E01 and relative to waves coming from 0° (a), 44° (b) and 92° (c). The curve shows the linear dispersion relationship for the specified water depth (7m). The markers indicate the f-k pairs estimated from cBathy in each point of the analysis grid and relative to the first (•), the second ( $\Delta$ ), the third (\*) and the fourth (+) coherent frequency. The color gradient of the markers is proportional to the skill but only points that exceed the threshold are

463 plotted. Only one of the ten realizations is plotted for illustration. (For interpretation of the references to color in this figure legend,

the reader is referred to the Web version of this article.)



467 Figure A.1- Seed angle sensitivity for analytical (a-b-c) and experimental (d-e-f) cases with wave angles of 0° (a,d), 45° (b,e), and 90°
468 (c,f). (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)



472 Figure A.2 - Frequency and wavenumber pairs estimated from cBathy for case E01 and relative to waves coming from 0° and seed
473 equal to 0° (a), 45° (b) and 90° (c). The curve shows the linear dispersion relationship for the specified water depth (7m). The

474 markers indicate the f-k pairs estimated from cBathy in each point of the analysis grid and relative to the first (•), the second ( $\triangle$ ),

the third (\*) and the fourth (+) coherent frequency. The color gradient of the markers is proportional to the skill but only points that

476 exceed the threshold are plotted. (For interpretation of the references to color in this figure legend, the reader is referred to the Web

477 version of this article.)