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22	Electrocardiogram-Based Index for the Assessment
23	of Drug-Induced hERG Potassium Channel Block
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25	Laura Burattini, PhD, Agnese Sbrollini, PhD, Laura Scinocca, Chiara Peroni, MSc,
26	Ilaria Marcantoni, PhD, Micaela Morettini, PhD
27	
28	Department of Information Engineering, Università Politecnica delle Marche, Ancona, Italy
29	
30	
31	
32	
33	
34	
35	Presenting and corresponding author:
36	Prof. Laura Burattini, PhD
37	Address: Via Brecce Bianche 12, 60131 Ancona, Italy
38	E-mail address: <u>l.burattini@univpm.it</u>
39	Phone: +39 071 2204461
40	Fax: +39 071 2204224

41 Abstract

42 *Introduction:* Drug-induced block of the hERG potassium channel could predispose to torsade 43 de pointes, depending on occurrence of concomitant blocks of the calcium and/or sodium 44 channels. Since the hERG potassium channel block affects cardiac repolarization, the aim of 45 this study was to propose a new reliable index for non-invasive assessment of drug-induced 46 hERG potassium channel block based on electrocardiographic T-wave features.

47 Methods: ERD_{30%} (early repolarization duration) and $T_{S/A}$ (down-going T-wave slope to Twave amplitude ratio) features were measured in 22 healthy subjects who received, in different 48 49 days, doses of dofetilide, ranolazine, verapamil and quinidine (all being hERG potassium 50 channel blockers and the latter three being also blockers of calcium and/or sodium channels) 51 while undergoing continuous electrocardiographic acquisition from which $ERD_{30\%}$ and $T_{S/A}$ were evaluated in fifteen time points during the 24 hours following drug administration ("ECG 52 53 Effects of Ranolazine, Dofetilide, Verapamil, and Quinidine in Healthy Subjects" database by 54 Physionet). A total of 1320 pairs of $ERD_{30\%}$ and $T_{S/A}$ measurements, divided in training (50%) 55 and testing (50%) datasets, were obtained. Drug-induced hERG potassium channel block was 56 modelled by the regression equation $B_{ECG}(\%) = a \cdot ERD_{30\%} + b \cdot T_{S/A} + c \cdot ERD_{30\%} \cdot T_{S/A} + d; B_{ECG}(\%)$ 57 values were compared to plasma-based measurements, $B_{REF}(\%)$.

Results: Regression coefficients values, obtained on the training dataset, were: a=-561.0 s⁻¹, b=-9.7 s, c=77.2 and d=138.9. In the testing dataset, correlation coefficient between $B_{ECG}(\%)$ and $B_{REF}(\%)$ was 0.67 (p<10⁻⁸¹); estimation error was -11.5±16.7%.

Conclusion: B_{ECG}(%) is a reliable non-invasive index for the assessment of drug-induced hERG
 potassium channel block, independently from concomitant blocks of other ions.

63

64 Keywords: Cardiac Repolarization; Drug safety; Electrocardiogram; Ion Channel Block;
65 Prediction; T wave.

66 Introduction

67 Drugs belonging to several therapeutic classes, among which antiarrhythmics, antibiotics, 68 antipsychotics and anticancer agents, have side-effects which may cause serious ventricular 69 tachyarrhythmias, such as torsade de pointes (TdP) [1]. Among antiarrhythmics drugs, 70 dofetilide is the one with the highest risk of TdP, quantified in 1÷5% of exposed subjects [2]. By contrast, antibiotics, antipsychotics and other classes of 'non-cardiovascular drugs' provoke 71 72 TdP less frequently [1]. All these drugs cause block of the human Ether-à-go-go-related gene 73 (hERG) potassium channels, which conduct the rapid component of the delayed rectifier 74 potassium current I_{Kr} (outward current), the major responsible of cardiac repolarization [3]; 75 difference in TdP risk in drug-induced hERG potassium channel block can be ascribed to the 76 presence of concurrent block of calcium and/or sodium channels (inward currents) [4].

77 All the drugs increasing the risk of TdP induce a prolongation of cardiac myocytes action 78 potential which could result in a prolonged repolarization, and thus in a prolonged QT interval 79 on the electrocardiogram (ECG) known as drug-induced long QT syndrome (diLQTS). The 80 occurrence of diLQTS represents one of the most common reasons for drug withdrawal or 81 relabeling, so that it is highly investigated in drug development [1,5]. However, the observation 82 that some drugs block the hERG potassium channel and prolong QT with minimal TdP risk led 83 to the conclusion that QT prolongation is not a specific clue of TdP risk [4]. Thus, further 84 effects on cardiac repolarization (which will reflect on variations of the T-wave morphology 85 on the ECG) are expected to occur.

ECG-based features describing T-wave morphology other than QT interval have been proven useful in characterizing drug-induced channel block. In particular, in a recent study on drug safety, an index based on T-wave down slope and T-wave amplitude has been proposed as an alternative metric for measuring the level of hERG potassium channel block, independent from concomitant calcium and/or sodium channels block [6]. However, previous studies 91 showed that hERG potassium channel block equally prolongs early (left T-wave half) and late 92 repolarization (right T-wave half) [7], thus indicating that other ECG-based metrics should be 93 considered in addition to the down-going T-wave slope and the T-wave amplitude to better 94 quantify hERG potassium-channel block.

95 A recent study by our group [8] that used an approach based on artificial neural networks, 96 confirmed that T-wave features on both right and left T-wave sides are necessary to provide a 97 reliable classification of hERG potassium-channel block; in particular the features chosen as 98 input of the artificial neural network were $ERD_{30\%}$ (defined as the 30% early phase of 99 repolarization duration and describing left T-wave side) and $T_{S/A}$ (representing the down-going 100 T-wave slope to T-wave amplitude ratio). Such approach has the advantage of providing a 101 reliable and non-invasive classification of hERG potassium-channel block; however, it cannot 102 determine the block level point estimate and requires specific expertise from the operator to be 103 applied. Thus, the aim of this study was to propose a new index that can be computed through 104 a simple formula and not requiring computational modelling techniques [9], for the reliable 105 assessment of drug-induced hERG potassium channel block based on T-wave features on both 106 right and left T-wave sides in condition of single-drug assumption.

107 Materials and Methods

108 Study Population and Clinical Data

Study population consisted of 22 healthy subjects who received, in different days separated by 7-day wash out periods, single doses of dofetilide (500 µg; Tikosyn, Pfizer, New York, NY), ranolazine (1500 mg; Ranexa, Gilead, Foster City, CA), verapamil hydrochloride (120 mg; Heritage Pharmaceuticals, Edison, NJ) and quinidine sulfate (400 mg; Watson Pharma, Corona, CA). While dofetilide blocks hERG potassium channel only, the other drugs also block calcium or sodium channels. Drug administration was performed under fasting conditions 115 while undergoing continuous ECG acquisition (sampling frequency: 500 Hz; amplitude 116 resolution: 2.5 µV). From each continuous recording, triplicate 10-s 12-lead ECG segments 117 were extracted at fifteen post-dose points (+0.5 h; +1.0 h; +1.5 h; +2.0 h; +2.5 h; +3.0 h; +3.5 118 h; +4.0 h; +5.0 h; +6.0 h; +7.0 h; +8.0 h; +12.0 h; +14.0 h; +24.0 h). The vector cardiographic 119 (VCG) median beat derived from each 10-s ECG segment was considered representative of the 120 segment itself and used for features extraction. Therefore, 3960 (22 subjects by 3 ECG 121 recordings by 15 time points by 4 drugs) VCG median beats were analyzed. Additionally, at 122 the same fifteen time points a blood sample was drawn for pharmacokinetic analysis. All VCG 123 and plasma data are available at the "ECG Effects of Ranolazine, Dofetilide, Verapamil, and 124 Quinidine in Healthy Subjects" database by Physionet [7,10]. All Physionet data were fully de-125 identified and randomized, and can be used with no further independent ethics committee 126 approval.

127

128 ECG-based Index for Assessment of Drug-induced hERG Potassium Channel Block

129 The new proposed ECG-based index of drug-induced hERG potassium channel block, 130 $B_{ECG}(\%)$, is modelled by the following regression equation:

131
$$B_{ECG}(\%) = a \cdot ERD_{30\%} + b \cdot T_{S/A} + c \cdot ERD_{30\%} \cdot T_{S/A} + d$$
(1)

where: $ERD_{30\%}$ (s) represents the 30% early repolarization duration [11]; $T_{S/A}$ (s⁻¹) represents the ratio of down-going T-wave slope (T_{RS} , mV·s⁻¹) and T-wave amplitude (T_A , mV) [6]; and a, b, c and d represent the model parameters to be estimated. T_{RS} is a downslope, thus being its sign negative, it was computed as the absolute value of the mean first derivative of the T wave in the interval from the T-wave peak to T-wave end (T_pT_e ; s).

137 Experimental values of $ERD_{30\%}$ and $T_{S/A}$ were obtained for all the 3960 VCG median beats. 138 Specifically, $ERD_{30\%}$ together with T_A , and T_pT_e values for each VCG median beat are reported in the Physionet database [7,10]; the formers were used for calculation of $T_{S/A}$ according to definition $(T_{S/A}=1/T_pT_e)$. Then, for each subject, the associated values of $ERD_{30\%}$ and $T_{S/A}$ for each of the fifteen time points relative to a drug administration were computed by averaging the values obtained from the VCG median values obtained from triplicate ECGs.

143 Plasma-based Index for Assessment of Drug-induced hERG Potassium Channel Block

144 The reference index of hERG potassium channel block, $B_{REF}(\%)$, at plasma drug concentration 145 D (the values of which, for the fifteen post-dose time points, are available in the database) was 146 obtained through the Hill equation [11]:

147
$$B_{REF}(\%) = 100 \cdot \frac{D^n}{IC_{50} + D^n} \quad (2)$$

where IC_{50} is the concentration of drug that causes 50% block, and n is the Hill coefficient. IC₅₀ and n are equal to 1 nM and 0.6, 6490 nM and 0.8, 499 nM and 1.1, and 343 nM and 1.0, for dofetilide, ranolazine, verapamil, and quinidine, respectively [12].

151 Statistical Analysis

To determine the a, b, c, and d regression coefficients of Eq. (1), multiple regression analysis with interaction effects was performed between the two predictors, $ERD_{30\%}$ and $T_{S/A}$, and $B_{REF}(\%)$; coefficient of determination (R^2) and statistical significance of the impact of each term on the prediction were computed.

The database was randomly divided in two datasets: 50% (corresponding to 660 pairs of *ERD*_{30%} and $T_{S/A}$ values from 11 subjects) was used as training dataset to build the model; the remaining 50% was used as testing dataset. Correlation between $B_{ECG}(\%)$ and $B_{REF}(\%)$ in the training and testing datasets was performed by computation of the Pearson Product Moment correlation coefficient (*r*); slope and intercept of the regression line, together with their corresponding 95% confidence intervals (*CI*), was also computed. The Lilliefors test was used to evaluate the hypothesis that each variable had a normal distribution with unspecified mean and variance. Normal distributions were described in terms of mean \pm standard deviation, while not-normal distributions were described in terms of 50th [25th; 75th] percentiles. Bland Altman plot was used to evaluate the degree of agreement between $B_{ECG}(\%)$ and $B_{REF}(\%)$.

Eventually, estimation error of hERG channel block provided by $B_{ECG}(\%)$ in different block classes (<25%; 25%÷50%; >50%; defined according to $B_{REF}(\%)$) was computed as signed difference between $B_{ECG}(\%)$ and $B_{REF}(\%)$. The statistical significance level was set at 5% (p<0.05) in all cases.

170 **Results**

171 *D*, $B_{REF}(\%)$, $ERD_{30\%}$ and $T_{S/A}$ distributions over the 24 hours after the administration of the 4 172 drugs for all 22 subjects are depicted in Fig. 1. Overall, $B_{REF}(\%)$ varied from 0.4% to 76.9% 173 (36.4%[19.1%;53.5%]); similar distributions were observed in the training dataset, where 174 $B_{REF}(\%)$ varied from 0.4% to 76.9% (39.3%[20.9%;55.0%]), and in the testing dataset, where 175 $B_{REF}(\%)$ varied from 0.4% to 75.5% (34.3%[17.3%;49.2%]).

Proportion of variance explained by the two predictors in the training dataset was equal to 57% ($R^2=0.57$). From the multiple regression analysis, regression coefficients (with their statistical significance) were found as a=-561.0 s⁻¹ (p=0.002), b=-9.7 (p<10⁻²⁴) s, c=77.2 (p=1.31·10⁻⁵) and d= 138.9 (p<10⁻³⁶), yielding:

180
$$B_{ECG}(\%) = -561.0 \cdot ERD_{30\%} - 9.7 \cdot T_{S/A} + 77.2 \cdot ERD_{30\%} \cdot T_{S/A} + 138.9$$
(3)

181 A positive significant linear correlation was found between $B_{ECG}(\%)$ and $B_{REF}(\%)$ in both 182 training dataset (r=0.75, p<10⁻¹¹⁹) and testing dataset (r=0.67, p<10⁻⁸¹; Fig. 2). Regression-line 183 slope and intercept were 1.0 (*CI*: 0.9÷1.1) and 0.0 (*CI*: -2.8÷2.8) in the training dataset, and 0.9 184 (*CI*: 0.8÷1.0) and -6.1 (*CI*: -9.9÷-2.2) in the testing dataset. Figs. 3 and 4 show Bland Altman plots for the agreement evaluation of $B_{ECG}(\%)$ and $B_{REF}(\%)$ for the training and testing datasets considering all the drugs, and for the testing dataset considering each single drug separately. Eventually, estimation errors of $B_{ECG}(\%)$ with respect to $B_{REF}(\%)$ in various hERG-channel-block classes are reported in Table 1; estimation was more accurate for classes with higher hERG potassium channel block.

190 **Discussion**

191 This study presented and validated a new index, $B_{ECG}(\%)$, based on $ERD_{30\%}$ and $T_{S/A}$ for the assessment of drug-induced hERG potassium channel block, possibly with concomitant block 192 193 of other ions channels. Used drugs were: dofetilide, which is a strong pure hERG potassium 194 channel blocker; ranolazine, which is a blocker of the hERG potassium channel as well as of 195 the late sodium channel; verapamil, which is a blocker of the hERG potassium channel as well 196 as of the calcium channel; and quinidine, which is a blocker of the hERG potassium channel 197 as well as of the late sodium channel and of the calcium channel. To evaluate $B_{ECG}(\%)$ 198 reliability, results were compared against those obtained with a reference index, $B_{REF}(\%)$. 199 $B_{ECG}(\%)$ and $B_{REF}(\%)$ were significantly correlated (Fig. 2) and showed very good agreement 200 (Fig. 3), so that they can be considered substantially equivalent. Highest $B_{ECG}(\%)$ performance 201 was observed in the high percentage block class (Table 1), which is also the one possibly 202 associated to higher cardiac risk.

Initially, all the features that correlate with hERG channel block were evaluated as possible predictors in the index formulation: $ERD_{30\%}$, $LRD_{30\%}$ (i.e. 30% late repolarization delay), $T_{S/A}$ and T_pT_e . To these features, we added ECG features showing correlation with blood potassium concentration. Indeed, hERG channel block mimics hypokalemia (by reducing potassium outflow current), and both the hERG block and hypokalemia result in QT-interval prolongation and reduced T-wave amplitude [6,13]. In this regard, previous studies showed that blood 209 potassium concentration correlates with several T-wave features [14,15]. Eventually, only two 210 independent (from multicollinearity testing) ECG features were selected here for the index 211 formulation: $ERD_{30\%}$ and $T_{S/A}$. $T_{S/A}$ was chosen since conceptually similar indexes were found 212 to be predictors of both hERG channel block and blood potassium level [6,14]. ERD_{30%} was chosen in addition to $T_{S/A}$ because it is a feature related to early repolarization, differently from 213 214 $T_{S/A}$ which describes late repolarization. Adding $ERD_{30\%}$ to $T_{S/A}$ further improves the already 215 reliable assessment of hERG channel block by $T_{S/A}$ alone. Indeed, unreported results indicate 216 that linear regression analysis performed using only T_{SA} provides a coefficient of determination $R^2=0.55$, slightly lower than the one resulting from the model of this study ($R^2=0.57$). This R^2 217 218 improvement may appear limited but the statistical significance of the p-value related to 219 ERD_{30%} (p=0.002) demonstrates that this ECG feature has a significant impact on the prediction 220 of drug-induced hERG potassium channel block. Thus, although $T_{S/A}$ represents the most 221 important component, it is necessary to use both $T_{S/A}$ and $ERD_{30\%}$ features in order to optimize 222 assessment of the hERG potassium channel block. All the other evaluated ECG features 223 mentioned above were rejected because of their positive multicollinearity with ERD30% and/or 224 $T_{S/A}$.

225 The two selected ECG features, $ERD_{30\%}$ and $T_{S/A}$, have been previously used in the same context to provide a classification between "low" and "high" block values [8]. The method 226 227 used in [8] was based on artificial neural networks, a typical non-linear data-driven model. 228 Similarly, in this study, the $B_{ECG}(\%)$ prediction obtained using only the sum of the $ERD_{30\%}$ and $T_{S/A}$ linear contributions (R²=0.55) was lower than that obtained using both the sum of ERD_{30%} 229 and $T_{S/A}$ linear contributions and their bilinear contribution (R²=0.57), as in Eq. (1). Being the 230 p-value associated to the bilinear contribution statistically significant (p=1.31 our $\cdot 10^{-5}$), the 231 improvement of \mathbb{R}^2 is also statistically significant. Additionally, *ERD*_{30%} and *T*_{S/A} direct values 232 233 were used here, differently from what done in [8] and usually in clinical practice, where their 234 differential values with respect to the pre-dose values were used [8]. Indeed, use of serial 235 electrocardiography [16] in Eq. (1) significantly reduces the predictive performances of the 236 model ($R^2=0.39$). However, the model here designed is meant to be simultaneously valid for 237 different drugs with highly different effects (Fig. 1); ECG variations with respect to pre-dose 238 values may be strongly dependent on the specific drug and may act as confounding factor. This 239 fact, associated to the presence of an interaction (bilinear) term in our linear regression model, 240 could explain the lower performance obtained with differential values and justify the use of 241 absolute values which provide better results.

242 Goodness of our results can be discussed by comparing them with results obtained 243 considering predictive quantitative structure-activity relationship (QSAR) models [9], which 244 are computational modeling methods traditionally developed for revealing relationships 245 between structural properties of chemical compounds and biological activities. We obtained R²=0.57 whereas QSAR regression models for hERG blockage in general exhibit predictive 246 powers between $R^2=0.5$ and $R^2=0.7$ [9]. Thus, the value we obtained appears to be comparable 247 248 to those traditionally achieved in QSAR models but we have to underline that the method 249 proposed in the present study is based on an indirect measure of hERG blockage from ECG 250 features. Indeed, use of this method may avoid complex, invasive and expensive experiments. 251 It has to be noted that heart rate may affect estimation of repolarization endpoints [17,18] 252 and thus of $ERD_{30\%}$ and/or T_pT_e and thus $T_{S/A}$. However, it was shown that neither ERD30% 253 [11] nor T_pT_e [7] are heart rate dependent.

Other ECG biomarkers have been proposed to study drug effects on cardiac ventricular repolarization. For example, the recently proposed T-vector velocity [19,20] provides insights on the mechanistic link between drug effects on ionic currents and overall repolarization on the ECG. Differently, the here proposed approach is the first, to the best of our knowledge, to provide the point estimate of block level relating to the hERG potassium-channel. Future 259 studies on larger populations, possibly undergoing administration of other drugs are needed to 260 confirm reliability of $B_{ECG}(\%)$ index to assess hERG potassium channel block and its associated 261 cardiovascular risk also with indexes different from the QT interval, such as T-wave alternans 262 [21]. Finally, the index presented in this study was designed to predict hERG channel block 263 only, thus it can be applied to investigate if a novel drug blocks the hERG potassium channel. 264 Although it was shown that this index provides reliable results in case of drugs that are not pure 265 hERG blockers (and thus characterized by lower values of block), in future studies the 266 reliability of the proposed index should be also evaluated and possibly updated in known non-267 hERG blocker drugs to avoid the risk of detecting false condition of block. The index, by itself, 268 cannot improve risk assessment since risk due to hERG channel block can be mitigated by 269 other ion current change. However, the adopted methodology represents the first step for the 270 development of a complete assessment of ion-specific indexes able to noninvasively predict 271 ion channel blocks. When all these indexes will be available, they will provide detailed 272 information on the drug effect on each ion channel and will support noninvasive assessment of 273 proarrhythmia risk.

274 Conclusion

A novel ECG-based index for the assessment of drug-induced hERG potassium channel block, independently from concomitant blocks of other ions, has been proposed. This new index focuses on the characterization of both early and late ventricular repolarization changes and represents a step forward in the characterization of drug effects on human cardiac repolarization.

280

Declaration of interest

282 None.

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358 FIGURE LEGENDS

Figure 1. *D*, $B_{REF}(\%)$, $ERD_{30\%}$ and $T_{S/A}$ distributions over the 24 hours after the administration of the dofetilide, ranolazine, verapamil and quinidine for all 22 subjects (grey lines; black bold line represents the mean trend over population).

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Figure 2. Linear regression plots between $B_{REF}(\%)$ and $B_{ECG}(\%)$ in the training and testing datasets. Regression line (x= $B_{ECG}(\%)$; y= $B_{REF}(\%)$) and correlation coefficient (r) are also reported.

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Figure 3. Bland-Altman plots for the testing and training datasets. Mean: mean value of $B_{ECG}(\%)$ and $B_{REF}(\%)$; estimation error: difference between $B_{ECG}(\%)$ and $B_{REF}(\%)$. Continuous lines represent mean estimation errors; dotted lines represent mean $\pm 1.96 \cdot$ standard deviation values of estimation errors.

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Figure 4. Bland-Altman plots for the testing dataset considering each single drug separately: A) Dofetilide, B) Ranolazione, C) Verapamil, D) Quinidine. Mean: mean value of $B_{ECG}(\%)$ and $B_{REF}(\%)$; estimation error: difference between $B_{ECG}(\%)$ and $B_{REF}(\%)$. Continuous lines represent mean estimation errors; dotted lines represent mean $\pm 1.96 \cdot$ standard deviation values of estimation errors.

Table 1. Errors in the estimation of hERG channel block, computes as difference between $B_{ECG}(\%)$ and $B_{REF}(\%)$.

Block class	Estimation error (%)
<25%	-23.3±12.0
25-50%	-11.0±14.8
>50%	5.3±9.4
0-100%	-11.5±16.7

Figure 1











