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(Article begins on next page)

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Towards a marine strategy for the deep Mediterranean Sea: Analysis of current ecological status

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Abstract :

The Marine Strategy Framework Directive (MSFD), introduced in June 2008, was adopted to achieve a Good Environmental Status (GES) in the EU's marine waters and to protect resources of socio-economic interest. The MSFD exerts to the marine area over which a Member State exercises jurisdictional rights in accordance with the United Nations Convention on the Law of the Sea (UNCLOS), including the deep-sea waters, seafloor and sub-seafloor of the Exclusive Economic Zones (EEZ). However, currently the MSFD focuses on coastal habitats and the shallow-water seafloor to the detriment of the deeper habitats. Despite the huge dimension of the deep sea (below 200 m of depth) covering more than 65% of the Earth's surface and including >95% of the global biosphere, the relevance of the dark portion of the seas and oceans is still almost completely neglected. Given the important bi-directional links between shallow and deep ecosystems, there is a clear need for extending the implementation of the MSFD into the deep sea, to define a sound ecosystem-based approach for the management and protection of deep-sea ecosystems and attain GES. We assembled data on drivers, anthropogenic pressures and impacts concerning the MSFD descriptors pertaining to the Mediterranean deep sea. We list deep-sea monitoring activities and the main sources providing benchmark conditions, and discuss knowledge and geographic coverage gaps. MSFD descriptors apply to the deep sea as to coastal waters, and ought to be monitored contemporaneously. We provide recommendations for guidelines for future deep-sea monitoring in the Mediterranean Sea.

Highlights

- MSFD fails to cover the huge dimension of deep-sea environments and important bi-directional link with shallow ones. ► Extending MSFD to the deep sea and defining an ecosystem-based approach for its management and protection is urgently needed. ► Data on drivers, anthropogenic pressures and impacts regarding the MSFD descriptors for deep-sea Mediterranean were reviewed. ► Deep-sea monitoring activities were discussed and knowledge and geographic coverage gaps evidenced. ► Recommendations for guidelines for future deep-sea monitoring were provided.

Keywords : Marine strategy framework directive, Deep-sea ecosystems, Mediterranean basin

- 62 **6 List of acronyms and abbreviations**
- 63 7 ABNJ: Areas Beyond National Jurisdiction
- 64 8 ACCOBAMS: Agreement on the Conservation of Cetaceans in the Black Sea Mediterranean Sea and
- 65 9 Contiguous Atlantic Area
- 66 10 AUV: Autonomous Underwater Vehicle
- 67 11 CBD: Convention on Biological Diversity
- 68 12 CFP: Common Fisheries Policy
- 69 13 CWC: Cold-Water Corals
- 70 14 DCF: Data Collection Framework
- 71 15 DSF: Deep-Sea Fisheries
- 72 16 DSWC: Dense Shelf Water Cascading
- 73 17 EEZ: Exclusive Economic Zone
- 74 18 EFH: Essential Fish Habitats
- 75 19 EIA: Environmental Impact Assessment
- 76 20 EMT: Eastern Mediterranean Transient
- 77 21 EwE: Ecopath with Ecosim
- 78 22 FAO: Food and Agricultural Organization
- 79 23 FRA: Fishery Restricted Areas
- 80 24 GFCM: General Fisheries Commission for the Mediterranean
- 81 25 GSA: Geographical Sub Area
- 82 26 ICCAT: International Commission for the Conservation of Atlantic Tunas
- 83 27 LIW: Levantine Intermediate Water
- 84 28 MBES: Multibeam Echosounder
- 85 29 MEDIAS: Mediterranean International Acoustic Survey
- 86 30 MEDITS: Mediterranean International Trawl Survey
- 87 31 MS: Member States
- 88 32 MSFD: Marine Strategy Framework Directive
- 89 33 MSY: Maximum Sustainable Yield
- 90 34 NIS: Non-Indigenous Species
- 91 35 OMZ: Oxygen Minimum Zones
- 92 36 PAH: Polycyclic Aromatic Hydrocarbons
- 93 37 POM: Particulate Organic Matter
- 94 38 POP: Persistent Organic Pollutants
- 95 39 ROV: Remotely Operated Vehicle
- 96 40 SAC: Scientific Advisory Committee
- 97 41 SCA: Stomach Content Analysis
- 98 42 SSB: Spawning Stock Biomass
- 99 43 SIA: Stable Isotope Analysis
- 100 44 SSS: Side-Scan Sonar
- 101 45 STECF: Scientific, Technical and Economic Committee for Fisheries
- 102 46 VME: Vulnerable Marine Ecosystem
- 103 47 UNCLOS: United Nations Convention on the Law of the Sea
- 104 48 UNGA: United Nations General Assembly
- 105 49

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180 71 **1. Introduction**
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182 72 **1.1 The Mediterranean Sea**
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184 73 The Mediterranean is a semi-enclosed basin between the European and African coasts with
185 74 the narrow and shallow Strait of Gibraltar connecting its waters and life to the Atlantic Ocean. The
186 75 Suez Canal creates a man-made connection to the Red Sea, recently doubled, which allows the
187 76 penetration of tropical Indo-pacific species ([Longhurst, 2017](#)). Concomitantly, the Mediterranean
188 77 Basin is experiencing major climatic-related changes that are strongly influencing its oceanography
189 78 in terms of water mass characteristics (e.g., temperature, salinity, dissolved oxygen), currents,
190 79 nutrients and relative sea levels. The interplay of these factors has, since historical times, strongly
191 80 influenced the diversity and colonization of the Mediterranean Sea (Taviani, 2002; Danovaro et al.,
192 81 2010).

193 82 Unique hydrology characterizes the present-day Mediterranean, including microtidal regime,
194 83 oligotrophy, high salinity (37.5-39.5 psu), homoeothermic temperatures from 300–500 m to the
195 84 bottom, with values at the seafloor ranging from ca 13-13.5 °C in the western basin to 13.5-15.5 °C
196 85 in the eastern basin, and the almost complete lack of thermal boundaries (Emig and Geistdoerfer,
197 86 2005). These features uniquely make the Mediterranean one of the “warmest” deep-sea basins of
198 87 the world. Strong energy gradients also characterize the Mediterranean, with primary production
199 88 and food supply to the deep decreasing from the western to the eastern region of the basin and
200 89 from shallower to deeper waters (Danovaro et al. 1999).

201 90 These historical, topographic and environmental characteristics complicate deep-sea
202 91 biodiversity patterns of the Mediterranean Sea but raise intriguing questions. Numerous studies
203 92 document that the Mediterranean Sea, although modest in size (0.82% and 0.32% of the global
204 93 ocean surface and volume, respectively; Bianchi and Morri, 2000), is a biodiversity hot spot with
205 94 overall ca 17000 species, which represent 7.5% of the species richness of the oceans (Danovaro
206 95 and Pusceddu, 2007; Coll et al., 2010; Lejeusne et al., 2010). However, although data on the
207 96 species richness of its deeper habitats are incomplete (two thirds of the deep species – excluding
208 97 prokaryotes – have not been censused yet; Ramirez-Llodra et al., 2009; Coll et al., 2010, Danovaro
209 98 et al., 2010), it appears that the biodiversity of the deep Mediterranean basin is lower than that of
210 99 other oceans (Danovaro et al. 2010).

211 100 The biodiversity of the deep Mediterranean Sea depends largely from the heterogeneity of
212 101 habitats, which include submarine canyons and seamounts, continental rise deposits, mud
213 102 volcanoes and extreme environments such as hydrothermal vents, cold seeps and deep-

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239 103 hypersaline anoxic basins (Olu-Le Roy et al., 2004; Danovaro et al., 2010; Taviani, 2011, 2014;
240 104 [Fernandez-Arcaya et al., 2017](#)). Even seemingly “featureless” soft bottom habitats host unique and
241 105 vulnerable species and habitats (e.g. sponge fields, gorgonian and pennatulacean meadows)
242 106 (Danovaro et al., 2010).

243 107 The Mediterranean basin is threatened by multiple stressors associated with the rapid
244 108 expansion of coastal populations, urbanization, changes in agricultural, industrial and shipping
245 109 patterns, overfishing and exploration and extraction of offshore minerals and hydrocarbons, which
246 110 exert increasing pressures through habitat destruction, chemical pollution, and dumping of waste
247 111 and litter ([EEA, 1999](#); [Danovaro et al., 1993](#)). In concert with climate change, these stressors may
248 112 act synergistically to affect the dynamics, and potentially the resilience, of fragile deep ecosystems
249 113 ([WWF/IUCN, 2004](#); [UNEP/MAP, 2012](#)). Direct stressors and processes also occur on the adjacent
250 114 shelf and in the epi-mesopelagic zones, including Dense Shelf Water Cascading (DSWC) events
251 115 down the continental slope, open-sea convection and severe coastal storms, which may transport
252 116 sediments and organic matter to the continental slope and beyond, influencing deep-sea
253 117 biodiversity and ecosystem functioning ([Canals et al., 2006](#); [Ulles et al., 2008](#); [Sanchez-Vidal et al.,](#)
254 118 2012; [Durrieu de Madron et al., 2013](#); [Taviani et al., 2016](#)). In particular, bottom-contacting
255 119 fisheries, specifically bottom-trawling and longlines, represent the most significant anthropogenic
256 120 threats to deep-water biota, severely impacting sensitive habitats and species such as cold-water
257 121 corals (CWCs) and/or sponge gardens ([Rogers, 1999](#); [Koslow et al., 2000](#)). Additional evidence
258 122 attributes a significant proportion of deep-sea litter to the fishing industry ([Bo et al., 2014](#); [Tubau](#)
259 123 [et al., 2015](#); [D'Onghia et al., 2017](#); [Mecho et al. 2017](#)), along with land- and ship-based sources
260 124 ([Ryan et al. 2009](#)).

261 125 Given the increasing pressures on deep-sea habitats, scientists and managers are becoming
262 126 conscious of the need to develop standardised tools [and harmonized observation systems](#) for
263 127 long-term biological monitoring, in order to enable [the collection of scientifically-validated data](#)
264 128 [and a better understanding of the consequences of the present and future anthropogenic impacts](#)
265 129 ([Danovaro et al., 2017](#), [Aguzzi et al., 2019](#); [Danovaro et al., 2019](#)).

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267 131 **1.2 Implementing the Marine Strategy Framework Directive in the deep Mediterranean Sea**

268 132 The Marine Strategy Framework Directive (MSFD 2008/56/EC) represents the EU's
269 133 Integrated Maritime Policy tool to achieve Good Environmental Status (GES) of marine waters,
270 134 with an initial target for 2020. The MSFD applies to the area of marine waters over which a
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298 135 Member State exercises jurisdictional rights in accordance with the UNCLOS (see Figure 1, for the
299 136 definition of territorial waters and EEZs in the Mediterranean). These include also deep-sea
300 137 waters, seabed and sub-seafloor. At present, MSFD implementation focuses mostly on coastal
301 138 habitats or those impacted by commercial fisheries (Raicevich et al., 2017). However, over long-
302 139 time scales, global nutrient and carbon cycles depend on a functioning deep sea (e.g. Snelgrove et
303 140 al., 2018). Moreover, the life-cycle stages of some coastal species use offshore environments, thus
304 141 achieving GES for marine ecosystems associated with continental shelves, must link to the
305 142 achievement of GES for deep Mediterranean environments and Areas Beyond National Jurisdiction
306 143 (ABNJ or “High Seas”). Otherwise, the MSFD will largely disregard the precautionary principle and
307 144 undermine an ecosystem-based approach to marine management.

315 145 An effective governance and management of the Mediterranean Sea requires consideration
316 146 of the complexity of these environmental issues, and meaningful international cooperation (de
317 147 Vivero and Rodriguez Mateos, 2015). Given the transboundary nature of most of the deep waters,
318 148 their inclusion in MSFD complicates the requirement for each Member State to apply the Directive
319 149 to areas within its national jurisdiction. This emphasizes the need for Member States (MS) to
320 150 cooperate in order to ensure coordinated and harmonized development of marine strategies at
321 151 the scale of region/sub-region in the Mediterranean Basin, where EU MS and developing countries
322 152 co-exist.

323 153 MSFD implementation currently suffers from a lack of standardized and consistent
324 154 methodology for deep waters. To address this gap, we identify approaches, variables, and
325 155 methodologies to enable MSFD implementation in the deep Mediterranean Sea. This synthesis
326 156 summarises available information on MSFD descriptors for the deep Mediterranean Sea, with
327 157 respect to the criteria listed in the European Commission Decision (COMM/DEC/2017/848), and
328 158 anthropogenic pressures, uses and human activities affecting the marine environment (Table 2 of
329 159 Annex III of COMM/DEC/2017/848).

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331 161 **2. State of the knowledge of MSFD descriptors in the deep Mediterranean**

332 162 **2.1 Descriptor 1: Biological diversity**

333 163 Descriptor 1 (D1) states that “*The quality and occurrence of habitats and the distribution*
334 164 *and abundance of species are in line with prevailing physiographic, geographic and climatic*
335 165 *conditions*” (MSFD, 2008/56/EC, Annex I, summarised in Table 1). The species groups specified in
336 166 Part II of the Annex to COMM/DEC/2017/848, these include birds, mammals, reptiles, fish and

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357 167 cephalopods, some of which are present, diverse and abundant in the deep sea, such as fishes and
358 168 cephalopods, in addition to deep diving and feeding cetaceans. Deep-sea organisms play an
359 169 important role in marine food webs, either as predators or as important prey of a large set of high
360 170 trophic level predators, including other fishes and cephalopods and marine mammals (Fanelli et
361 171 al., 2012, 2013; Quetglas et al., 2013).

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364 172 Data on these components from the deep Mediterranean Sea are largely included in the
365 173 MEDITS database, which also represent the only extensive time series available for the deep
366 174 Mediterranean (Bertrand et al., 2002), [although with the limitation that it is a destructive sampling](#)
367 175 [method with discrete sampling time, which exclude the possibility to detect any displacements of](#)
368 176 [demersal species \(Aguzzi et al., 2009; 2013\)](#). MEDITS is funded as part of the EU Data Collection
369 177 multi-annual sampling program (DC-MAP), which limits the sampling frequency to yearly surveys
370 178 confined to the northern part of the Mediterranean Basin. MEDITS mostly targets demersal fish
371 179 (including deep-sea sharks), but includes also commercial invertebrates and other macro and
372 180 mega-invertebrates (as by-catch species). MEDITS provides detailed information on their
373 181 abundance and biomass, including the population structure of several species (including length
374 182 frequency distributions by sex and maturity stages for different target species), which allow us to
375 183 obtain information on the size spectra, maturity ogives, sex ratios and mortality rates. This
376 184 information contributes to both the census of shallow and deep marine biodiversity and stock
377 185 assessments carried out by the GFCM and the STECF of the European Commission (see
378 186 Vasilakopoulos et al., 2014; [Cardinale et al., 2017](#)) [see Descriptor 3 below]. In the case of meso-
379 187 and bathypelagic species (MEDIAS Handbook, 2015; i.e. Galil, 2004; Papiol et al., 2013; Fanelli et
380 188 al., 2013, 2015; Valls et al., 2014), species of non-commercial interest, hard bottom habitats
381 189 between 200 and 800 m depth, and in general all habitats below 800 m depth, only scattered
382 190 information without temporal datasets exist.

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384 191 Another important gap concerns the smaller size biota, such as meiofauna, which are a key
385 192 component in the deep-sea ecosystems and are driven by water depth, regional setting and
386 193 geomorphological characteristics of the deep Mediterranean habitats (Bianchelli and Danovaro,
387 194 2019). Meiofauna are highly diversified (possibly hyper-diverse), and play a fundamental
388 195 ecological role in the biogeochemical cycles and in food webs and are sensitive to environmental
389 196 and anthropogenic pressures ([Pusceddu et al., 2014](#)). Since this component, which increases its
390 197 [ecological relevance, in terms of abundance and functional role, with increasing water depths](#)
391 198 (Danovaro et al., 2015), has been recently suggested for inclusion in the D1 for the

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416 199 implementation of the MSFD (Semprucci et al., 2014; Bianchelli et al., 2016a; 2018). It is even
417 200 more evident that it should be taken into consideration in the implementation of the MSFD in the
418 201 deep sea.

421 202 The MSFD deep-sea habitats included in the COMM/DEC/2017/848 are: a) upper bathyal
422 203 rocks and biogenic reefs, b) upper bathyal sediments, c) lower bathyal rock and biogenic reef, d)
423 204 lower bathyal sediments, and e) abyssal seafloor. These include other benthic habitats such as:
424 205 canyons (which may include rocky and sedimentary substrates), rocky bottoms with coral banks
425 206 (including Cold-water corals) or large bivalves, different types of sedimentary bottoms in bathyal
426 207 or abyssal plains (muds, sands or coarser sediment), chemosynthetic ecosystems (hydrothermal
427 208 vents and mud volcanoes), and seamounts.

433 209 Previous studies on the deep Mediterranean Sea reported a west-east decreasing gradient
434 210 of food availability (Danovaro et al., 1999; Danovaro et al., 2008), which explains the presence of a
435 211 significant decreasing gradient in the abundance and biomass of most deep-sea benthic
436 212 components along that gradient, from meiofauna to megafauna (Sardá et al., 2004; Bianchelli and
437 213 Danovaro, 2019; Fanelli et al., 2018). The CWCs apparently follow the same gradient (Taviani et al.,
438 214 2017; Chimienti et al., 2019), and the presence of Levantine Intermediate Water ([LIW](#)) likely
439 215 strongly influences their distribution (Freiwald et al., 2009; Taviani et al., 2016, 2017).

445 216 Trawl surveys provide most of the available information on deep-sea habitats and their
446 217 characteristics (see Table 1, Annex III, MSFD), but only for soft bottom habitats including those
447 218 dominated by *Isidella elongata* and *Funiculina quadrangularis* (Lauria et al., 2017; Vasilis et al.,
448 219 2019). Oceanographic cruises using ROVs [offer the possibility to conduct non-destructive image](#)
449 220 [and sample collections able to contribute significantly to the study of](#) deep-sea habitats. Most ROV
450 221 surveys to date have focused on CWC habitats and coral gardens, and provide important
451 222 information on the composition, abundance, and biomass of the communities within these
452 223 habitats (Taviani et al., 2005, 2011, 2015, 2019; Schembri et al., 2007; Fabri et al., 2014, 2017; Bo
453 224 et al., 2015; Evans et al., 2016; Fanelli et al., 2017; Chimienti et al., 2019; Moccia et al., 2019).
454 225 Most available information focuses on deep-sea canyons (Migeon et al., 2012), seamounts (Wurtz
455 226 and Rovere, 2015) and mud volcanoes (Masclle et al., 2014), with major data gaps for deep-sea
456 227 pelagic habitats, notwithstanding there is an increasing information on deep-water zooplankton
457 228 and micronekton (Koppelman et al., 2009; Fanelli et al. 2011, 2014; Cartes et al., 2013; Denda
458 229 and Christiansen, 2014; Danovaro et al., 2017; Conese et al., 2019). Descriptor 1 is directly linked
459 230 to D2, D3, D4 and D6 (habitats), and monitoring efforts in the deep sea can therefore gather

473 231 contextual information on all these descriptors. The ecosystem criteria listed in
474 232 COMM/DEC/2017/848, which link Descriptors 1 and 4, consider trophic guilds. These are highly
475 233 relevant to the deep sea and can therefore be immediately described, as the already available
476 234 data would provide the required background information.

477 235 **2.2 Descriptor 2: Non-indigenous species introduced by human activities**

478 236 The number of recorded [Non-Indigenous Species](#) (NIS) in the Mediterranean Sea greatly
479 237 exceeds that in other European seas (Galil et al., 2014; Zenetos, 2019). Their establishment alters
480 238 biotic assemblages and ecosystem functions (Galil, 2007; Katsanevakis et al., 2007; Fanelli et al.,
481 239 2015; Galil et al., 2016, 2017; Goren et al., 2016; [Azzurro et al., 2019](#)). The Suez Canal is an
482 240 important pathway for Red Sea species, which indeed represent 2/3 of the NIS in the
483 241 Mediterranean Sea (Galil et al., 2017). In the past, it was assumed that NIS could establish only in
484 242 shallow waters, however, the deep sea is not immune to species invasions. NIS have been rarely
485 243 documented in the deep sea, a notable exception is the red king crab *Paralithodes camtschaticus*
486 244 in the Barents Sea (Jørgensen and Nilssen, 2011). Yet, a growing number of Erythraean species
487 245 were reported from the deeper part of the continental shelf, beyond the shelf break and in the
488 246 upper slope (Özcan et al., 2008; Corsini-Foka et al., 2010; Innocenti et al., 2017; Özgür Özbek et al.,
489 247 2017). For example, the lethally poisonous silver-cheeked toadfish, *Lagocephalus sceleratus*, has
490 248 been collected from 350-400 m depth off Spain (Izquierdo-Munoz and Izquierdo-Gomez, 2014). The
491 249 invasive lionfish, *Pterois miles*, that was initially present only in the upper shelf has been recently
492 250 recorded at depths down to 110-150 m (Yağlıoğlu and Ayas, 2016; Jimenez et al., 2019). In the
493 251 southern Levantine Sea, three carnivorous species of Erythraean origin have been observed at 200
494 252 m depth: the crocodile toothfish *Champsodon nudivittis*, the burrowing goby *Trypauchen vagina*
495 253 and the red-eye round herring *Etrumeus golanii* (Galil et al. 2018). The presence of deeper
496 254 dwelling populations suggests that thermal niche assessments based only on a species' native
497 255 range may underestimate their ability to tolerate lower temperatures (Parravicini et al. 2015).
498 256 Wider thermal tolerance of some Erythraean species may facilitate their bathymetric and
499 257 geographic expansion to depths where unique, diverse, and fragile mesophotic 'animal forests'
500 258 occur. The lately observed "descent" of NIS from the upper to lower continental shelf may be an
501 259 indication of temperature-dependent range expansion at increasing water depths, and appears to
502 260 be accelerating. Therefore, even if abundances of NIS at levels of true invasions have not been
503 261 reported yet in the deep Mediterranean, these vulnerable environments should be monitored also
504 262 for D2, as they could be future targets of NIS invasions.

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536 264 **2.3 Descriptor 3: Populations of commercially exploited fish and shellfish**

537 265 Descriptor 3 determines that Member States should maintain commercially exploited stocks
538 266 of fish and shellfish in a healthy state. This descriptor implies sustainable exploitation that does
539 267 not exceed the Maximum Sustainable Yield (MSY), i.e. the maximum yield catch that can be taken
540 268 annually without reducing the fish stock productivity. Heavy fishing pressures, such as
541 269 overexploitation or overfishing, produce negative environmental and socio-economic impacts,
542 270 ranging from loss of significant potential yield of targeted stocks to severe stock depletion and
543 271 fisheries collapse ([Gascuel et al., 2016](#)). Overfishing can also reduce fish stocks dramatically to the
544 272 point where they lose internal genetic diversity and, with it, their capacity to adapt to
545 273 environmental change ([Pinsky and Palumbi, 2014](#); [Allendorf et al., 2014](#)). Fish communities may
546 274 also change, such as altered size structures, when fisheries target or discard particular-sized
547 275 individuals of a species, may potentially affect predator and prey dynamics ([Fanelli et al., 2010](#)),
548 276 i.e. Descriptor 4 addresses the question of trophic relationships and marine food webs. The MSFD
549 277 builds on existing EU legislation such as the Common Fishery Policy (CFP), and the criteria
550 278 describing stock status follow internationally acknowledged best practices. The SAC of the GFCM,
551 279 the STECF of the European Commission and the ICCAT (for highly migratory species, such as tunas
552 280 or swordfishes, which account for more than 10 % of the value of the total catches in the
553 281 Mediterranean) collectively monitor exploitation of fisheries resources in the Mediterranean
554 282 marine sub-regions. The FAO and GFCM oversee collection of fisheries monitoring data in the
555 283 Mediterranean Sea within GSAs (Geographical Sub Areas management divisions, according to
556 284 resolution GFCM/33/2009/2, www.gfcm.org, for the correspondence between GSA numbers and
557 285 their names Fig. 2), often assessing stocks over one or several GSAs. However, the MSFD sub-
558 286 regions do not match with the GSAs. Furthermore, when we focus our attention on depths >200
559 287 m, the distinction between shallow and deep-water species is often irrelevant because
560 288 distribution, exploitation and assessment of many stocks often cover wide depth ranges.

561 289 Descriptor 3 stipulates the need for fishery-induced mortality, yielding (but not exceeding)
562 290 MSY (D3C1), and that populations of all commercially exploited species should remain within safe
563 291 biological limits (D3C2), with a population age and size distribution (D3C3) indicative of a healthy
564 292 stock. Fulfilling D3 criteria for deep-sea stocks requires: (1) sustainable exploitation consistent
565 293 with high long-term yields, (2) maintaining full reproductive capacity in order to maintain stock
566 294 biomass, and (3) maintaining or increasing the proportion of older and larger fish/shellfish, an

591
592
593 295 indicator of a healthy stock. Achieving GES also for a deep-sea stock requires fulfilling all three of
594 296 these attributes and, for the reasons highlighted above, D3 indicators require trans-national
595 297 cooperation at the level of each MFSD sub-region.

596
597 298 In the Mediterranean Sea, the enforcement of the CFP and, more recently, of the MSFD,
598 299 continues to fall far short of achieving its objectives for exploited living marine resources (e.g.,
599 300 Colloca et al., 2013; Vasilakopoulos et al., 2014). Notwithstanding the enforcement of the EU-Data
600 301 Collection Regulation (EU, 2000) in the early 2000s by all EU Member States, and the rapid
601 302 increase in the number of assessed stocks by the GFCM and the STECF, industries continue to
602 303 exploit Mediterranean Sea marine resources above MSY levels, with few signs of population
603 304 recovery (Vasilakopoulos et al., 2014; Cardinale et al., 2017; Colloca et al., 2017).

604
605 305 Management practices of DSF and VMEs in the Mediterranean were reviewed in 2016 and
606 306 2017 (FAO, 2016 and GFCM, 2017). UNGA Resolutions 51/2006 and the FAO International
607 307 Guidelines for the Management of Deep-sea Fisheries in the High Seas (FAO, 2009), identify DSF in
608 308 the Mediterranean Sea, as those: a) using bottom-contact gears or b) using deep-pelagic trawls
609 309 and c) targeting species associated with the sea floor between 300/400 m and 1000 m depth (FAO,
610 310 2016 and GFCM, 2017). This grouping considers shallower fisheries that extend below 400 m.
611 311 These reports identify deep-water red shrimps (*Aristaeomorpha foliacea* and *Aristeus antennatus*)
612 312 as the primary DSF targets in the Mediterranean deep-sea habitats, which are harvested mostly at
613 313 300/400–800 m depths, along with European hake (*Merluccius merluccius*), Norway lobster
614 314 (*Nephrops norvegicus*) and deep-water rose shrimp (*Parapenaeus longirostris*). In addition, gillnet
615 315 fisheries and demersal long-liners target both *M. merluccius* and the blackspot seabream (*Pagellus*
616 316 *bogaraveo*). Also in Spain, deep-sea fisheries target *Plesionika* shrimps below 300 m depth (see
617 317 also IDEM 2018a). GFCM banned the use of towed dredges and trawl nets at depths beyond 1,000
618 318 m in 2005 (Recommendation GFCM/29/2005/1), protecting over ca. 1,700,000 km² of
619 319 Mediterranean Sea seafloor habitats (about 59% of the GFCM area of application) (FAO, 2018).

620
621 320 To date, the GFCM has established a number of Fishery Restricted Areas (FRAs) to protect
622 Essential Fish Habitats (EFHs) or/and VMEs from excessive fishing mortality or the significant
623 321 adverse impact of fishing activities through bottom-contact fishing gears, respectively. The FRAs
624 322 encompass a total marine area of ca. 22,500 km² (FAO, 2018). Four of the FRAs were declared
625 323 within a multiannual management plan for deep-sea fisheries, in order to protect EFHs for
626 324 spawners of several species that are heavily exploited, to maintain habitat of the continental slope
627 325 (canyons and submarine canyons), and to preserve all the species of the area (commercially
628 326 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649

650
651
652 327 exploited or not), i.e., one in the Gulf of Lion in France (Le Corre and Farrugio, 2011), one in the
653 328 Jabuka-Pomo Pit ([Elahi et al., 2018](#)) and two FRAs south of Sicily. The existence of management
654 329 plans, however, does not necessarily imply regular completion of accurate stock evaluation.
655 330 Moreover, the existence of the FRA does not necessarily imply banning bottom trawling and may
656 331 simply represent an effort management tool (to prevent further effort increase as seen in freezing
657 332 fishing effort in the Gulf of Lion FRA).

662 333 Member States shall establish a list of commercially exploited species to which the criteria
663 334 apply in each assessment area through regional or sub-regional cooperation, and update that list
664 335 for each six-year evaluation period, taking into account Council Regulations (EU) 1251/2016,
665 336 1380/2013, 1343/2011, and 1967/2006, in accordance with article 43 (3) of the Treaty on the
666 337 Functioning of the European Union, article 9 of Regulation (EU) No 1380/2013 and article 19 of
667 338 Regulation (EC) No 1967/2006.

672 339 The MSFD criteria available for coastal environments cannot often be directly utilised for
673 340 deep-sea species. This is because stock assessments are limited and not sufficiently monitored,
674 341 hampering the assessment of stock exploitation at MSY (Criterion D3C1). The available
675 342 information decreases eastwards and southwards. In addition, a gap of knowledge is also present
676 343 in terms of time-series coverage of Spawning Stock Biomass (SSB) (Criterion D3C2) trend data
677 344 hampering the possibility to define appropriate reference points.

682 345 The third criterion, i.e. "Healthy age and size structure" (criterion D3C3), assumes that a
683 346 stock with sufficient large, and therefore old, fish corresponds to a healthy stock, thus reflecting
684 347 good status. The larger and older fish stocks indicate healthier conditions, but this criterion has
685 348 not been developed because GES lacks accepted thresholds (European Environment Agency,
686 349 2018).

691 350 This gap suggests a need to identify and test suitable indicators, metrics, and thresholds for
692 351 populations and age size distributions for each deep-sea stock (ICES, 2016, 2017). In conclusion,
693 352 our analysis points out that there is a potential to inform D3 criteria, but more data need to be
694 353 collected in the future to propose sound stocks analyses and reference conditions, [likely though](#)
695 354 [the extension of the EU Data Collection Multiannual Programme \(DC-MAP, EU Regulation](#)
696 355 [2016/1701](#) to include more deep-sea species.

701 356

702 357 **2.4 Descriptor 4: Marine food webs**

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710
711 358 Descriptor 4 addresses the functional aspects of marine food webs, especially the rates of
712 359 energy transfer within the system and levels of productivity in key components. In the context of
713 360 the MSFD, this descriptor reaches GES when “*All elements of the marine food webs, to the extent*
714 361 *that they are known, occur at normal abundance and diversity and population levels capable of*
715 362 *ensuring the long-term abundance of the species and the retention of their full reproductive*
716 363 *capacity*” (MSFD, 2008/56/EC, Annex I).

717
718 364 Deep-sea food webs critically depend on input of organic carbon from the photic zone
719 365 ([Thomsen et al., 2017](#)). The microbial loop and viral infections can play an important role in the
720 366 functioning of deep-sea food webs both in the water column and in sediments, and in the control
721 367 of the biogeochemical cycles (Danovaro et al., 2008). At the same time, deep-sea meiofauna
722 368 represents a potential basic linkage in energy transfer from the benthic detritus and microbes to
723 369 the macro- megafauna and demersal fishes (Van Oevelen et al., 2011; Gambi et al., 2014).

724
725 370 D4 is one of the most controversial MSFD Descriptors in terms of protocols, criteria, and
726 371 thresholds (ICES, Report 2015). D4 is generally investigated along with Descriptors D1 and D6 or
727 372 D3. Studies of food web properties typically utilize two different approaches: a) Stomach Contents’
728 373 Analyses (SCA) and b) Stable Isotope Analyses (SIA), and fatty acid trophic markers. Modelling
729 374 techniques, in contrast, can provide insights regarding the potential structure of food webs
730 375 (Rombouts et al., 2013). SIA identified three trophic levels among deep-sea supra-benthos (Fanelli
731 376 et al., 2009) and four levels within macrozoobenthos (Iken et al., 2001; Fanelli et al., 2011a) and
732 377 macrozooplankton/micronekton (Fanelli et al., 2011b). Fishes, decapods, and cephalopods
733 378 dominate higher trophic levels in deep-sea demersal communities. Despite the availability of a
734 379 large dataset for the deep Mediterranean Sea (MEDITS, 2002), this dataset includes few
735 380 commercial species from bathyal depths: the European hake *Merluccius merluccius* and the
736 381 greater forkbeard *Phycis blennoides*, some sharks (mostly *Etmopterus spinax* and *Galeus*
737 382 *melastomus*), decapods (the red shrimps *Aristaeus antennatus* and *Aristaeomorpha foliacea*, the
738 383 rose shrimp *Parapenaeus longirostris* and the Norway lobster *Nephrops norvegicus*). We lack
739 384 sufficient data on other dominant deep-sea species, such as macrourids, or key predators such as
740 385 deep-sea sharks (e.g., *Centroscymnus coelolepis*; Stefanescu et al., 1994; Massutí et al., 2004;
741 386 Anastosopoulou et al., 2016).

742
743 387 Most studies on trophic functional groups have focused on macro- and megafauna (i.e. fish,
744 388 decapods, cephalopods and echinoderms), whereas few studies are available on other biotic
745 389 compartments such as meiofauna or mesozooplankton (Danovaro et al., 2010). The northwestern

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770 390 Mediterranean portion is better studied (Fanelli et al., 2009, 2011a, b, 2013, 2015, 2016; Papiol et
771 391 al., 2013; Cresson et al., 2014), whereas the Ionian and Aegean seas have been much less
772 392 investigated (Carlier et al., 2009; Koppelman et al., 2009; Tecchio et al., 2013; Cartes et al., 2014;
773 393 Naumann et al., 2015). On the other hand, these types of studies rarely consider some key
774 394 species/taxa, such as mesopelagic fishes or megazooplankton (Fanelli et al., 2014; Valls et al.,
775 395 2014).

780 396 COMM/DEC/848/2017 sets criteria and methodological standards for monitoring and
781 397 assessment of GES within the theme "Ecosystems". For example, selection criteria require that at
782 398 least one of the three trophic guilds monitored should focus on primary producers. This criterion is
783 399 the major drawback for this descriptor given that, aside from the few, very localized ecosystems
784 400 that depend on chemosynthesis (hydrothermal vents, cold seeps, or wood and whale falls; Luna et
785 401 al., 2012; Molari et al., 2013), the vast majority of the deep sea lacks primary production. The data
786 402 gap between experimental and functional data adds further complication.

792 403 Stable isotope analysis may comply with the primary criterion D4C1 (diversity of trophic
793 404 guilds) and the secondary criterion D4C3 (distribution of individuals across the trophic guild).
795 405 Moreover, in combination with abundance, biomass, and other biological data available from
796 406 MEDITS data, it may offer inputs into ecosystem models that could generate useful outputs, such
798 407 as identification of unrecognized keystone species, a gap not presently considered. Italy addresses
800 408 D4 under its fishery monitoring program (i.e. D3) and specifically with three subprograms aimed
802 409 at: i) defining, testing, and applying ecosystem indicators through models (essentially EwE,
804 410 <http://www.ecopath.org>); ii) identifying functional groups through the application of stable
805 411 isotope analysis of monitored species within the DCF; and iii) integrating analysis of commercial
806 412 species with those for benthos, zooplankton and Particulate Organic Matter (POM) samples every
808 413 three years. Spain and France recently introduced SIA and SCA of species collected during MEDITS
810 414 or MEDIAS surveys for use in D4.

812 415 In conclusion, the analysis of D4 can be realistically initiated for the deep Mediterranean,
813 416 using the available technologies, protocols and monitoring programs and adapting the criteria, by
815 417 neglecting the relevance of primary production, which could be replaced by the analysis of the
817 418 inputs of primary organic matter and/or by starting from primary consumers and/or including the
819 419 chemosynthetic primary production.

821 420

822 421 **2.5 Descriptor 5: Human-induced eutrophication**

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828
829 422 Eutrophication refers to “*a process driven by enrichment of water by nutrients, especially*
830 423 *compounds of nitrogen and/or phosphorus, leading to increased primary production and biomass*
831 424 *of algae, changes in the balance of organisms, and water quality degradation*” (Ferreira et al.,
832 425 2010). According to the MSFD, GES is achieved with respect to eutrophication when “*Human-*
833 426 *induced eutrophication is minimised, especially adverse effects thereof, such as losses in*
834 427 *biodiversity, ecosystem degradation, harmful algae blooms and oxygen deficiency in bottom*
835 428 *waters*” (MSFD, 2008/56/EC, Annex I).

841 429 In coastal environments, eutrophication means the increase of primary production generally
842 430 monitored through the chlorophyll-*a* (Chl *a*) content and/or macroalgal biomass (Ferreira et al.,
843 431 2007; Xiao et al., 2007; Borja et al., 2008; Bricker et al., 2008; Nixon, 2009). The effects of
844 432 eutrophication are the lowering of oxygen concentrations, losses of submerged aquatic
845 433 vegetation, and mass mortalities especially at the sediment water interface (Claussen et al., 2009;
846 434 Ferreira et al., 2011). The global occurrence and expansion of hypoxic/anoxic events at bathyal
847 435 depths worldwide point to the need for better understanding and monitoring of the effects of
848 436 such phenomena on deep-sea benthic communities ([Doya et al., 2015](#); Breitburg et al., 2018).
849
850 437 Recent models foresee an oxygen decline from 1 to 7% in the next 100 years (Keeling et al., 2010)
851 438 with an increase in the extension of Oxygen Minimum Zones (OMZs) (Stramma et al., 2008).
852
853 439 Farther, OMZs, with their naturally occurring low pH and oxygen, offer some hints as to the
854 440 structure of deep-sea ecosystems affected by eutrophication (Levin, 2003; Moffit et al., 2015).

861 441 Deep-sea ecosystems have been historically considered as a food-poor environment, and
862 442 this is typically true for the deep Mediterranean Sea, especially in its eastern basin, but some areas
863 443 may experience symptoms of eutrophication and oxygen depletion (Danovaro et al., 2014). For
864 444 instance, it has been reported that massive phytodetritus exports from highly productive coastal
865 445 waters to the deep-sea floor (Billet et al., 1983). Excessive C inputs in combination with the high
866 446 bottom temperatures can cause episodic oxygen depletion in the deep sea (Ferreira et al., 2011;
867 447 Danovaro et al., 2014). Recent studies highlighted that deep-sea trophic status can be also
868 448 affected by climate change, as the Western basin is expected to become more oligotrophic and
869 449 the Eastern basin more eutrophic (Piroddi et al., 2017). In addition, predicted increasing surface
870 450 temperatures may affect water mass stratification and the formation of cold oxygenated deep
871 451 water, modifying global ocean circulation and the dissolved oxygen availability in deep-water
872 452 masses (Ramirez-Llodra et al., 2011). Local scale eutrophication could affect deep-sea sediments

886
887
888 453 facing highly productive areas of the Mediterranean Sea, such as the Gulf of Lions, the northern
889 Aegean Sea and the Ionian Sea receiving inputs from the Adriatic Sea.
890

891 455 However, the MSFD, in relation to the qualitative Descriptor 5, calls for an assessment of
892 nutrients and organic matter inputs (Annex III of Directive 2017/845) and the use of the following
893 criteria (Directive 2017/848): i) nutrient concentrations in the water column, ii) chlorophyll a in the
894 water column, iii) [harmful algal blooms](#), iv) [photic limit](#), v) [dissolved oxygen at the bottom of the](#)
895 [water column](#), vi) [opportunistic macroalgae](#), vii) [macrophyte](#) communities and viii) macrofaunal
896 communities. These criteria can only be partially applied to the deep sea. Firstly, primary
897 producers (e.g., macrophyte, macroalgae, harmful algal bloom) must be excluded, and the
898 assessment of trophic status using variables measured in the water column can lead to misleading
899 classifications (Dell'Anno et al., 2002, see also Fabri et al., 2018). Considering that oxygen
900 depletion is one of the main causes of benthic faunal mortality, it is important to measure
901 physical-chemical parameters and indicators also in the sediments (Mercado et al., 2015). In
902 addition, the concentration of organic matter accumulated in surface sediments can provide a
903 good indication of the eutrophication process occurring on the seafloor (Dell'Anno et al., 2002;
904 Pusceddu et al., 2009). The current conceptual framework suggests the need to introduce new
905 criteria and indicators, related to benthic ecosystems and, particularly, to the deep sea.
906

907 470 A group of core indicators is already utilised to monitor eutrophication in open waters,
908 including: i) nutrients (nitrate, ammonium, phosphate), ii) dissolved oxygen and iii) phytoplankton
909 (chlorophyll a, dominance). Zooplankton biomass is considered a potential, though not fully
910 mature indicator (UNEP(DEPI)/MED, 2007 and references therein) because of incomplete
911 knowledge of its relationship to eutrophication. The monitoring of benthic ecosystems should
912 include i) quantity and quality of sedimentary organic matter, and ii) biodiversity and taxonomic
913 composition of benthic invertebrates (Dell'Anno et al., 2002; Pusceddu et al., 2009, 2011, 2014;
914 Bianchelli et al., 2016a). Among indicators recently proposed to assess benthic trophic status of
915 marine ecosystems, the quantity and biochemical composition of sedimentary organic matter has
916 received the widest application, both in coastal and deep-sea ecosystems (Pusceddu et al., 2009;
917 see also Fabri et al., 2018). The concentrations of biopolymeric C (defined as the sum of C deriving
918 from proteins, carbohydrates and lipids) and its algal fraction have been used to assess impacts of
919 humans on benthic trophic status in different oceanic and coastal regions and varying water
920 depths, within the Mediterranean basin (Dell'Anno et al., 2002; Pusceddu et al., 2009, 2011, 2014;
921 Bianchelli et al., 2016b). Changes in the quantity and quality of organic matter in the deep sea
922

945 lead to responses from all benthic components, from prokaryotes to foraminifera, and from
946 meiofauna to macrofauna (Danovaro et al., 1999). Also the functional traits of macrofauna have
947 been widely used as indicators of alteration and for measure the health status of marine benthic
948 ecosystems (Borja et al., 2008). Further, meiofauna could be considered a good indicator as it is
949 highly sensitive to environmental changes, and particularly to organic enrichment due to
950 eutrophication (Pusceddu et al., 2011). For these reasons, meiofauna have been recently proposed
951 for the monitoring of eutrophication effects and for assessing the environmental quality of both
952 coastal and deep-sea ecosystems (Bianchelli et al., 2016a; Pusceddu et al., 2016).

962 2.6 Descriptor 6: Sea floor integrity

963 Descriptor 6 requires that seafloor integrity is “*at a level that ensures that the structure and
964 functions of the ecosystems are safeguarded and benthic ecosystems, in particular, are not
965 adversely affected*” (Commission Decision 2010/477/EU). This involves addressing “*physical
966 damage, having regard to substrate characteristics*”, and the “*condition of benthic community*”,
967 the latter being directly related to Descriptor 1. The relevant pressures identified in the
968 Commission Decision (EU) 2017/848 generically refer to “*physical loss (due to permanent change
969 of seabed substrate or morphology and to extraction of seabed substrate)*” and to “*physical
970 disturbance to seabed (temporary or reversible)*”. Four primary criteria address these points, three
971 of which (D6C1 to DGC3) are specific for Descriptor 6, while two are also relevant for Descriptor 1
972 (D6C4 and D6C5).

973 The deep Mediterranean seafloor experiences two dominant physical disturbances
974 associated with human activities: i) bottom-contact fisheries and ii) oil and gas activities (Boschen
975 et al., 2013; D’Onghia et al., 2017; Lauria et al., 2016; Holler et al., 2017). Fisheries using bottom-
976 contacting gear lead to direct alteration of seafloor morphology at large, medium and small scales
977 (Puig et al., 2012; Martin et al., 2014). Bottom trawling is a key driver for large-scale seascapes
978 change as it smoothens the natural topography (Puig et al., 2012). Direct and indirect biological
979 effects of bottom trawling have been demonstrated in terms of biogeochemical changes (e.g. less
980 total amino acid concentration in sediments) and faunal desertification (Pusceddu et al., 2014).
981 The Mediterranean Sea shows the highest fisheries footprint per unit landings in Europe (Eigaard
982 et al., 2017), with peak intensities in the Tyrrhenian [and the Adriatic](#) Sea. In the Catalan margin,
983 trawling impact is major down to 800 m depth (Puig et al., 2012). Sediment resuspension from
984 fishing grounds can propagate to wider and deeper areas eventually leading to suffocation and
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1006 517 burial of VME (Martin et al., 2008). Downslope moving gravity-driven resuspension flows enhance
1007 sedimentation rates far beyond fishing grounds, such as in canyon axes. Other types of fishing gear
1008 518 such as bottom touching longlines and gillnets could also have a significant adverse impact on
1009 519 vulnerable benthic communities and organisms such as black corals, gorgonians, scleractinians and
1010 520 many other habitat-forming species (GFCM, 2017), because of breaking while pulling, ghost fishing
1011 521 or entanglement.

1012 522 Activities undertaken by the offshore oil and gas industry may cause physical loss of the
1013 natural deep seabed. Physical (and chemical) impacts on the seafloor and subseafloor range from
1014 523 the installation of drilling rigs, wellheads and other structures on the seabed to the accumulation
1015 524 of litter including lost or abandoned equipment, consumables and other materials. Today's deep-
1016 525 water (>200 m) oil and gas production in the Mediterranean Sea, or advanced prospects for it,
1017 526 takes place essentially offshore Egypt, Israel, Lebanon, Syria and Cyprus (The Petroleum Economist
1018 527 Ltd, 2013; Galil and Herut, 2011). The environmental approach for the hydrocarbon industry in the
1019 528 Mediterranean Sea is developed in the Offshore Protocol of the Barcelona Convention, adopted in
1020 529 October 1994, which obliges countries to perform comprehensive EIAs after entering into force in
1021 530 December 2012. The EU adopted the Directive on Safety of Offshore Oil and Gas Prospection,
1022 531 Exploration and Production Activities in July 2013, which provides a blueprint of the best
1023 532 international practice also for non-EU countries in the Eastern Mediterranean that are new to the
1024 533 energy industry (Livnat, 2014). Further disturbance occurs in case of cable deployment, for not the
1025 534 cables and pipelines per se, rather for the impact of the anchoring of the supply vessel during the
1026 535 deployment of the cable.

1027 536 Dumping of industrial waste in the deep Mediterranean Sea is a matter of concern for
1028 537 habitat integrity. Submarine canyons with heads close to the coast are favoured sites for direct
1029 538 deep-sea disposal (Ramirez-Llodra et al., 2015). Two aluminium-processing plants have discharged
1030 539 red mud waste in the deep Mediterranean Sea: one in France (Cassidaigne Canyon, Gulf of Lion)
1031 540 (Dauvin, 2010; Fontanier et al., 2012, 2014; see also Fabri et al., 2018) and one in Greece (Gulf of
1032 541 Corinth, Antikyra Bay) (Varnavas et al., 1986; Varnavas and Archilleopoulos, 1995; Poulos et al.,
1033 542 1996). Since 1988, Coal Fly-Ash (CFA) from the Hadera power plant, in Israel, has been dumped
1034 543 into a 16 km² disposal site some 70 km offshore, at a water depth of 1400 m, where a 0.5-1.0 cm
1035 544 thick ash layer has been noticed (Kress et al., 1996, 1998) together with severe impoverishment of
1036 545 benthic fauna. Israel allowed also long-term disposal of dredged sediments and industrial waste
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1064
1065 548 (1,900,000 m³) polluted with Hg, Cd, Pb, tributyltin and organotins, and PCBs at a site 1300 m deep
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1067 549 (Herut et al., 2010).

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1069 550 Different proved tools is currently available to assess seafloor integrity. High-resolution
1070 maps of benthic substrata and habitats are increasingly required both to underpin environmental
1071
1072 552 and socioeconomic impact assessments and to help in developing effective management
1073 measures (Kenny et al., 2003; Brown et al., 2011; Stephens and Diesing, 2014; Holler et al., 2017;
1074 553 Fabri et al., 2018). Multibeam Echo-Sounders (MBES) and side scan sonars (SSS), map seabed areas
1075 554 with 100% spatial coverage at a resolution finer than 1 m², depending on the depth of data
1076 collection and on distance-to-bottom of the sensors (Kenny et al., 2003). Ground-truthing
1077 555 methods, such as the use of remotely operated vehicles (ROVs) and autonomous underwater
1078 vehicles (AUVs) (Fabri et al., 2014; Lastras et al., 2016), are widely available and could be applied
1079 556 according to the size and the nature of the area of interest (Kenny et al., 2003; Brown and Blondel,
1080 557 2009; Brown et al., 2011; Holler et al., 2017). Habitat suitability models try to predict the
1081 distribution of some habitats such as CWCs (Lo Iacono et al., 2012; Bargain et al., 2017, 2018; Fabri
1082 560 et al., 2017; Angeletti et al., 2019; Lo Iacono et al., 2018; Giusti et al., 2014, 2017; Lauria et al.,
1083 561 2017). However, because such models often include a large degree of uncertainty, decisions based
1084 562 2017). However, because such models often include a large degree of uncertainty, decisions based
1085 563 2017). However, because such models often include a large degree of uncertainty, decisions based
1086 564 2017). However, because such models often include a large degree of uncertainty, decisions based
1087 entirely on modelling approaches may involve significant risk.

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1089 565 The revision of the MSFD ([through the COMM. DEC. 2017/848/EU](#)) emphasised that
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1091 566 "Physical loss shall be understood as a permanent change to the seabed which has lasted or is
1092 expected to last for a period of two reporting cycles (12 years) or more", but for this to be
1093 implemented, a very long time perspective is needed. All impacts described in this section have
1094 567 immediate effects (and sometimes also delayed effects) on seafloor communities, which in most
1095 cases could represent either a tipping point (e.g. large-scale seascapes change) or require long time
1096 568 before any significant recovery could take place. A time-span of 12 years is possibly too short and
1097 it is urgent to proceed with a sound extensive evaluation of the current status of the deep benthic
1098 572 habitats in the Mediterranean Sea before human impact severely modifies or erases them from
1099 573 the face of our planet.

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1112 576 **Descriptor 7: Permanent alteration of hydrographical conditions**

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1114 577 Descriptor 7 is geared towards addressing the problem of the permanent alteration of
1115 hydrographical conditions. These conditions are often affected by the presence of coastal
1116 578 infrastructure and other man-made activities (ports, artificial reefs, etc.). However, in most cases
1117 579 1118

these structures impact coastal areas and only rarely can reach higher depths. Conversely, global climate change, combined with episodic climate-driven events, can alter the "hydrographical conditions" also at depths. In recent decades, deep Mediterranean waters have experienced drastic changes resulting in an alteration of the stratification associated to temperature increases and salinity shifts (Schroeder et al., 2009, 2016). At the end of the 1980s, climate change, changing hydrographic properties, surface circulation, and deep-water convection caused a 'regime shift' [on a global scale](#) (Reid et al., 2016) and throughout the Mediterranean basin (Conversi et al., 2010). During that period, the main site of deep-water formation shifted from the southern Adriatic to the Aegean sub-basins. This "Eastern Mediterranean Transient" (EMT; 1987-1994) event resulted in increased oxygen consumption (Roether and Well, 2001; Klein et al., 2003) and, in the eastern Ionian, in a nutricline shoaling by about 150 m (Klein et al., 1999). Other rapid hydrological changes have also occurred in the Western Mediterranean Sea. The "Western Mediterranean Transient" (WMT; 2004/05 and 2005/06) was characterised by the formation of warmer and denser new deep waters over the continental shelf as a result of cooling and evaporation of the surface layer and downslope cascading (Canals et al., 2006; Palanques et al., 2006; Schroeder et al., 2009). The high volumes of newly formed deep waters generated during intense cascading and convection events dramatically altered the hydrological structure of the basin, completely de-stratifying the water column and transferring massive heat and salt to the deep layers (Canals et al., 2006; Schroeder et al., 2009; Martin et al., 2010). The 2004/05 event was the first of a series of similar events in the last decade that greatly altered the structure of the intermediate and, especially, the deep layers of the Western Basin (Durrieu de Madron et al., 2013). Cascading events transport huge amounts of nutrients and organic matter, to bathyal depths (Canals et al., 2006; Sanchez-Vidal et al., 2008; Danovaro et al., 1999; Company et al., 2008). Hydrographic preconditioning (heat and salt content and structure of the water column before the onset of convection), and atmospheric forcing (heat, freshwater and buoyancy fluxes) triggered deep-water formation (Fabri et al., 2018). Moreover, progressive increase in heat and salt content in the intermediate layer, advected from east to west, favoured new dense water formation in the North-Western Mediterranean basin. Multiple heat and saline anomalies characterised the Mediterranean Sea from 1950 to 2000 (Rixen et al., 2005; Kress et al., 2014) and although these alterations cannot be considered permanent, all of these changes have long-term effects.

The multidisciplinary Mediterranean Targeted Projects MTP-I and MTP-II/MATER (1993-2000; Monaco and Peruzzi, 2002), the MEDAR/MEDATLAS database (Fichaut et al., 2003), the

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1183 612 SeaDataNet (i.e., <https://www.seadatanet.org/>) and EMODnet (<http://www.emodnet-physics.eu>)
1184
1185 613 infrastructures created datasets on temperature, salinity oxygen, silicate, nitrates and phosphates,
1186
1187 614 but in some cases with insufficient coverage in the eastern region (including Tunisia, Libya, Croatia
1188
1189 615 and Turkey; Simoncelli et al., 2015).

1190 616 Since the 2000's, national and international programmes (e.g. EU-PERSEUS and MedSeA, IT-
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1192 617 VECTOR, FR-MERMEX, E-RADMED) produced hydrological data on the whole Mediterranean Basin.
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1194 618 Regular cruises, mooring lines, and deployment of new instruments and infrastructure (Argo
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1196 619 floats, gliders) now support intensive collection of *in situ* observations in the North-Western
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1198 620 Mediterranean Sea. Argo floats, autonomous profiling floats, drift at a given depth for a given time
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1200 621 period. After drifting for a set time, they sink to 2000 m and profile temperature and salinity
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1202 622 during the upcast. These data proven useful in describing deep-water formation (Smith et al.,
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1204 623 2008). Moreover, in the last decade, glider technology mainly in the North-Western
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1206 624 Mediterranean Sea has enabled repeated cross-basin transects at depths from the surface to 1000
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1208 625 m. In addition, numerical models implemented at regional or local scales use these data to
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1210 626 elucidate water mass formation and spreading, and basin-scale hydrological dynamics (Bonaldo et
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1212 627 al., 2015; Estournel et al., 2005).

1213 628 Long-term monitoring of basic hydrological parameters (temperature and salinity), collected
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1215 629 as time series with appropriate temporal resolution (i.e. sampling intervals that resolve all relevant
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1217 630 timescales) represent a science priority in the context of climate change study for key locations in
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1219 631 the Mediterranean Sea (e.g. straits and channels, zones of dense water formation and spreading,
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1221 632 deep basins) (Schroeder et al., 2013; Aguzzi et al., 2019). The HYDROCHANGES network aims to
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1223 633 address this need by deploying moorings fitted with Conductivity, Temperature, Depth sensors
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1225 634 (CTDs) at key locations for monitoring temperature and salinity (Schroeder et al., 2013). The FixO3
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1227 635 (Fixed point Open Ocean Observatory network, <http://earthvo.fixo3.eu/>) programmes and the
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1229 636 EMSO (European Multidisciplinary Seafloor and water column Observatories, <http://emso.eu/>) EU
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1231 637 Infrastructure provide additional near-bottom data from fixed-point observations. For example,
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1233 638 DYFAMED and LION deep-water stations, and ANTARES neutrino telescope in the North-Western
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1235 639 Mediterranean or the KM3NET in the Ionian Sea (Tamburini et al., 2013; Aguzzi et al., 2018),
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1237 640 continuously monitor sets of specific parameters. Long time series provided by these mooring
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1239 641 stations have contributed pivotal findings on the deep dynamics of the Mediterranean Sea in last
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1239 642 years. In order to partially interpolate data within homogeneous habitats, scientists have
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1239 643 generated gridded products through objective analysis of available observations (such as

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1242 644 numerical models with data assimilation delivered by Copernicus downstream services
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1244 645 (<http://marine.copernicus.eu/services-portfolio/access-to-products/>).
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1248 647 **2.8 Descriptor 8: Concentrations of contaminants giving rise to pollution effects**
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1249 648 The input of xenobiotic substances represent one of the major threats for ocean health
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1251 649 (Halpern et al., 2008). Hydrophobic pollutants, such as organo-halogenates and polycyclic
1252 650 aromatic hydrocarbons (PAH), enter the marine environment through effluent discharges,
1253 atmospheric deposition, runoff and other means (Iwata et al., 1994). Once in the water column,
1254 651 the adsorption onto particulate matter transfers these compounds from the surface to the deep
1255 652 waters and sediments (Buesseler, 1998). Particle settling is also favoured by biological processes,
1256 653 and by lateral transport from continental shelves (Heussner et al., 2006; Martin et al., 2006; Zuñiga
1257 654 et al., 2009). DSWC is a massive mechanism of pollutant transfer to the open deep ocean (Canals
1258 655 et al., 2006). Higher fluxes of organohalogen pollutants and Polycyclic Aromatic Hydrocarbons
1259 656 (PAH) occur during these cascading events (Salvadó et al., 2017). PAH settling fluxes in the north-
1260 657 western Mediterranean Sea vary widely (Lipiatou et al., 1993; Raoux et al., 1999), with highest
1261 658 concentrations of contaminants in the Alboran Sea (Dachs et al., 1996), and much lower values in
1262 659 Sardinia and in the Southern Ionian Sea (Bouloubassi et al., 2006; Tsapakis et al., 2006). However,
1263 660 these values greatly exceed atmospheric deposition of PAH in central sites of the Western
1264 661 Mediterranean Sea, thus highlighting the role of river discharge (Heussner et al., 2006; Bonnin et
1265 662 al., 2008; Palanques et al., 2008). Qualitative differences are also observed in relation to these
1266 663 transfer processes. Sediments of coastal areas, continental shelves and slopes have higher
1267 664 proportions of petrogenic PAHs whereas the deep basin of the north-western Mediterranean Sea
1268 665 is characterized by high amounts of pyrogenic PAHs.
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1270 667 Organochlorine compounds such as Polychlorobiphenils (PCBs) and chlorinated pesticides,
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1272 668 characterised a group of Persistent Organic Pollutants (POPs) of worldwide concern due to their
1273 669 toxic effects ([Harmon, 2015](#)). Notwithstanding the discontinued use of these compounds in most
1274 670 world areas, thanks to relevant national regulations and international agreements such as the
1275 Stockholm Convention, their extensive occurrence is still observed. Their high lipophilicity,
1276 671 hydrophobicity, chemical stability and resistance to biological degradation have led to their
1277 672 accumulation in biological tissues and biomagnification through the food chain.
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1279 674 Radioactive compounds in the Mediterranean Sea are derived from the fallout of nuclear
1280 675 weapon testing and the Chernobyl accident. In sediments, concentrations of ^{137}Cs and $^{239+340}\text{Pu}$
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1301 676 have been measured in various parts of the Mediterranean Sea, including deep basins. The
1302 677 concentrations are generally higher in coastal ecosystems because land-based sources can exceed
1303 678 atmospheric inputs (Durrieu de Madron et al., 2011; Garcia-Orellana et al., 2009). Concentrations
1304 679 in biota are presently undistinguishable from those in areas without point sources. Hence, the
1305 680 relevance of these contaminants lies in their usefulness as process tracers, more than on their
1306 681 impact on the environment. Studies on radionuclides in marine organisms also underscore that
1307 682 the radionuclide levels are constantly decreasing due to modifications of the inputs. Very little
1308 683 work has been done to examine the trophic transfers of man-made radionuclides (Harmelin-Vivien
1309 684 et al., 2012). Finally, neglected impacts that can be very important in several areas of world are
1310 685 military activities. Information on their impacts on the environment are relatively scarce and are
1311 686 often studied after several years from their production and without any baseline available
1312 687 (Lawrence et al., 2015; Danovaro et al., 2019).

1321 688 Atmospheric inputs constitute one of the major sources of Trace Elements (TE) to the deep
1322 689 Mediterranean Sea (Migeon et al., 2012; Guerzoni et al., 1999), where TE concentrations in waters
1323 690 are typically higher than in other areas of the world ocean. In addition, Cd, Cu and Ni (as well as
1324 691 Cr) are dominated by lateral advection and vertical mixing rather than by biogeochemical cycling
1325 692 (Morley et al., 1997). The hydrologic regime of the Mediterranean Sea tends to transfer the
1326 693 pollutants and nutrients to the Atlantic by bottom water flow transport. Our knowledge on the
1327 694 concentrations, fluxes, and behaviour of trace elements, radionuclides and organic substances in
1328 695 the deep waters and sediment and their toxicological impacts on habitats and organisms is scarce
1329 696 (Durrieu de Madron et al., 2011). Pollutants with hydrophobic properties, e.g. PCBs and mercury,
1330 697 accumulate in biota and thus in the food web. In case of chronic pollution events, the
1331 698 concentration of contaminants should be analysed in sediments collected by sediment cores,
1332 699 which enable the reconstruction of temporal trends. Sample collection of water at different
1333 700 depths and analysis of the dissolved and particulate matter would also be important. Abundance
1334 701 of populations and estimates of the extent of habitats adversely affected by chronic pollution
1335 702 should be assessed concurrently.

1346 703 Nonetheless, information on pollutants in the deep sea is almost completely lacking, and
1347 704 this represent the main gap in the application of the criteria needed to determine the D8.

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1352 706 **2.9 Descriptor 9: Contaminants in fish and other seafood for human consumption**

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1360 707 Descriptor 9 focuses on the accumulation of toxic, persistent and liable substances in wild
1361 708 deep-sea organisms used for human consumption (i.e., mostly teleost and decapod crustaceans)
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1364 709 and the contaminants considered by D9 are only part of those of interest for the D8 (cfr.
1365 Regulation EC 1881/2006 and its amendments EC 2006, 2008). Each Member State may ignore
1366 specific contaminants and/or include additional ones (EC 2017) (Fliedner et al., 2018). In any case
1368 712 the monitoring of the contaminants accumulated in the deep-sea biota should at least consider
1370 713 the following compounds for which regulatory levels have been set: i) heavy metals (lead,
1371 cadmium and mercury); ii) PAHs; iii) dioxins (including dioxin-like PCBs). In addition, the following
1373 715 contaminants of relevance should be monitored: i) non-dioxins like PCBs; ii) phthalates; iii)
1375 716 organochlorine pesticides; iv) organotin compounds; v) brominated flame retardants; vi)
1377 717 polyfluorinated compounds. Also, artificial radionuclides should be monitored in case of nuclear
1378 accidents or any other radioactive emergencies that could lead to or has led to significant
1379 718 radioactive contamination of food.

1382 720 Contaminants in fish and other seafood might derive from numerous anthropogenic
1383 sources described for the D8. Chemical contamination in fish and seafood results from a complex
1384 721 process that balances inputs of contaminants, mostly through diet, and their excretion (Solé et al.,
1385 722 2001; Trudel and Rasmussen, 2001; Cresson et al., 2014).

1389 724 Investigating contamination levels in fish and seafood requires understanding which
1390 725 contaminants exceed regulatory limits, how much they alter food webs, and what metabolic
1392 726 processes are involved in detoxification. The presence of xenobiotics in the deep Mediterranean
1393 727 organisms has been repeatedly documented (Galil et al., 1995; Storelli et al., 2009) with deep-sea
1395 728 Mediterranean fishes tending to exhibit higher levels of metal accumulation than those of
1396 729 populations inhabiting other areas such as the Atlantic Ocean (Damiano et al., 2011). Red-shrimps,
1398 730 *Aristeus antennatus* and *Aristaeomorpha foliacea* may be useful indicator species of levels of
1400 731 deep-sea contamination (e.g., see data on *A. antennatus*, Koenig et al., 2012). Contaminants in fish
1402 732 muscle and liver have been investigated in the most abundant deep-sea megafaunal species, e.g.
1404 733 *Alepocephalus rostratus*, *Coelorinchus mediterraneus*, *Coelorhinchus caelorrhincus*, *Trachyrincus*
1405 734 *trachyrincus* and *Nezumia sclerorhynchus*, *Chimaera monstrosa*, *Lophius budegassa*, *Lepidion*
1407 735 *lepidion* (Koenig et al., 2013c), revealing mercury concentration exceeding 0.5 µg g⁻¹ muscle wet
1409 736 weight in all species but one. This represents the threshold value indicated by the European
1410 737 Commission as acceptable for human consumption. High mercury concentration is a distinct
1412 738 feature of the Mediterranean Sea (the so-called “Mediterranean mercury anomaly”; Cossa and
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1419 739 Coquery, 2005; Cossa et al., 2012). The Hg concentration is even higher in some deep-sea fish
1420 species (Koenig et al., 2013c; Cresson et al., 2014; Chouvelon et al., 2018). Most deep-sea species
1421 are long-lived and slow-growing, which favours the bioaccumulation of pollutants (Drazen and
1422 Haedrich, 2012; Koenig et al., 2013a, b, c). Since some deep-sea species are of commercial
1423 interest, the high contamination level poses serious risks for human health (Rotllant et al., 2006;
1424 Carbery et al., 2018). Despite this, few studies have investigated the concentrations of
1425 contaminants in the deep Mediterranean fauna fished for human consumption (Storelli et al.,
1426 2004, 2007; Koenig et al., 2013c; Cresson et al., 2014). In this regard, the Gulf of Lion is the best-
1427 investigated area, whereas the Levantine Basin (with the exception of Israel waters; Galil et al.,
1428 1995) are the least studied. A comparison of the concentrations of xenobiotics in fish collected in
1429 the NW Mediterranean Sea, in 1996 and 2009 (Koenig et al., 2013a; Porte et al., 2000; Solé et al.,
1430 2001) indicate that their contamination did not change over time.
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1433 751 The application of the criteria of Descriptor 9 should consider the concentration, the
1434 thresholds, and the contamination sources. Regulators should also consider the species of interest
1435 for human diets and their ability to bioaccumulated pollutants. GES would be achieved if all
1436 contaminants occur at levels below those established for safe human consumption.
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1440 756 **2.10 Descriptor 10: Marine litter**

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1442 757 Two primary and two secondary criteria are associated to Descriptor 10: i) the composition,
1443 amount and spatial distribution of litter (D10C1) and of micro-litter (D10C2) "on the coastline, in
1444 the surface layer of the water column, and on the seabed, are at levels that do not cause harm to
1445 the coastal and marine environment" (primary) and ii) the amount of litter ingested by marine
1446 animals, which should not reach a level that adversely affect the health of the species (D10C3) and
1447 the number of individuals which are adversely affected due to litter, such as by entanglement,
1448 other types of injury or mortality, or health effects (D10C4) (secondary). Each sub-region should
1449 assess the outcomes for all criteria and as well as threshold values.
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1452 765 Marine litter represents a threat for the health of the deep Mediterranean Sea due to its
1453 limited exchange with other basins, dense population, touristic and industrialized coastlines, and
1454 heavy maritime traffic (UNEP, 2015). The sources of marine litter to the deep-sea floor of the
1455 Mediterranean Sea are either from land (river discharge, storm drains, sewage treatment plants
1456 and industrialized areas) or marine (fishing activities, commercial and recreational shipping,
1457 aquaculture, direct dumping), and include plastics (accounting for >70% of the total), glass, metal,
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1478 771 clinker, cardboard and fabrics (Galgani et al., 2000; Ramirez-Llodra et al., 2013; Fabri et al., 2014;
1479 772 Pham et al., 2014; Tubau et al., 2015; UNEP, 2015; [Mecho et al., 2017](#)). The quantity and
1480 773 composition of marine litter differs among regions and changes with depth, probably as a result of
1481 774 a complex set of interactions between hydrodynamics, geomorphology, and anthropogenic
1482 775 sources (Pham et al., 2014; Tubau et al., 2015; UNEP, 2015). The abundance of marine litter items
1483 776 in the deep Mediterranean Sea varies from 500 items km⁻² on the continental slopes off Malta and
1484 777 Cyprus (Mifsud et al., 2013; Ioakeimidis et al., 2014), the Tyrrhenian Sea (Angiolillo et al., 2015), or
1485 778 the Adriatic Sea (Galgani et al., 2000), to more than 2,000 items km⁻² in the Antalya Bay in the
1486 779 Eastern Mediterranean or in the submarine canyons of the Gulf of Lion and of the Catalan Sea
1487 780 (Galgani et al., 2000; Tubau et al., 2015). Astonishingly high litter abundance of up to 1.3 million of
1488 781 items km⁻² were reported at 300-600 m depth in the Messina Strait canyons (Central
1489 782 Mediterranean Sea) (Pierdomenico et al., 2019). Litter abundance found in submarine canyons
1490 783 and depths greater than 500 m typically exceeds that at shallower depths, suggesting that
1491 784 submarine canyons can act as primary conduits of litter from the coast to the deep sea (Galgani et
1492 785 al., 2000; Tubau et al., 2015). Superposition of highly efficient source-to-sink sedimentary
1493 786 transport (with flash-flood generated hyperpycnal flows) and strong urbanization of the coastal
1494 787 area promote the occurrence of large litter hotspots in the deep sea (Pierdomenico et al., 2019). In
1495 788 addition to large marine debris, concern has grown about microplastics (i.e., <1-5 mm in diameter;
1496 789 Desforges et al., 2014), which can directly enter the ocean also through cosmetic abrasives (i.e.
1497 790 microbeads), preproduction plastic pellets, or textile fibres known as primary plastics. Additionally,
1498 791 combined mechanical, biological, photic and thermal actions can break down larger plastic objects
1499 792 into numerous small fragments, which are defined as secondary microplastics. Depending on the
1500 793 density of the polymer, microplastics may sink and behave as very fine-grained sediments (for
1501 794 example polyester; Woodall et al., 2014), or they may float and subsequently sink following
1502 795 colonization by organisms, adsorption to phytoplankton, and/or aggregation with organic debris.
1503 796 Fibres appear to dominate the microplastics reported in Mediterranean deep-sea sediments (van
1504 797 Cauwenbergh et al., 2013; Woodall et al., 2014; Sanchez-Vidal et al., 2018). Recent studies
1505 798 indicate that the Mediterranean deep-sea floor might act as a long-term sink for microplastics
1506 799 where the abundances of such particles can exceed those in surface waters (Sanchez-Vidal et al.,
1507 800 2018). Marine litter in the Mediterranean deep sea may significantly affect different ecological
1508 801 compartments and, consequently, human health, with potentially severe economic impacts. Biotic
1509 802 effects of large and small items include entanglement, ingestion, colonization and rafting (Gregory,
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1537 803 2009; Murray and Cowie, 2011; Anastasopoulou et al., 2012; Ramirez-Llodra et al., 2013; Bo et al.,
1538 804 2014; Pham et al., 2014; Angiolillo et al., 2015; Tubau et al., 2015). However, information on the
1539 805 actual effects of (micro)plastics on deep-sea organisms and trophic webs is still limited (Taylor et
1540 806 al., 2016).

1544 807 Marine litter in deep sea produces economic impacts primarily on the fishery sector,
1545 808 damaging vessels and fishing equipment due to entanglement of catch, loss of target species
1546 809 through ghost fishing, or reduced reproductive capacity of benthic organisms consuming
1547 810 microplastics (Newman et al., 2015). Furthermore, marine litter may contain pollutants (hazardous
1548 811 plastic additives, POPs) that exert toxic and endocrine disruptive effects on marine organisms that
1549 812 ingest plastics (Oehlmann et al., 2009).

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1556 814 **2.11 Descriptor 11: Introduction of energy including underwater noise**

1557 815 Descriptor 11 deals with introduction of energy into the marine environment. Underwater
1558 816 noise can be pulsed or continuous. MSFD currently focuses on two criteria: anthropogenic pulsed
1559 817 (D11C1) and continuous low-frequency (D11C2) sounds in water. D11C1 addresses the space-time
1560 818 distribution of pulsed noise sources, whereas D11C2 addresses levels of continuous noise, using in
1561 819 situ measurements and models. Pulsed noise may cause direct acute effects such as hearing loss,
1562 820 tissue damage, and death of individuals of sensitive species such as cetaceans. Whereas
1563 821 continuous or chronic noise exposure mainly causes stress and behavioural alterations, with
1564 822 negative effects on deep-sea organisms ([Nowacek et al., 2015](#)). The proposed strategy on noise
1565 823 monitoring recommends several adaptations in the case of the deep Mediterranean. Particularly,
1566 824 both indicators are closely related to the acoustic biology of deep-diving marine mammal species,
1567 825 such as sperm whale and Cuvier's beaked whale. Pulsed noise can be monitored by setting up a
1568 826 register of anthropogenic activities, reporting on date, location, proportion of days within a given
1569 827 period and over a given geographical scale in which activities generating pulsed sounds occur. [This](#)
1570 828 [could be done through the deployment of hydrophones \(e.g., permanent or semi-permanent](#)
1571 829 [PAM\) on new infrastructures \(or implementation of the existing infrastructures\) and their](#)
1572 830 [subsequent future development into larger geographic network: Rountree et al., 2019.](#)

1573 831 A variety of phenomena generates noise in the ocean, either from physical processes, such
1574 832 as wind-generated waves, earthquakes, precipitation, or from biological phenomena such as
1575 833 whale songs, dolphin clicks, and fish vocalizations (Montgomery and Radford, 2017); not all reach
1576 834 the deep sea. Fish produce sounds for their navigation, habitat selection and mating, as well as to

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1596 835 communicate (Simpson et al., 2005). Marine mammals use sound as a primary tool for underwater
1597 communication (Wartzok and Ketten, 1999), mating and social interaction (Edds-Walton, 1997),
1598 836 and for tracking the prey (Au, 1993).

1601 838 Anthropogenic noise can reach the deep sea, through commercial shipping, oil and gas
1602 exploration, fishing, and scientific research; all of these sources currently contribute to the general
1603 839 noise budget of the ocean (Montgomery and Radford, 2017). The impact of noise on marine is
1604 840 being increasingly investigated (Wenz, 1962; Hildebrand, 2009). Noise sources are divided into
1605 841 three frequency bands: low (10 to 500 Hz), medium (500 Hz to 25 kHz) and high (>25 kHz).
1606 842 Anthropogenic sources dominate the low-frequency band, and include commercial shipping and
1607 843 seismic emissions for hydrocarbon exploration. Minimal attenuation of low-frequency sound
1608 844 allows long-distance propagation. Sea-surface agitation (breaking waves, spray, bubble formation
1609 845 and collapse, and rainfall) and various sonars (e.g. military and multibeam seabed mapping), as
1610 846 well as small vessels produce most medium frequency sound. Greater attenuation limits
1611 847 propagation of noise in the mid-frequency band over long distances, and only local or regional
1612 848 (10s of km distant) sound sources contribute to this ambient noise field. At high frequencies,
1613 849 extreme acoustic attenuation confines all noise sources to the area close to the receiver.

1623 851 Oil industry operations have traditionally focused on shallow, continental shelf waters, but
1624 exploration is moving in deeper waters (>500-1000 m). Expansion of oil exploration into deeper
1625 852 water has increased the potential for long-range propagation of seismic reflection signals. Indeed,
1626 853 sound in deep waters can propagate greater distances than in shallow-water ecosystems, by
1627 854 moving through the deep sound channel (Hildebrand, 2009). Seismic surveys currently target all
1628 855 regional seas in the south-eastern Mediterranean, apart from the Aegean Sea (Maglio et al., 2016).
1629 856 This expansion stresses the transboundary aspect of seismic surveys and calls for international
1630 857 cooperation.

1631 858 Anthropogenic noise can cause physical and biological damage, such as behavioural changes
1632 859 and stress, especially in marine mammals, sea turtles and fish (Popper et al., 2014; Peng et al.,
1633 860 2015). The occurrence of low frequency noise in the deeper part of the basins is particularly
1634 861 important for deep-diving marine mammals, such as toothed whales, because the ambient noise
1635 862 they use as a background for echolocation decreases rapidly with depth (Foote et al., 2004; André
1636 863 et al., 2011; Azzellino et al., 2011). Indeed, small odontocetes produce high frequency sounds
1637 864 (ranging from 70 kHz to more than 150 kHz), while sperm whales, *Physeter macrocephalus*, during
1638 865 diving, make sound with frequencies ranging to more than 30 kHz which are detectable within 10-
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1655 867 15 km. The fin whale *Balaenoptera physalus*, the only mysticete constantly present in the
1656 Mediterranean Sea, emits mostly infrasonic signals (20-40 Hz), which are emitted in long
1657 sequences and can be detected at large distances.
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1660 870 Research on sea turtles in the South-Eastern Mediterranean region revealed that they can
1661 detect low frequency sounds that overlap with seismic airgun frequencies, these are high-
1662 intensity, low-frequency impulsive noise at regular intervals, mostly between 10 and 300 Hz
1663 (Carroll et al., 2017; Nelms et al, 2016). Airguns can stress the sea turtles, *Caretta caretta*
1664 (DeRuiter et al., 2012). The impacts of anthropogenic noise on sharks and rays are poorly studied,
1665 with most research to date focusing outside the Mediterranean region (Weilgart, 2017). Fish
1666 sensitivity to certain frequencies varies among species (Carroll et al., 2017). Recent studies
1667 demonstrate negative effects of seismic survey airgun operations even in zooplankton (McCauley
1668 et al., 2017).
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1671 879 *In situ* acoustic measurements can document continuous low-frequency sound, gathering
1672 field data on ambient noise in a given location. Understanding the large-scale influence of artificial
1673 noise on marine organisms and ecosystems represents the main gap to the application of the D11
1674 on the deep sea. Deep-sea observatories offer new opportunities to assess the presence and
1675 effects of noise in on deep-sea life (Aguzzi et al., 2019). Deep-sea cabled observatories (i.e. NEMO-
1676 SN1 in the Western Ionian Sea, Caruso et al. 2015, Favali et al., 2013; ANTARES in the Ligurian Sea,
1677 André et al., 2017; and PYLOS in the South Ionian Sea, <http://www.fixo3.eu/observatory/pylos/>)
1678 are equipped with hydrophones for passive acoustic monitoring. Besides these measurements,
1679 and especially for monitoring continuous low-frequency sound in deep sea, modelling approaches
1680 (both for single sources or distributed sources of noise, from the most advanced Dynamic Ambient
1681 Noise Prediction System elaborated by the U.S. for modelling multiple sources, to the Acoustic
1682 Integration Model used for modelling the effects of noise on cetaceans; NRC, 2003) may reduce
1683 the time required to establish trends and patterns.
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1687 893 **3. Future implementation**

1688 894 In order to effectively implement the *deep-sea* MSFD, we need to identify the criteria to
1689 achieve or maintain GES in open waters and *deep-sea bottoms*, including “spatial protection
1690 measures, contributing to coherent and representative networks of marine protected areas,
1691 adequately covering the diversity of the constituent ecosystems, such as special areas of
1692 conservation pursuant to the Habitats Directive, special protection areas pursuant to the Birds
1693 Directive, and special areas of conservation pursuant to the Water Framework Directive”
1694 (European Commission, 2016).
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1714 899 *Directive, and marine protected areas as agreed by the Community or Member States concerned in*
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1716 900 *the framework of international or regional agreements to which they are parties” (MSFD,*
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1718 901 2008/56/EC, Article 13).

1719 902 The MSFD takes an overarching and integrated approach by focusing on achieving GES and
1720 targets, and we therefore recommend exploring and assessing synergies between the different
1721 903 treaties, directives, and conventions (e.g. see Descriptor 6 section) so that, wherever possible, the
1722 904 programme of measures and proposed MSFD monitoring simultaneously address the
1723 905 requirements of other legislations.
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1726 906 Our analysis indicates that the 11 Descriptors promulgated by the MSFD (MSFD,
1727 907 2008/56/EC) can be adapted and applied to the deep sea. Several Descriptors (D1, D2, D3, D6, D8,
1728 908 D10) can be readily implemented, others (D4, D9 and D11) require additional data in order to set
1729 909 up benchmark and threshold values, while two (D5, D7) require changes in the assumptions
1730 910 and/or modification in the concept of “permanent”.
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1733 911 Priority ecological variables, spatial distribution, extent of pressures and impacts ought to
1734 912 be identified and standardized in order to establish targets and indicators addressing the distinct
1735 913 conditions in the deep sea. The expertise, tools and resources required for deep-sea monitoring
1736 914 are not universally available to all Mediterranean Member States (MS), nor to countries in the
1737 915 southern and easternmost Mediterranean. These limitations may be overcome by initiating deep
1738 916 sea MDFD-focused monitoring in already data-rich locations (presumably off MS), and pioneering
1739 917 joint-effort monitoring in collaboration with non-MS, to enhance awareness, capacity building,
1740 918 and gain much needed data on scantily studied regions. Given the costs entailed by scientific and
1741 919 technical expertise, tools and infrastructure required for deep-sea research and monitoring, we
1742 920 advocate for EU-level financial support for MS/non-MS collaboration spanning joint fieldwork,
1743 921 training (early career research fellowships, workshops) and *public awareness communication*.
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1746 922 Since the millennium, increased awareness of the vulnerability of deep-sea ecosystems has
1747 923 changed attitudes concerning their protection and conservation (Ramirez-Llodra et al, 2011). Yet,
1748 924 for effective regulatory measures, legislators and managers require scientific evidence, which
1749 925 follows from basic scientific research and monitoring. Ecosystem-based management of the
1750 926 Mediterranean deep sea pressingly requires comprehensive analysis of available data, new data
1751 927 from yet unexplored regions, and impact assessment studies. Mounting evidence points to the
1752 928 vulnerability of the deep biota to anthropogenic disturbance that may result in biodiversity loss,
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1773 930 urgent implementation of the MSFD in the Mediterranean deep sea will go a long way towards
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1775 931 conserving its unique biodiversity and habitats.
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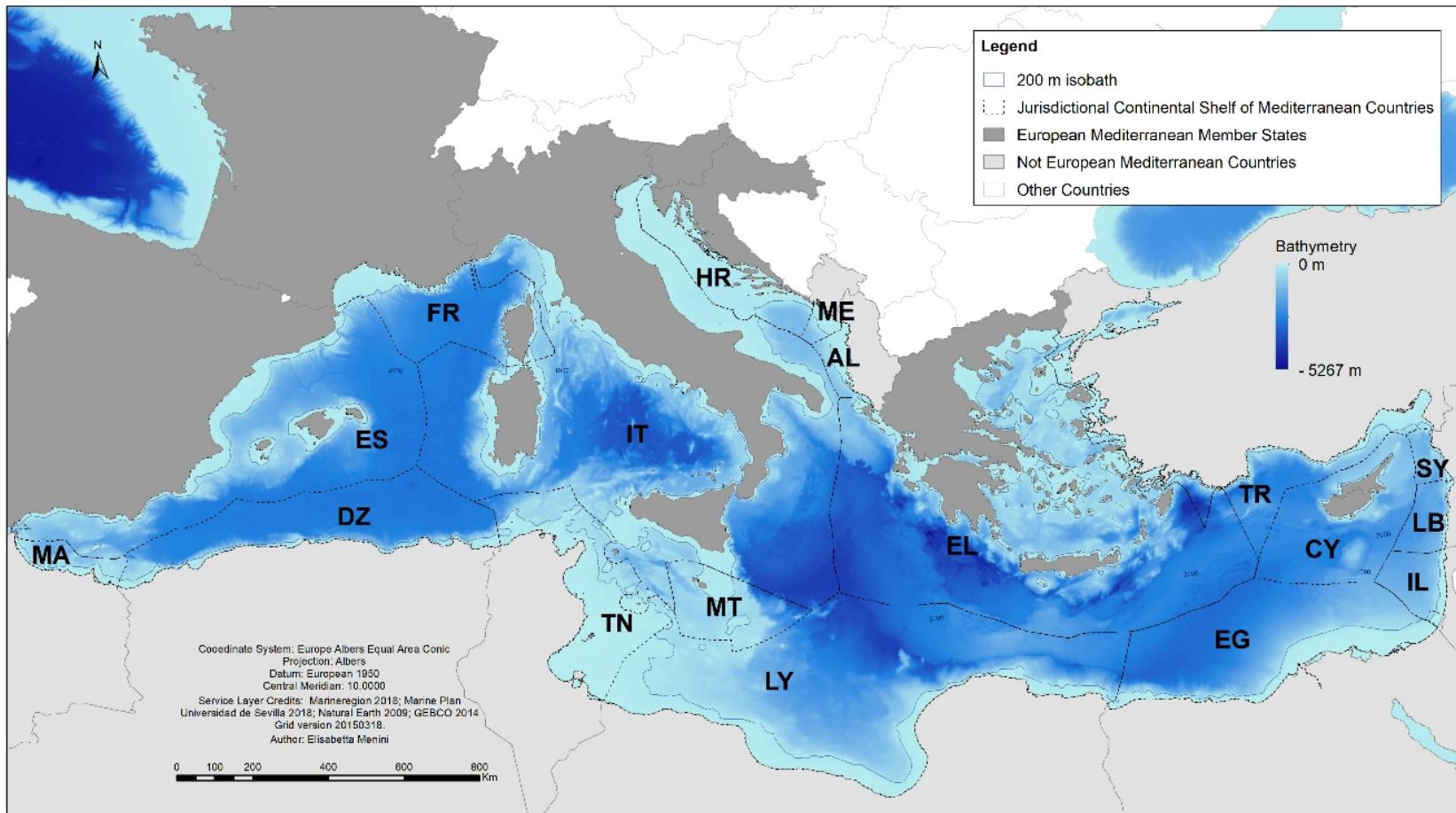
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3602 1878 **Table 1.** List of the descriptors, their definition if GES is achieved and if they are a state or pressure
3603 descriptors. Only D3 is both a state and pressure descriptor as it related to aspects such as the
3604 level of fishing activity (pressure) and population age, size distribution and biomass indices (state).
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Descriptor	GES	State	Pressure
1	Biodiversity is maintained	X	
2	Non-indigenous species do not adversely alter the ecosystem		X
3	The population of commercial fish species is healthy	X	X
4	Elements of food webs ensure long-term abundance and reproduction	X	
5	Eutrophication is minimised		X
6	The sea floor integrity ensures functioning of the ecosystem	X	
7	Permanent alteration of hydrographical conditions does not adversely affect the ecosystem		X
8	Concentrations of contaminants give no effects		X
9	Contaminants in seafood are below safe levels		X
10	Marine litter does not cause harm		X
11	Introduction of energy (including underwater noise) does not adversely affect the ecosystem		X

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3661 1884 **Figure captions**
3662 1885
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3664 1886 **Figure 1.** Jurisdictional Continental Shelf and deep Mediterranean Sea, with indication of
3665 1887 jurisdictional continental shelf per each country (including non-EU countries).
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3667 1889 **Figure 2.** Location of GFCM Geographical Sub-Areas (GSAs) and MSFD Mediterranean national sub-
3668 1890 regions. FAO divisions are shown in thumbnail map.
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Jurisdictional Continental Shelf and Deep Mediterranean Sea



Approximantive percentages of Jurisdictional Continental Shelf per Country related to the total area of deep sea, calculated with the 200 meters isobath limit, in the Mediterranean Sea: Albania (AL): 0,3%; Algeria (DZ): 6,1%; Croatia (HR): 0,5%; Cyprus (CY): 4,8%; Egypt (EG): 7%; France (FR): 3,5%; Greece (EL): 20,6%; Israel (IL): 1,1%; Italy (IT): 21,2%; Lebanon (LB): 0,95%; Libya (LY): 15,1%; Malta (MT): 2,3%; Montenegro (ME): 0,15%; Morocco (MA): 0,6%; Spain (ES): 11,1%; Syria (SY): 0,5%; Tunisia (TN): 1,5%; Turkey (TR): 2,5%.

