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ASSESSMENT OF INTRINSIC AQUIFER VULNERABILITY AT CONTINENTAL SCALE THROUGH A CRITICAL APPLICATION OF THE BRASTIC FRAMEWORK: THE CASE OF SOUTH AMERICA

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24 HIGHLIGHTS:

- First map of intrinsic aquifer vulnerability of South America continent is provided
- Outcomes are validated by sensitivity analyses and previous regional assessments
- Extensive discussion about data collection and limits of DRASTIC method is provided
- The DRASTIC assessment shows a medium to low vulnerability at continental scale
- Results show a higher vulnerability of aquifers in Amazon compared to other regions
- 30

31 **ABSTRACT:**

An assessment of the intrinsic aquifer vulnerability of South America is presented. The outcomes represent the potential sensitivity of natural aquifers to leaching of dissolved compounds from the land surface. The study, developed at continental scale but retaining regionally a high resolution, is based on a critical application of the DRASTIC method. The biggest challenge in performing such a study in South America was the scattered and irregular nature of environmental datasets. Accordingly,

the most updated information on soil, land use, geology, hydrogeology, and climate at continental, 37 national, and regional scale were selected from international and local databases. To avoid spatial 38 discrepancy and inconsistency, data were integrated, harmonized, and accurately cross-checked, 39 using local professional knowledge where information was missing. The method was applied in a 40 GIS environment to allow spatial analysis of raw data along with the overlaying and rating of maps. 41 The application of the DRASTIC method allows to classify South America into five vulnerability 42 classes, from very low to very high, and shows an overall medium to low vulnerability at continental 43 scale. The Amazon region, coastal aquifers, colluvial Andean valleys, and alluvial aquifers of main 44 45 rivers were the areas classified as highly vulnerable. Moreover, countries with the largest areas with high aquifer vulnerability were those characterized by extended regions of rainforest. In addition, a 46 47 single parameter sensitivity analysis showed depth to water table to be the most significant factor, while a cross-validation using existing vulnerability assessments and observed concentrations of 48 49 compounds in groundwater confirmed the reliability of the proposed assessment, even at regional scale. Overall, although additional field surveys and detailed works at local level are needed to 50 51 develop effective water management plans, the present DRASTIC map represents an essential common ground towards a more sustainable land-use and water management in the whole territory 52 of South America. 53

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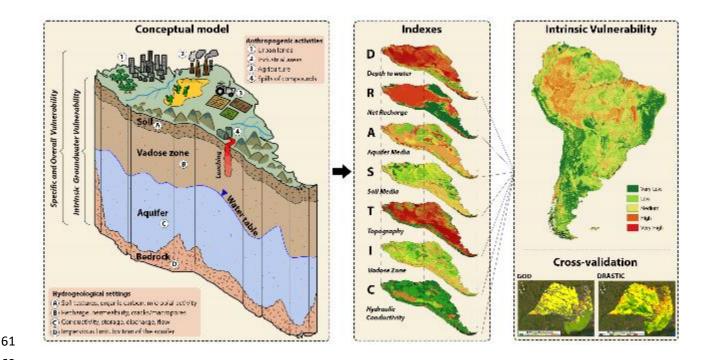
55 Keywords:

Intrinsic groundwater vulnerability; High-resolution maps; GIS; Groundwater; South America;
DRASTIC

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59 **GRAPHICAL ABSTRACT:**

60



62

63 1. INTRODUCTION

Groundwater is the largest store of available freshwater in the world (Cuthbert et al., 2019). Broadly, 64 more than half of world's population depends on subsurface water for any kind of utilizations such 65 as drinking, irrigation, domestic and industrial purposes (Oki and Kanae, 2006; Gleeson et al., 2010). 66 It was accounted that this resource provides drinking water for two billion people and irrigation for 67 40% of cropland (Siebert et al., 2010; Jasechko et al., 2014). However, growing population and 68 anthropogenic uses trigger depletion and pollution of this water resource, with clear detrimental 69 effects at both regional and local scales (Aeschbach-Hertig and Gleeson, 2012). For example, aquifers 70 in United States (US) have lost more than 700 km3 of water during the twentieth century (Konikow 71 and Kendy, 2005), and future long-term impacts of climate change may intensify this negative pattern. 72 On top of that, aquifers in every part of the world receive today higher loads of anthropogenic 73 substances, which may infiltrate through the unsaturated zone and accumulate deep in the subsurface 74 system (Ascott et al., 2017, Jasechko et al., 2017). Groundwater is consequently a vital and fragile 75 resource that requires a forward-looking approach in its management. In this framework, assessing 76 aquifer vulnerability to leaching represents a key preventive tool in terms of screening and 77 management to achieve a sustainable use of groundwater resources (Alley et al., 1999; Foster et al., 78 2013). Knowing the vulnerability of an aquifer in a specific location can help design tailored 79 management plans and protection strategies, which balance wisely present development and future 80 needs. The term aquifer vulnerability indicates the degree to which a subsurface system is likely to 81 be adversely affected by any perturbation or stress from the land surface (Aller et al., 1987). It depends 82 on the natural attenuation capacity related to a set of physicochemical processes in a certain location 83

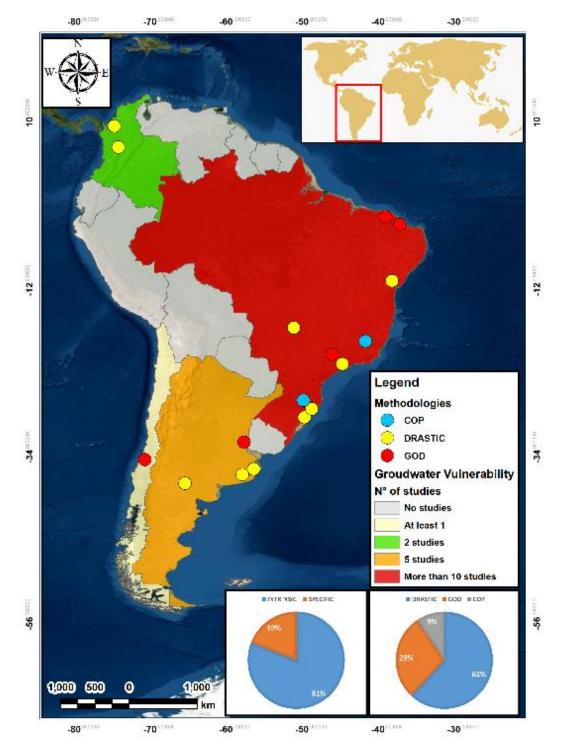
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(e.g. filtration, biodegradation, hydrolysis, adsorption, dilution, volatilization, and dispersion). 84 Accordingly, intrinsic vulnerability refers to the natural protection afforded by local hydrogeological 85 setting, while specific vulnerability also includes specific land uses, management practices, and 86 chemical characteristics of the compounds (Stigter et al., 2006; Gimsing et al., 2019). Alternatively, 87 for the latter case, some authors (Foster and Hirata, 1988; Foster et al., 2013) prefer the term aquifer 88 hazard as an interaction between pollutant load activities and intrinsic aquifer vulnerability, 89 recognizing that elevate hazard occurs only when a high pollutant load affects a highly vulnerable 90 area. Aquifer vulnerability can be estimated using different approaches (US-NRC, 1993), which vary 91 in terms of complexity, computation effort, and data requirement: (a) overlay/index methods, (b) 92 process-based models, (c) statistical methods and, raised in the last two decades, (d) hybrid methods. 93 "Index methods" classify main drivers of leaching process one-by-one (i.e. indexes), assigning a 94 "rating" and sum them up with a linear combination in a GIS-environment (Gogu and Dassargues, 95 96 2000; Neshat et al., 2014; Massone and Barilari, 2020). They are simple and easy-to-use, generally require minimal data and are scalable to large domains but produce only single static value for 97 vulnerability. For this reason, they are deployed as a screening tool for extended domains (e.g., 98 regional to national). Conversely, "process-based methods" aim to develop a comprehensive and 99 transient-over-time model of the natural domain, which is controlled by physically based relations 100 (Wachniew et al., 2016). They are complex and powerful tools, but time and data consuming. 101 Accordingly, they are mostly used on small domains (e.g., field to regional) as require extensive 102 parametrization and high computational effort to obtain a strictly site-specific assessment. "Statistical 103 methods" such as conditional probability analysis, weight of evidence (WOE) multiple linear 104 regression (MLR) or geostatistical interpolation (kriging) define the vulnerability of an aquifer based 105 106 on available observations rather than analysing natural mechanisms and local conditions (Roy-Roura et al., 2013; Busico et al., 2018; Javadi et al., 2020; Rahmani et al., 2021). They allow assessment of 107 groundwater exposure and its uncertainty by processing large sets of data, but consequently, rely on 108 availability and quality of that data, which can be difficult to obtain. Lastly, "hybrid methods" 109 110 recombine previous approaches using recent numerical algorithms e.g. genetic algorithms, machine 111 learning (Nadiri et al., 2018; Jahromi et al., 2021, Sadeghfam et al., 2021) or combining different modelling approaches (Keuskamp et al., 2012; Jia et al., 2019). They try to overcome the limitations 112 of other methods by reducing the subjectivity of ratings and by modifying the limits of vulnerability 113 classes. For example, DRASTIC and SINTACS were fully hybridized by a calibration process using 114 parameter weights and scores (Kazakis and Voudouris, 2015; Busico et al., 2017; Busico et al., 2020). 115 An exhaustive review of those approaches along with limitations and future challenges is available 116 117 in Machiwal et al. (2018a; b) and Goyal et al. (2021). Most of the applicability of a specific method

depends on the availability and quality of data (i.e., observations of groundwater quality and local 118 conditions to characterize the domain or constrain the model). Accordingly, simpler, and faster 119 approaches, which require less data and time to run, are still the most employed worldwide. Index 120 and rating methods such as DRASTIC (Aller et al., 1987), AVI (Van Stemproot et al., 1993), 121 SINTACS (Civita and De Maio, 2004) and GOD (Foster, 1987; Foster and Hirata, 1988), COP (Vias 122 et al., 2006) which summarize complex hydrogeological settings in few intuitive indexes, are the most 123 applied for assessment of aquifer vulnerability of extended areas. In the last years, vulnerability 124 125 assessments have started to be developed at very large scale in different parts of the world. Although lacking in a comprehensive continental map, North America and Asia boasted a considerable 126 scientific production about aquifer vulnerability on international indexed journals, developing studies 127 at very large scale (Fritch et al., 2000; Huan et al., 2012; Li and Merchant, 2013; Yin et al., 2013). At 128 the same time, pan continental maps of groundwater vulnerability have been developed in Europe 129 130 (Kumar et al., 2020; Nistor, 2020) and Africa (Ouedraogo et al., 2016). These assessments represented the initial attempts of using remote sensing and open data to estimate the aquifer 131 vulnerability locally, but in a consistent continental framework. However, they missed an extensive 132 discussion about limitations of the available datasets and the strong link of the results with the quality 133 of input data. In addition, continental maps of groundwater vulnerability in Europe and Africa have 134 pixel dimensions of about 15 km or more, which result in map scales lower than 1:60M. Nevertheless, 135 territorial planning issues usually require cartographies with larger scales to allow municipal (e.g., 136 1:25k-1:50k) or state/provincial (e.g., 1:100k-1:500k) operators to correctly manage land use or 137 establish priority-action policies for a sustainable groundwater management. This shift in map scaling 138 may entail that academically valid contributions have in practice little scope in terms of management 139 140 by authorities and policymakers. Given that a continental vulnerability assessment of groundwater is less well established in South America, the present paper aims to fill this gap. However, it aspires to 141 do so, by providing a continental map that maximizes the local resolution of hydrogeological features. 142 In South America, aquifer vulnerability started gaining visibility in scientific literature only in the 143 144 last two decades, having most of the indexed works published in the last 10 years. Although land use 145 change is a hot topic for groundwater depletion in South America (De Sy et al., 2015), aquifer vulnerability and water quality degradation represent two environmental topics only recently well 146 147 understood (Bocanegra et al., 2010). Furthermore, availability of reliable and continuous datasets with environmental data is scarce and scattered. Accordingly, the spatial distribution of indexed 148 149 vulnerability studies in South America is far from uniform (Fig. 1). Literature research on Scopus database (i.e. Elsevier) has showed that only Brazil, Argentina, Colombia, and Chile have at least one 150 151 indexed study in English on groundwater vulnerability at local and regional scale (Table S0). Most

of the studies focused on Brazil and Argentina, which count more than ten and five studies, 152 respectively. In Brazil, the two most applied methods are DRASTIC (Herlinger and Viero, 2007; 153 Nobre et al., 2007; Seabra et al., 2009; Caprario et al., 2019; Giacomazzo and de Almeida, 2020) and 154 GOD, (Hirata et al., 1991; Gomes et al., 2018; Peixoto and Cavalcante, 2019), followed by COP 155 (Tayer and Velasquez, 2017; Aragão et al., 2020), which is specific for karst regions. The first and 156 largest application of a rating method in South America was also in Brazil, where a GOD vulnerability 157 assessment in 1991 was developed for the entire Sao Paulo state (Hirata et al., 1991). In Argentina, 158 DRASTIC method is the most deployed for both, intrinsic (Massone et al., 2010; Montoya et al., 159 160 2019) or specific vulnerability (Lima et al., 2011), followed by GOD (Boujon and Sanci, 2014). Finally, Colombia (Betancur et al., 2013; Agudelo Moreno et al., 2020) and Chile (Duhalde et al., 161 2018) only showed two and one studies, respectively. Conversely, there are plenty of unindexed 162 articles and reports in Portuguese and Spanish (roughly more than 1,000) using different assessment 163 164 methods to address groundwater vulnerability at local scale. In many regions of Brazil, Costa Rica, Argentina, and Colombia, GOD is also used by governs and authorities as an official tool to control 165 166 land use. Such a disjoined range of regional assessments would benefit from a pan continental study, which aims to harmonize vulnerabilities from different countries of South America in a common 167 framework and to provide an international showcase for a large unpublished literature (i.e. 168 government documents) on aquifer vulnerability. Moreover, in South America there are 29 169 transboundary aquifers (IGRAC, 2015) and a pan continental study would also ease international 170 cooperation on water resources management, promoting the sound development of joint projects 171 specific to groundwater (Villar, 2016). Furthermore, none of the previous studies offered a robust 172 validation of the proposed methodology or a quality check of the results. Accordingly, this paper 173 presents the very first DRASTIC-based assessment of intrinsic groundwater vulnerability at 174 continental scale in South America, which retains a high resolution of final maps. Local and 175 international databases were combined to maximize spatial discretization and resolution of the 176 proposed map in GIS (250m pixel resolution, which results in about 1:500k of map scale). In the 177 manuscript, the authors go through a quality check of the input datasets used in the method, validate 178 179 the vulnerability map against groundwater concentrations of different compounds (e.g., NO₃⁻, Cl⁻, PO43-) and previous assessments at local scale, and discuss main limitations of DRASTIC 180 methodology. Only freely available sources and datasets were used in this work. The data collection 181 182 is fully documented and stored in a dedicated repository (Rama et al., 2021).

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184

Fig. 1: Distribution of local and regional studies on groundwater vulnerability in South America from a
literature review. The review was conducted on Scopus database (Elsevier), focused on regional and local
studies (Base map from ESRI Digital Globe 2021).

188

189 2. STUDY AREA

190 The study area is represented by the fourth largest continent in the world: South America (Fig. 2a).

191 The continental limit is defined by the frontier between Colombia (in) and Panama (out), having as a

192 Northernmost point Punta Gallinas, Colombia (12°27′31″N-71°40′8″W), Southernmost point Cape

Froward, Chile (53°53'47"S -71°17'40"W), Westernmost point Punta Pariñas, Peru (4°40'58"S-193 81°19'43"W) and Easternmost point Ponta do Seixas, Brazil (7°9'19"S-34°47'35"W). It includes 13 194 countries (Argentina, Bolivia, Brazil, Chile, Colombia, Ecuador, Guyana, Paraguay, Peru, Suriname, 195 Trinidad and Tobago, Uruguay, and Venezuela) and many other territories. Most of the continent is 196 located above a large tectonic plaque, whose movement to West was producing the subduction of 197 Nazca Plaque, which generated Andean Cordillera (Capitanio et al., 2011). Thus, from the 198 hydrogeological point of view, the Andes divide South America into two major regions. On the one 199 hand, the Pacific region (i.e., tectonically active), where basins and aquifers are relatively small, being 200 201 limited by the topography of the intermontane valleys. On the other hand, the Atlantic region, which is tectonically inactive, hosts large basins (e.g., the Orinoco and Amazon systems), plains of 202 203 extremely low slope (e.g., Chaco-Pampean plain in the Rio de la Plata basin), and transboundary groundwater bodies (e.g., Guarani aquifer across Brazil, Uruguay, Paraguay, and Argentina). 204 205 Accordingly, the map of groundwater resources (Fig. 2b) obtained from the World-wide Hydrogeological Mapping and Assessment Programme (WHYMAP) (Ricths et al, 2011) shows that 206 207 large groundwater basins, which include the entire Amazon basin in Brazil, Venezuela, and Guyana, along with the transboundary Guarani aquifer system, are mainly located in the Atlantic region. 208 209 Conversely, complex hydrogeological systems, which refer to fractured and karstified systems, and local aquifers are quite extensive in the Pacific side and on the top of Andean Cordillera. In addition, 210 South America presents a slightly variable regime of temperature and precipitation on the land 211 surface, having most of the climates of the world (Fig. 2b). The Andean Cordillera affects air mass 212 circulation in atmosphere, intercepting most of the large rain systems from both sides associated with 213 Atlantic Westerlies and Pacific Frontals systems (Garreaud et al., 2009). This interception produces 214 intense rainfall concentrated in specific regions, for example the Orinoco-Amazonas watershed 215 (Yoon et al., 2010), and the Paramos of the tropical Andes, which consequently represent important 216 and fragile sources of freshwater (Célleri and Feyen, 2009). Conversely, those focused precipitations 217 produce extremely dry areas, such as the Latin-American diagonal of aridity, which interest most of 218 the cost of Peru, North and central Chile, and South Argentina (Núñez and Verbist, 2018). The wide 219 220 variability of climate conditions in the continent is also affected by irregular and periodic large-scale variations in wind circulations, such as El Niño-Southern Oscillations (ENSO), the Pacific Decadal 221 222 Oscillation and the Atlantic Oscillation (Garreaud, 2009). In terms of land use, about 50% of South America is covered by forests, followed by grasslands 26%, agriculture 24%, barren 3%, and water 223 224 bodies 1% (Fig. 2c). Percentage of forests in each country ranges from 9.5% in Uruguay to 96.5% in French Guiana (Giri and Long, 2014). 225

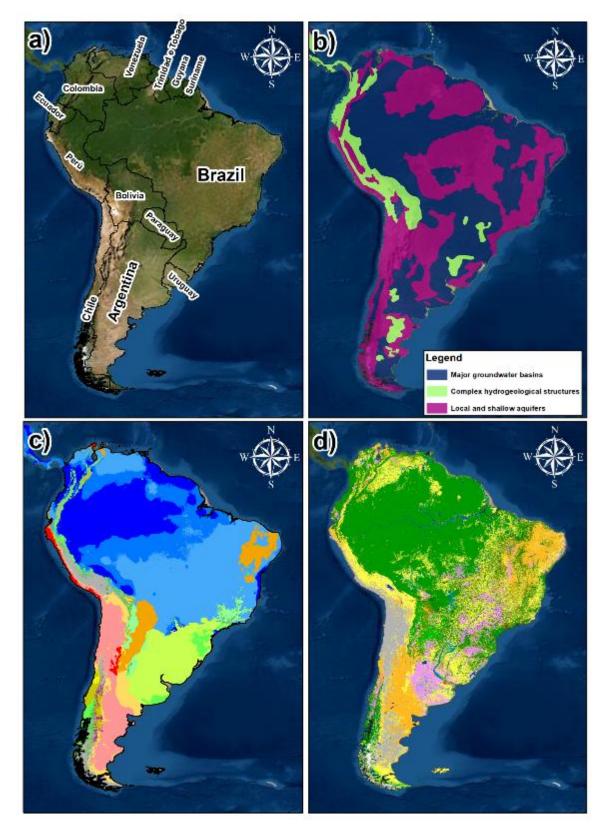


Fig. 2: South America overview: a) Administrative limits (ESRI Digital Globe 2021); b) Distribution of
groundwater resources (adapted from WHYMAP, Ricths et al, 2011); c) Koppen-Geiger climate classification
(adapted from Beck et al., 2018); d) Land use as reported in Corine Land Cover (adapted from European Union,
Copernicus Land Monitoring Service 2018). Detailed legends for c) Koppen-Geiger climate classification and
d) for CLC are available in the Supplementary Material (Fig. S2).

233 **3. MATERIALS AND METHODS**

234 **3.1. DRASTIC method**

DRASTIC is an index and rating method based on the overlapping of a set of factors (i.e. indexes), 235 which represent a synthesis of available information to characterize specific hydrogeological settings 236 in a certain area (Aller et al., 1987). Those settings affect the sensitivity of an aquifer to a potential 237 contamination from the land surface (i.e. intrinsic), but do not take into account specific land uses or 238 chemical characteristics of dissolved compounds (e.g. persistence, mobility, adsorption) required for 239 a risk/hazard assessment (i.e. specific). In DRASTIC, indexes represent Depth to water table (D), net 240 Recharge (R), Aquifer type (A), Soil media (S), Topography (T), Impact of vadose zone (I), and 241 hydraulic Conductivity (C). The method, designed as a linear combination of the ratings of those 242 243 indexes in every pixel *i*, is described by:

244

246

$$V_{i} = D_{w} * D_{r,i} + R_{w} * R_{r,i} + A_{w} * A_{r,i} + S_{w} * S_{r,i} + T_{w} * T_{r,i} + I_{w} * I_{r,i} + C_{w} * C_{r,i}$$
(1)

247 Where V_i is the overall DRASTIC score in every pixel *i* (i.e. unit grid cell), which represents the 248 intrinsic aquifer vulnerability score in that location.

Theoretically, each index should be an independent variable that describe a specific process or 249 condition related with leaching process in a seven-dimension space. Indexes can be rated from 1 250 (aquifer not vulnerable to that factor) to 10 (highly vulnerable to that factor) based on specific settings 251 in a certain location (subscript r in Eq.1). In addition, each index is weighted from 1 to 5 based on its 252 relative importance in the overall leaching process (subscript w in Eq.1). Consequently, DRASTIC 253 254 is subject to interpretation and expert judgement in the selection of weighting and rating values of the indexes. More details about the classification of ratings and weights in DRASTIC are available in the 255 256 supplement (Table S1). DRASTIC scores may range from 23 (minimum vulnerability) to 226 (maximum vulnerability). However, scores close to the minimum and maximum are quite unlikely, 257 258 as is the occurrence of all highly unfavourable (or favourable) environmental conditions for groundwater leaching in the same place. From a statistical point of view, the population of those 259 260 scores have a gaussian distribution with most of data assembled around the mean (Fig. S2). Therefore, less than 10% of overall scores would be higher than 160, which is the limit of high vulnerability by 261 262 Aller et al. (1987). Consequently, to better reproduce the effective vulnerability, many researchers have adapted the limits of the classes according to the distribution of scores in the specific study area. 263 Numerous classifications were proposed, and among those, the geometrical interval is one of the most 264 employed (Kazakis and Voudouris, 2015; Busico et al., 2020). Based on these considerations, the 265 limits of the five vulnerability classes in this paper were based on the analysis of the statistical 266

distribution of DRASTIC scores in South America. Thus, intrinsic aquifer vulnerability is classified 267 from very low (i.e., scores lower than 90) to very high (scores higher than 180), passing through low 268 (scores: 90-115), moderate (scores: 115-140), and high vulnerability (scores: 140-180). Using such 269 limits for the classes allows low (green), medium (yellow) and high (red) scores to be more balanced 270 in terms of cumulative density function, having ~33% of population in each class (Fig. S2). 271 Vulnerability classes inform on groundwater protection needs at local scale (Foster et al., 2013) and 272 can be used to compare those needs between locations in different countries, having a common 273 274 conceptual framework developed at continental scale.

275

3.2. Data collection and screening of selected datasets

277 The most important but challenging steps in assessing groundwater vulnerability by index and rating methods are: i) a comprehensive data collection, ii) a quality control and consistency analysis of input 278 279 data, and iii) a data harmonization that focus on granularity of results. In theory, a vulnerability assessment should rely on robust field data and make use of a comprehensive understanding of natural 280 281 processes. In practice, it mostly represents a "best professional synthesis" of already available information (Foster et al., 2013). This can be satisfactory for local applications since usually datasets 282 283 are more detailed and anyway accompanied by field monitoring. For larger scales, where technical knowledge of field-level conditions decreases and uncertainties/artefacts in datasets increase, an 284 extended data collection, harmonization and quality control is required to build this professional 285 synthesis on comprehensive and reliable information. Nowadays, large availability of global datasets 286 enhances technical possibilities and global applications of methods. However, uncritical uses of 287 global databases can prove to be erroneous and dangerous in a vulnerability assessment, regardless 288 of the method chosen. While avoiding looking into artefacts and incongruencies in a global input 289 layer can produce a visually suitable outcome in terms of mapping, it will also incorporate 290 inconsistencies and uncertainties in the vulnerability assessment difficult to be isolated in a final 291 score. The result would be a poor prediction of actual aquifer vulnerability, which is based on local 292 293 specific conditions, undermining practical uses of the calibrated assessment. For this reason, the first 294 phases of a well-conducted vulnerability assessment should entail: (1) gathering as much information as possible, both globally and locally, (2) checking quality and consistency of data in the specific area 295 296 of interest, (3) challenging global data against field measurements (if available), local information and professional knowledge, and finally (4) integrating and harmonizing datasets with different 297 298 spatial resolution/extent or scale. Accordingly, the present work is based on a wide collection of openaccess datasets at different scale (i.e., regional/national/continental/global), processed and spatially 299 300 integrated in a GIS environment, cross-checked using location and descriptive attributes from different sources and technical judgment of local experts. All the maps were rasterized using a fixed
250 m squared pixel as spatial resolution, which results in a cartographic scale of 1:500k (Tobler,
1987). Data sources and data specifications are extensively described in Table S2 and can be accessed
by a dedicated repository (Rama et al., 2021).

305

306 3.3. Sensitivity analysis (SA)

The main drawback of rating methods is their high sensitivity to scores and weighs of the indexes, 307 which are essentially subject to a technical judgment of an expert and can be even seen as arbitrary 308 309 values. For this reason, vulnerability assessments need to objectify and challenge their assumptions with mathematical procedures, such as sensitivity and uncertainty analysis. A sensitivity analysis 310 (SA) helps in addressing the significance of a subjective component, establishing the importance of 311 the weigh assigned to a certain parameter. Single parameter SA allows comparing original or 312 "theoretical" weights used in a rating method (Table S1) with effective or actual weights of each 313 parameter computed by the map (Napolitano and Fabbri, 1996). It represents a univariate analysis of 314 315 the effective weigh of each parameter, performed in a spatially variable domain of ratings like a raster map. By following Eq. 2, it is possible to establish in every pixel (i) the effective importance of a 316 single parameter over the overall vulnerability score (Vi), rather than the "theoretical" DRASTIC 317 weight by Eq. 3: 318

319

320
$$EW_i = \left(P_{r,i} \times \frac{P_W}{V_i}\right)$$
(2)

321
$$OW = \frac{P_W}{\sum_{i=1}^{n=7} P_{W,i}}$$
 (3)

322

where EW_i is the effective weight of each parameter in every pixel *i*, $P_{r,i}$ is the rating assigned to an index in a pixel *i* (i.e., spatially variable), P_w is the original weight of this index (i.e., fixed) assigned by the DRASTIC framework, and V_i is the overall vulnerability score in the DRASTIC map.

Finally, to assess the sensitivity of the DRASTIC score to a single index of the method, a map removal SA was also performed (Lodwick et al. 1990). This map removal is carried out by neglecting a single index in the DRASTIC method and estimating the effect of the removal over the overall score. The method is described by the Eq. 4:

330

331
$$S_i = (|V_i/n - V_i'/n'|/V_i)$$
 (4)

where Si is the sensitivity rate, representing the average effect of removing indexes from the methodology, V_i is the overall DRASTIC score in every pixel *i*, V'_i is the vulnerability score neglecting the removed indexes, n and n' are the number of data layers used to calculate V_i and V'_i. Both methodologies have been applied by many authors, alone or in combination, in groundwater vulnerability assessment, seawater intrusion and fire-risk mapping at different scales (Babiker et al., 2005; Huan et al., 2012; Neshat et al., 2014; Kazakis and Voudouris, 2015; Pacheco et al., 2015; Ouedraogo et al., 2016; Busico et al., 2019; Kazakis et al., 2019)

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340 **3.4. Validation of DRASTIC map**

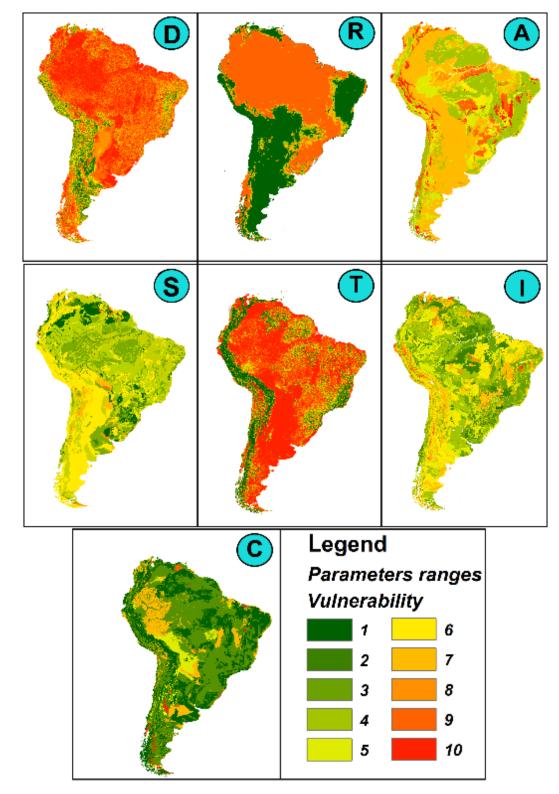
Validation is the task of confirming that the outputs of a model are acceptable with respect to an 341 independent set of data that represents a certain mechanism. The validation of a DRASTIC map, 342 representing the intrinsic vulnerability of groundwater across a continent, is a challenging exercise. 343 344 For this reason, the assessment presented here was validated by checking its accuracy and reliability using different approaches. First, a spatial cross-validation with previous regional vulnerability 345 346 assessments was performed. The merit of assessments with smaller extension is to be closer to territories and communities, use input data with higher resolution and have a better understanding of 347 natural processes, details, and exceptions. However, local assessments with too small extension (e.g. 348 field or basin) were considered unreliable for a cross-validation of a continental map and for this 349 reason neglected in this study. Therefore, two regional vulnerability assessments in completely 350 different environments were selected for this purpose: the vulnerability map of São Paulo state, Brazil 351 (Hirata et al., 1991) and Rapel district assessment, Chile (Arumi and Jara, 2009). For consistency in 352 the comparison, both maps were developed using the GOD method. Second, a comparison of 353 DRASTIC score versus point measures of groundwater quality was performed. Groundwater 354 concentrations from ~150 wells across Chile (DGA, 2019) and 50 monitoring locations for NO3- in 355 São Paulo state (CETESB, 2021) were used in this step. It is worth stressing that such a comparison 356 of an intrinsic vulnerability map versus point measures is a controversial exercise. Vulnerability maps 357 358 represent a static picture of the sensitivity of aquifers to vertical leaching through soil and unsaturated 359 zone. Conversely, groundwater concentrations monitored at individual wells can be affected by transport and accumulation, being usually summoned by water withdrawals and drawdown cones 360 361 (e.g., pumping wells). In addition, multiple measures from wells, even if averaged over time, represent seasonality of subsurface natural processes and anthropic pressure. Therefore, these two 362 kinds of information should be considered complementary rather than interchangeable to achieve a 363 comprehensive assessment of groundwater protection needs. For all these reasons, correlations 364 365 between concentrations and DRASTIC scores at point level is always very poor (Lasagna et al.,

366 2016). Consequently, to try improving overall correlation with point measures, a normalization with 367 upgradient distances is proposed. The upgradient distance indicates a linear average space between 368 the well and the subsurface watershed and may represents an indirect measure of the probability of 369 collecting solute concentrations from sources located upgradient to the well.

370

371 4. RESULTS AND DISCUSSION

The development of this first groundwater vulnerability assessment at continental scale for South America is now introduced. To appreciate all strengths and weaknesses implied in the vulnerability score, each index of the method is presented and discussed in a dedicated section before introducing the overall DRASTIC map at continental scale.



376

Fig. 3: Representation of rating classification of single DRASTIC parameters for South America (based on DRASTIC weights and classes described in Table S1). High-resolution version of those maps is stored in a dedicated repository (Rama et al., 2021).

380

381 **4.1. Depth to water table (D)**

382 The depth to water table index (D) represents the maximum extent of the leaching process, defining

the travel distance (and thereby time) of dissolved pollutants into the vadose zone (Aller et al., 1987).

It is based on an average water table position in the pixel (i.e., average hydraulic head of unconfined 384 aquifer), by considering the aquifer as a steady-state water body. This assumption brings to two major 385 conceptual limitations: (1) the discontinuity (i.e., averaged over the pixel) and wide range of depths 386 encompassed in the map, which make difficult to look at the vulnerability as a continuum at 387 continental scale, and (2) the misrepresentation of transient mixing and vertical flux processes near 388 the top of the aquifer with a stationary water table. It was demonstrated that water-table fluctuations 389 390 increase mass transfer and transport of dissolved compounds by affecting their dissolution, dispersion and mixing (Goode and Konikow, 1990; Davis et al., 1999; Vanderborght et al., 2000; Dobson et al., 391 2007; Rama et al., 2019). However, the major practical issue in including water table fluctuations in 392 a sort of "transient" D index is given by the absence of those data, especially for large domains. 393 394 Accordingly, in this paper, D index relies on a simulated estimation of the stationary water table depth for South America at ~250 m planar resolution (Fan and Miguez-Macho, 2010; Miguez-Macho and 395 396 Fan, 2012a, b), which provide enough granularity to go from regional to local scale. This map, specific for South America, was preferred to the global outcome at ~1 km resolution (Fan et al., 2013) 397 and to other outcomes from hydrological models such as PCR-GLOBWB v2.0 (de Graaf et al., 2015; 398 2019) in reason of its higher resolution. It is worth stressing that the high-resolution depth to water 399 map has been calibrated and validated using measures of water table coming from more than 30k 400 monitoring stations located all over the continent (Fan et al., 2013). Based on D ratings, about 60% 401 of South America is classified as vulnerable for depth to water (Fig. 3), having about 35% of the 402 continent with very shallow aquifers (<5 m). Next steps of this work would investigate the impact of 403 water table fluctuations, including transient groundwater levels into the intrinsic vulnerability 404 assessment, especially in regions with shallow aquifers affected by large water table fluctuations. 405

406

407 **4.2.** Net recharge (**R**)

The net recharge index (R) in DRASTIC represents water inputs into the aquifer system. Accordingly, 408 net recharge can be seen as the total quantity of water that reach the aquifer in a certain period, 409 generally given as a column of water over a year (e.g., mm/y). In this framework, precipitation is 410 411 considered as the main driver of leaching, which moves pollutants from topsoil to the aquifer through infiltration and percolation. Accordingly, a simplistic approach to DRASTIC recharge would only 412 413 include precipitation and would neglect any other water input, like irrigation, wastewater, and artificial recharge (Aller et al., 1987). To tackle this issue, in this paper recharge was estimated from 414 the subsurface water balance of PCR-GLOBWB v2.0 (Sutanudjaja et al., 2018), a grid-based global 415 hydrology model able to simulates all water exchanges between soil, atmosphere, and groundwater 416 417 reservoirs (Fig. S3). Recharge values come from the outputs of a transient run between 1995-2015,

averaged and summed up over time. Therefore, the recharge values used to establish the R index also 418 include water volumes from irrigation, evapotranspiration, runoff, interflow, and main anthropic 419 activities. Such numeric outputs were preferred to the recharge estimates from an empirical model 420 (Mohan et al., 2018), to a direct elaboration of precipitation data from WorldClim v2.1 (Fick and 421 Hijmans, 2017), and to a simple water balance based on ERA5-Land data from ECMWF web site 422 (Muñoz-Sabater, 2019), which would have neglected other water inputs into the system. The 423 424 classification of R index confirms that highly vulnerable areas for recharge (>250 mm/y) are located 425 in tropical and subtropical regions of South America (Amazon Forest, Orinoco, and La Plata system), 426 while less vulnerable areas characterize arid and semi-arid regions like Northern Chile and Northeast of Brazil (Fig. 3). The major limitation of recharge data is the spatial resolution, having PCR-427 GLOBWB v2.0 a computational grid of 5 arcminute (~10 km at the equator). To further improve 428 spatial resolution and overall accuracy, next steps should investigate other recharge estimates as 429 430 inputs for the R index (e.g., outputs from different global models as WaterGAP and H-TESSEL or approaching other analytical calculation to estimate the recharge). Those products may provide a finer 431 432 resolution or a better estimation of recharge fluxes in critical areas, such as dry and wet regions. In addition, if recharge is the sum of main water inputs in an area, it will progressively change over time, 433 and is massively affected by human activities. However, this fact clashes with an intrinsic 434 vulnerability definition, which should neglect anthropogenic activities. For this reason, next steps of 435 this work would investigate the use of recharge volumes in the definition of pollution loads for a 436 specific vulnerability assessment. Finally, it is worth stressing that linear relation of recharge and 437 score in DRASTIC neglects dilution as a possible mechanism reducing aquifer vulnerability in areas 438 with massive precipitation. This assumption was maintained in the present work as worst-case 439 440 scenario, but authors would recommend adopting a more physically based description of vulnerability by recharge that includes dilution, as for example is done in SINTACS methodology (Civita and De 441 Maio, 2004). 442

443

444 **4.3. Aquifer type (A)**

The aquifer index (A) describes unconsolidated deposits and consolidated lithology that host the aquifer itself and may affect with their characteristics the local flow system. Once dissolved compounds have reached the saturated zone, the residual attenuation capacity of subsurface system is related with four main mechanisms: i) dispersion, ii) dilution, iii) absorption, and iv) chemical reactivity of the media. Being mostly affected by tortuosity of filtration paths (i.e. travel length and time), those processes are summarized through the geological characteristics of media, ranging from less sensitive formations (e.g. shale and metamorphic rock) to most vulnerable ones (e.g. karst and

coarse unconsolidated environments) (Table S1). In this work A index was obtained by integrating a 452 continental geological map of South America at 1:5M (Gómez et al., 2019) with more detailed 453 hydrogeological datasets of Brazil, Chile, and Argentina (Table S2). Different layers were combined 454 in a new one using spatial analysis tools in GIS, achieving local enhancements of spatial 455 discretization. Geologically, whole continent was divided in seven main domains (i.e. Sedimentary, 456 Porous/Fissured, Carbonates, Crystalline, Volcanic, Metamorphic, and Cenozoic). The vulnerability 457 of each feature was classified by the information on its geological domain, aquifer type (i.e. karst, 458 459 fissured/fractured, porous, mixed), degree of fractures (i.e. no, low, medium, high), aquifer 460 productivity (i.e. non-productive, extremely low, low, medium, and high) and transmissivity (with K ranging from >E-4 to <E-8). The highest vulnerability (ratings 9-10) to "aquifer type" was assigned 461 to karst environments and very conductive porous media like main river valleys and coastal areas, 462 while non-productive volcanic and crystalline bedrocks received the lowest ratings 2-3. A rate of 5 463 464 to 6 were instead assigned to fractured and metamorphic formation outcropping in Brazil and Argentina (Fig. 3). 465

466

467 **4.4 Soil attenuation (S)**

The soil index (S) accounts for the attenuation capacity of topsoil media in the leaching process. Soil 468 is the upper layer of the vadose zone, more organic and weathered, characterized by an intense 469 biological activity, which represents the initial natural barrier to the pollutant infiltration (Aller et al., 470 1987). In DRASTIC, all attenuation mechanisms that may depend on soil properties (e.g., 471 biodegradation, adsorption, fixation) are summarized by the USDA textural class, which only 472 depends on percent content of silt, clay, and sand. Accordingly, fine materials (e.g., clay and silt), 473 474 which are less permeable, are classified as less vulnerable than coarse textures (e.g., sand and gravel) that allows a more rapid infiltration. This assumption entails a homogeneous medium and a uniform 475 chromatographic flow in the column, and completely overlooks anisotropies (e.g., root and 476 earthworm channels, fissures and interaggregate voids) that usually drive non-equilibrium water flow 477 478 in the first 50-100 cm of soil. It is worth stressing that this simplification of soil complexity can bring 479 in some cases to a misrepresentation of infiltration, as it happens for heavy/aggregated soils where bypass flow and preferential pathways can control the leaching process (Jarvis, 2007; van der Heijden 480 481 et al., 2013). However, by looking processes on average over extended areas (i.e., 250 m pixel), a chromatographic flow controlled by main textural class still seems to be a reasonable representation 482 483 of infiltration through the soil column, since at larger scales the preferential pathways effects are usually mediated while other features like surface depressions, faults and discontinuous layers prevail 484 485 (Hendrickx and Flury, 2001). In this paper, S index was defined by combining datasets with different

spatial extent (Table S2). A preliminary distribution of soil texture for the continent was defined with 486 the Harmonized World Soil database (FAO, 2012), a vectorial geodatabase obtained from a 30" 487 resolution map. The distribution was refined using 3,000 soil columns from World Soil Information 488 Service (Batjes et al., 2020). Finally, information from two extensive databases at national scale as 489 HYBRAS (Ottoni et al., 2018) and PRONASOLOS (Cooper et al., 2005) allowed a more detailed 490 discretization of topsoil properties in Brazil with more than 30,000 soils profiles. Dominant soil 491 textures at continental scale are loams, suggesting a relative low vulnerability to leaching (ratings 2-492 493 6) in most of South America (Fig. 3).

494

495 **4.5 Slope or topography (T)**

The topography index (T) accounts for the impact of land surface onto the leaching mechanism. In 496 terms of water balance, precipitation generates three fluxes (i.e., runoff, recharge, 497 498 evapotranspiration), whose equilibrium is controlled by local conditions of climate, topography, and hydrogeology. Therefore, topography index would represent a qualitative indication of the ratio of 499 500 runoff to infiltration based on terrain's slope only. Unlike more recent "overlay and index" methods designed for karst (e.g., COP and PaPRIKa), T index in DRASTIC neglects any interaction of 501 topography with other surface features (e.g., hydrology network, colluvial deposits, sinkholes) or 502 geology (e.g., impervious formations). For this reason, it should be considered as a simple indication 503 of terrain slope, rather than a sophisticated link between topography and infiltration. In this work, 504 surface slope was estimated in a GIS environment from MERIT DEM, which is an unbiased ~90 m 505 resolution global map of terrain elevation (Table S2). It was developed using existing spaceborne 506 DEMs as SRTM3 v2.1 and AW3D-30m v1, by removing multiple error components such as absolute 507 508 bias, stripe noise, speckle noise, and tree height bias (Yamazaki et al., 2017). A clear gap is shown in the classification of T index (Fig. 3) between flat morphology (0-4%) that dominates major river 509 valleys and large continental flatlands (ratings 9-10), and steep geography that characterizes Andean 510 regions along the Pacific coast (ratings 1-2). 511

512

513 4.6 Impact of vadose zone (I)

The index I aims to estimate the attenuation capacity afforded by unsaturated zone, which lays between the topsoil and the aquifer (or, more precisely, the top of capillary fringe). Unfortunately, spatial information and global datasets characterizing this zone are rare and generally scattered. For example, only few databases provide consistent information of lithology depth, differentiating surficial and deep deposits. Therefore, to address I index is common interpolating more widely available data of topsoil and aquifers. In this paper, the impact of vadose zone was based on the ratio 521 522

520

523 0
$$IF (DTW < DTB)$$

524 $1 + \frac{DTB}{DTW}$ $IF (DTB \ge DTB)$ (5)

following Eq. 5 (map showed in supplement, Fig. S4):

of depth-to-bedrock (DTB) (Shangguan et al., 2017) to depth-to-water (DTW) (Fan et al., 2013),

525

526 The ratio represents the percentage importance of unconsolidated materials and deep lithologies on the characteristics of vadose zone, and thereby, the classification of I index. Accordingly, a value 527 528 close to 1 (i.e., DTW>>DTB) would indicate an aquifer hosted in deep lithological materials and a little importance of unconsolidated deposits, while a value close to 2 (i.e., DTW~DTB) would suggest 529 530 a major impact of unconsolidated materials on overall vadose zone. Conversely, a value of 0 would represent a water table hosted in the unconsolidated materials, having a vadose zone only affected by 531 532 the characteristics of those deposits. Information about unconsolidated deposits were obtained from 533 a global high-resolution dataset of soil parameters, 1-3 m deep (Dai et al., 2019). The USDA textural class is estimated first, by the percentage content of sand-clay-silt, and then information on coarse 534 deposits (e.g., gravel) and organic matter (e.g., peat and muck) is added (Fig. S5). For deep 535 lithologies, already available geological data were used, as presented in Section 4.3 (A index). An in-536 depth description of all employed datasets is available in Table S2. The resulting map of I index (Fig. 537 3) confirms that most of South America have low to medium vulnerability geological media, as 538 already anticipated by A and S indexes. 539

540

541 **4.7 Hydraulic conductivity (C)**

The index C represents the ability of the aquifer itself to transmit water, and thereby, how water will 542 flow under the land surface where the vulnerability is assessed. Accordingly, this factor will 543 ultimately summarize the rate at which contaminant move away from the infiltration point. It is based 544 on horizontal hydraulic conductivity of the saturated zone (Ks). In this work, C index was estimated 545 combining two versions of a global dataset of log permeabilities (GLHYMPS v1.0 and v2.0) (Gleeson 546 et al., 2014; Huscroft et al., 2018), whose characteristics were summarized in Table S2. To use those 547 data, a prior quality check of spatial consistency was performed. Data were checked against 548 geological and hydrogeological maps (Gómez et al., 2019; CPRM website), removing boundary 549 550 artefacts, fixing spatial discrepancies, and even reshaping polygons on the borders to match the permeability map with the underneath geological map. The Ks information was also challenged 551 against the description of deposits (i.e., information stored in datasets at national level) and fixed if 552 they were not consistent (e.g., same deposits having different hydraulic conductivity on different sides 553

of a national border). It is worth remembering that global datasets are powerful sources of data for 554 risk assessments. However, they can include artefacts and spatial discrepancies (especially on the 555 national borders), unavoidably coming from complex integration of different data sources in different 556 languages. Such discrepancies are though extremely relevant for a local vulnerability assessment and 557 need to be (manually) fixed and double-checked with local experts before using such layers. Overall, 558 classification of C index did not show a wide variability of ratings across the continent, being most 559 of the territory characterized by Ks of 0.1 to 10 m/d (ratings 1-2) and having less than 20% of aquifers 560 561 a Ks greater than 10 m/d (Fig. 3).

562

563 **4.8 Intrinsic aquifer vulnerability: map of DRASTIC score**

The intrinsic aquifer vulnerability of South America was estimated by DRASTIC (Fig 4), combining seven relevant indexes (Fig. 3) through a linear combination (Eq.1). Obtained scores in the map ranged from 35 to 212. They were classified in 5 classes of vulnerability from very low (dark green) to very high (red) using intervals described in the methodology (Section 3.1).

568

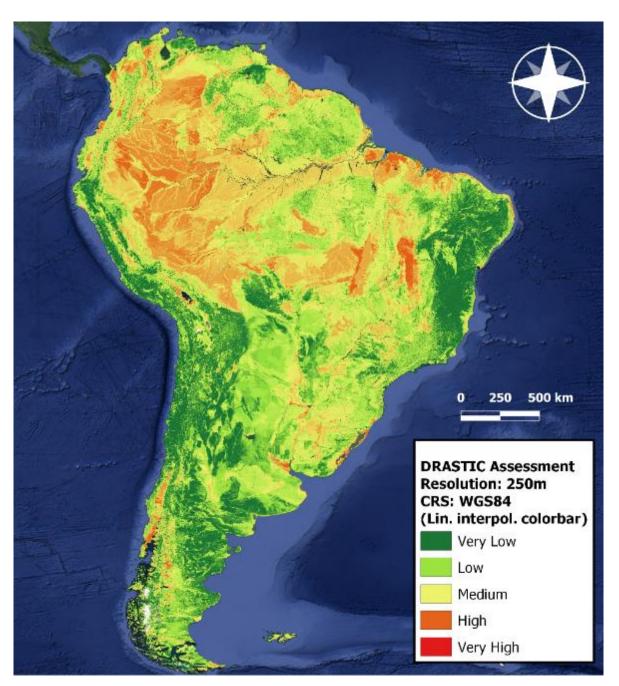
Parameter	Min	Max	Mean	St.Dev.	CV (%)
D	1	10	7.86	2.50	31.81
R	1	9	5.48	3.84	70.07
Α	3	10	5.85	2.05	35.04
S	1	9	4.52	1.56	34.51
Т	1	10	7.58	3.19	42.08
Ι	1	10	4.62	1.64	35.50
С	1	10	2.74	2.41	87.96

Table 1: Summary of main statistics of DRASTIC indexes.

570

571 The main statistics of the seven hydrogeological factors are shown in Table 1. Indexes D and T present the highest mean values (i.e. 7.86 and 7.58, respectively), which are driven by the typical 572 characteristics of the continent: large basins with shallow aquifers and extensive regions with plateaus 573 and plains (see Section 2 for more details). On the other hand, indexes C and R show higher 574 coefficient of variation (CV) than the other parameters (i.e. 87.96 and 70.07%, respectively). 575 Statistically, the CV represents the extent of variability in relation to the mean of the population, 576 which indicates a high spatial variability of the ratings of those indexes across the continent (Vu et 577 al., 2019). A simplified representation of each index is given in a ridgeline plot (Fig. 5a), where is 578 possible to appreciate, graphically, the previous assumptions by a summary of the distribution of 579 about 1M pixels in each raster. In addition, a detailed histogram (bin width=1) shows the probability 580 581 density function of final DRASTIC scores in South America (Fig. 5b), underlining the proposed classification of vulnerabilities. The graphical outcome has a pixel dimension of 250 m and a cartography scale of 1:500k. However, it should not be inferred that intrinsic vulnerability has been strictly mapped to this precision in all the continent, having some of the inputs a coarser resolution, even after combining local and international datasets.





587

Fig. 4: Intrinsic aquifer vulnerability map of South America based on a DRASTIC assessment (Base map from
 © Google Satellite 2021). High-resolution version of this raster is available on a dedicated repository (Rama et al., 2021).

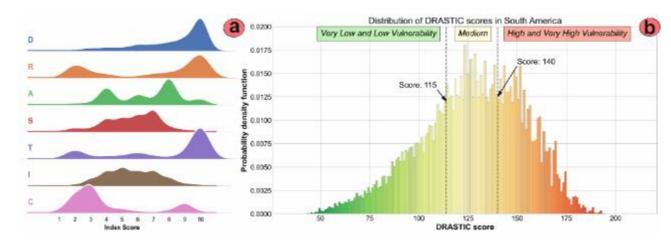
591

592 The results indicate that most of the continent has a very low to moderate intrinsic vulnerability

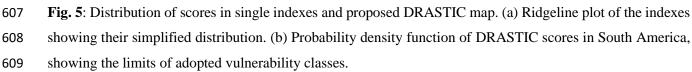
593 (~70%), probably due to quite deep aquifers, very rich/heavy soils and extended areas with surficial

crystalline formations that may prevent infiltration. About 10% of the continent shows a very low 594 vulnerability (dark green), which suggests the concurrent presence of hydrogeological conditions that 595 favour the attenuation capacity in at least 4-5 indexes. For example, Atacama region (North 596 Chile/Argentina and South Peru) presents very low to low vulnerability driven by very deep aquifers 597 and very low precipitation per year, despite a quite permeable soil/subsurface system. Exceptions to 598 this low vulnerability trend are represented by alluvial valleys of major rivers, continental aeolian 599 sands, sandy areas near the coast, or rainy valleys with colluvial deposits in Andean Cordillera. Those 600 regions show high or very high aquifer vulnerability (in total about 30% of the territory), having 601 hydrogeological settings that drive a fast infiltration of high rainfall volumes. However, only a small 602 part of the continent (i.e. about 0.6%) presents DRASTIC scores higher than 180, with only 0.0015% 603 of the pixels having more than 200. 604





606



610

It is worth underlining that within a DRASTIC framework, Amazon area (i.e., equatorial climate) 611 would present a higher vulnerability compared to dry regions (e.g., Northeast Brazil, Southwest 612 Bolivia, North Chile), because of its higher cumulated precipitation across the year and regardless of 613 local hydrogeological setting. However, due to the flat topography, small depth to water table, and 614 quite permeable deposits, Amazon aquifers seem to be among the most sensitive to anthropic 615 pollutants in the whole South America. Similarly, valuable aquifers in tropical regions of Colombia 616 are classified as highly vulnerable as Amazon aquifers. Finally, other highly vulnerable areas are 617 found in central/South Chile driven by the low depth to water table and the high Ks of colluvial 618 deposits present in the valleys of the Southern Andean Cordillera. 619

In addition, given the high spatial resolution of DRASTIC map, it was possible to address the 620 percentage of aquifer vulnerability classes in each country (Fig. 6). The analysis was performed by a 621 spatial analysis in GIS, summarizing the initial five classes of vulnerability into three classes: low 622 (by combining very low and low), medium, and high (by combining high and very high). As 623 mentioned, hydrogeological settings and environmental conditions of Amazon Forest results in 624 intrinsically more vulnerable aquifers in those regions. Consequently, countries with extended 625 regions of Amazon rainforest showed wider vulnerable groundwater resources, in order: Colombia 626 627 (63%), Peru (41%), Ecuador (35%), Guyana (32%), and Brazil (32%). In those regions, a strong land use change at the expense of rainforest coverage may lead to a fast degradation of groundwater 628 resources. Conversely, most extended areas with low vulnerability are concentrated in Argentina 629 (72%), Chile (60%), and Bolivia (45%) due to their arid climate and deep aquifer systems. 630

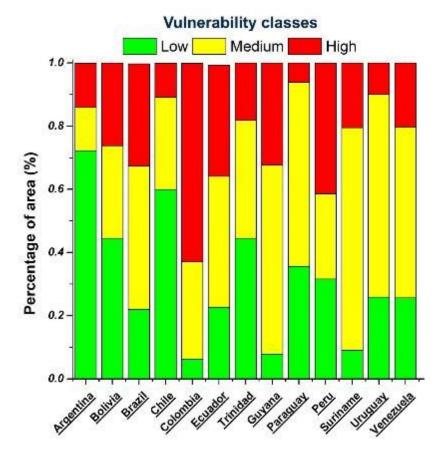




Fig. 6: Stacked bar chart of intrinsic vulnerability classes in each country of South America.

633

634 **4.9 Sensitivity analysis**

A map removal SA was performed by neglecting a single index per time from DRASTIC, and accounting for its effect on the overall score. A summary of the main statistics from the analysis is presented on Table 2. The D index shows far the highest impact on the DRASTIC score, both on average across the continent (i.e. mean 2.7% and median 2.9%) and locally in certain regions of the map (i.e. maximum value 13.9%). As expected, the second most important index was R. Conversely, index A and I showed high local impacts on the vulnerability score (max: 4.66% and 6.78%, respectively) but quite low effect on average (mean: 0.66% and 1.16%, respectively). This may be caused by a statistical concurrency of high and low ratings of those indexes across the map, which would result on average in a small effect over the vulnerability score of the continent.

644

Removed	Included Indexes	Sensitivity rate (Si) [%]				
Index		Mean	Median	SD	Min	Max
D	RASTIC	2.72	2.93	1.37	0.00	13.9
R	DASTIC	1.75	1.76	0.62	0.00	6.07
Α	DRSTIC	0.66	0.59	0.47	0.00	4.66
S	DRATIC	1.15	1.21	0.52	0.00	3.50
Т	DRASIC	1.37	1.31	0.45	0.00	2.30
Ι	DRASTC	1.16	0.92	0.99	0.00	6.78
С	DRASTI	1.46	1.64	0.57	0.00	4.76

Table 2: Summary of main statistics of the map removal sensitivity analysis.

646

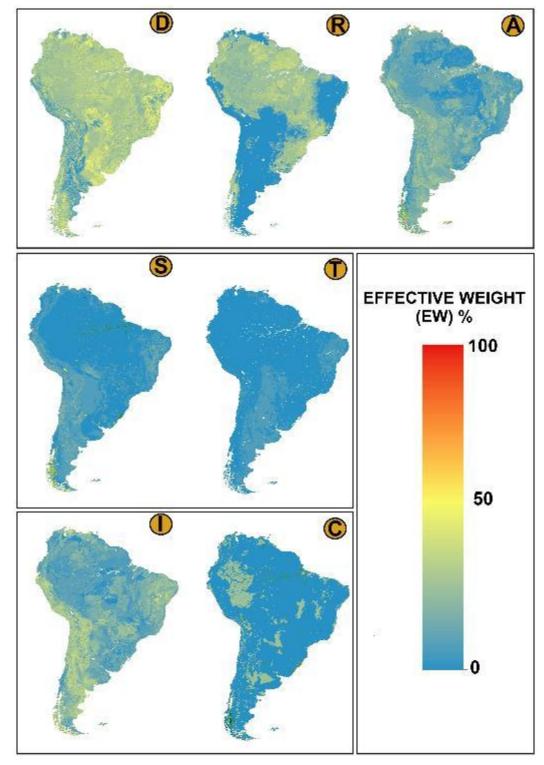
A single parameters SA was also applied to assess the effectiveness of index weights (EW) within 647 the DRASTIC application in South America. The main outcomes of this analysis are summarised in 648 Table 3. They indicate that most of variability is driven by only four indexes: D (depth-to-water), R 649 (recharge), A (aquifer type), and I (vadose zone media). In the proposed DRASTIC map, on average, 650 these four parameters together have an effective weight of 81%. The results remarked the importance 651 of water inputs (i.e., recharge), travel distance (i.e., depth to water table) and hydrogeological 652 characteristics of crossed media (i.e., aquifer type and vadose zone) in defining the overall attenuation 653 capacity, and consequently, the intrinsic groundwater vulnerability in a specific location. 654

655

Table 3: Summary of theoretical and effective weight obtained (on average) from a single parameter
 sensitivity analysis of DRASTIC indexes in South America.

Indexes	P _w - Original weight	OW - Theoretical weight	EW – Effective weight
	by DRASTIC	by DRASTIC (%)	by SA (%)
D	5	21.74	30.61
R	4	17.39	16.29
Α	3	13.04	14.08
S	2	8.7	7.62
Т	1	4.35	6.04
Ι	5	21.74	19.12
С	3	13.04	6.32

However, depth-to-water (D) was the only parameter in showing a relevant positive increase of its 659 weight from theoretical to effective (~ 9%). This entails that not only it is on average the most 660 important parameter to define by DRASTIC the intrinsic aquifer vulnerability of South America, but 661 also that its contribution is more important in regions where, due to the low ratings of other indexes, 662 it ends up making a difference in the overall vulnerability score. At the end, D index alone affects the 663 vulnerability score by over 30% on average. Conversely, hydraulic conductivity (C) showed a clear 664 drop in its importance over the DRASTIC score (~ -7%) driven by an unfavourable distribution of its 665 low ratings across the map. This fact seems confirming an arithmetical intuition coming from the 666 667 ridgeline plot (Fig. 5a): only parameters with positively unbalanced distributions (i.e. centred on high ratings), like D, T and A, increased their weight in the sensitivity analysis, as opposed to negatively 668 unbalanced distributions (e.g. C index) that decrease their importance in the analysis. However, most 669 of the indexes (e.g. R, A, S, T, I) showed no significative variations in their weight, having a 670 671 difference between theoretical and effective of about 1-2% (Table 3). Overall, both map removal and single parameter SA underlined the importance of depth-to-water (D) over the intrinsic vulnerability 672 673 assessment of South America by DRASTIC. This index is followed in terms of importance by recharge (R), which impacts regions with high vulnerability across the continent, and hydraulic 674 conductivity (C), which affects most regions with low DRASTIC scores. In addition, spatial 675 variability of the effective weight of the indexes is mapped across the continent (Fig. 7), allowing to 676 check which parameters matter most in each region. The maps confirm the same trend of average 677 analysis, with D, R, I, and A having the highest effective weights. Interestingly, regions with high 678 hydraulic conductivity show that C index had an important impact there over the DRASTIC score. 679 680



681

Fig. 7: Spatial distribution of EW_i (i.e. effective weight of indexes over the DRASTIC score), assessed by
 single parameter SA and given as a percentage. High-resolution version of those maps is available on a
 dedicated repository (Rama et al., 2021).

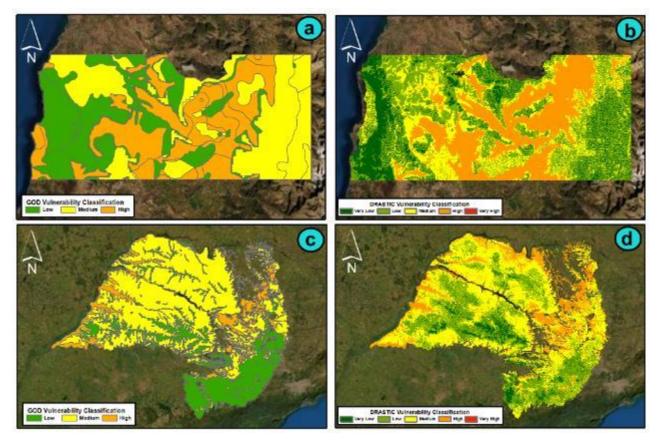
685

It is worth underlining that the outcomes may have been partially influenced by the original spatial discretization of input files. By excluding R index, which come from model outputs on a regular grid (~10 km), there is a strong difference between parameters rasterized from maps/polygons at coarser scale (i.e., A, S, I, and C) and indexes estimated from high resolution raster (i.e., D and T). First type 690 includes intrinsically less variability than the latter one, in terms of details and spatial discretization. 691 High resolution maps allow to spatially define relevant features with extreme conditions (e.g., very 692 high or very low vulnerability of certain features), as opposite to polygons at greater scale, which 693 present average values over very large areas (i.e., no extremes). This fact may represent also a limit 694 to the actual granularity of results in the final map, having three out of the four most important indexes 695 in terms of effective weight with a limited spatial resolution (i.e. A, R, I).

696

697 4.10. Map validation and discussion

The main outcomes of spatial cross-validation showed a good visual agreement between DRASTIC map of South America and previous regional assessments (Fig. 8). The two regional GOD-based assessments of Rapel, Chile (Arumi and Jara, 2009) and Sao Paulo district, Brazil (Hirata et al., 1991) were compared with the DRASTIC-based map at continental scale to check overall consistency and point out differences.



703

Fig. 8: Spatial cross-validation of DRASTIC map: a) GOD-based vulnerability assessment at Rapel district,
Chile (Arumi and Jara, 2009); b) Aquifer vulnerability map of Rapel extracted from the present DRASTICbased assessment of South America; c) GOD-based vulnerability assessment of São Paulo state, Brazil (Hirata
et al., 1991); d) Aquifer vulnerability map of São Paulo extracted from the present DRASTIC-based assessment
of South America. Base maps from ESRI Digital Globe 2021.

709

In Chile, the assessments with GOD (Fig. 8a) and DRASTIC (Fig. 8b) shows a comparable 710 classification pattern, highlighting almost the same areas with high and low vulnerability. Especially 711 highly vulnerable areas seem to be quite consistent each other. Conversely, definition of vulnerability 712 seems to be more uncertain in the mountain area of the region (i.e., far right side of the domain), 713 where DRASTIC assessment identified less vulnerable aquifers and GOD classified them with 714 moderate vulnerability. In Brazil, also, the two maps show a very consistent visual pattern. In the São 715 Paulo state, GOD (Fig. 8c) and DRASTIC (Fig. 8d) classifications point out highly vulnerable areas 716 (orange) mainly adjacent to surface water bodies and low vulnerabilities (green) in regions with heavy 717 718 soils and low conductive deposits. In both regions, the worst spatial correspondence was found within the medium vulnerability class, which characterizes a relevant portion of GOD maps. It is worth 719 720 underlining that poor discretization of moderate vulnerability is a common and well-known drawback of index methods (Hu et al., 2018; Kazakis et al., 2019). For this reason, to avoid an oversized area 721 722 with moderate vulnerability, the present DRASTIC assessment of South America proposed a different, domain-specific, set of limits for vulnerability classes. Accordingly, DRASTIC maps in 723 724 those two regions showed smaller medium vulnerability areas compared to the GOD ones. To try to quantify this spatial validation, a simple statistical analysis of DRASTIC scores within the areas 725 726 defined by the three vulnerability classes in GOD was also performed (Table 4). Values represent the average, minimum and maximum DRASTIC score within the green (i.e. low vulnerability), yellow 727 (i.e. medium vulnerability), and red (i.e. high vulnerability) regions of the GOD assessments (Fig. 8a 728 and 8c). Results show to be consistent with visual assessment, having for both regions that DRASTIC 729 scores are considerably increasing from low to high, and its mean falls in the same class of 730 vulnerability as in GOD. Therefore, average DRASTIC scores within low vulnerability areas in GOD 731 resulted 105.1 and 101.5, in Sao Paulo and Rapel respectively, which is consistently lower than the 732 average DRASTIC score in high vulnerability areas (146.5 and 142.8, respectively). In addition, the 733 overlapping areas with the same vulnerability classes in GOD and DRASTIC were estimated by a 734 spatial analysis in a GIS environment and summarized in supplementary material (Table S3). For 735 consistency, very low and low vulnerabilities in DRASTIC were compared with low/negligible 736 737 vulnerability in GOD, as well as high and very high classes in DRASTIC were represented by high vulnerability in GOD. Spatial agreement among low vulnerabilities within the two methods was 70-738 739 75% in the two regions. Similarly, the spatial overlapping of high vulnerabilities on the two regions 740 ranged between 70 and 85%.

741 Table 4: Statistics of DRASTIC scores in areas of low, medium, and high vulnerability from previous742 assessments (GOD).

Sao Paulo (Brazil)	LOW VULNERAB. AREAS (GOD)	MEDIUM VULNERAB. AREAS (GOD)	HIGH VULNERAB. AREAS (GOD)
DRASTIC Mean	105.1	119.8	146.5
DRASTIC Min	56.0	62.2	89.2
DRASTIC Max	171.3	178.9	184.8
Rapel (Chile)	LOW VULNERAB.	MEDIUM VULNERAB.	HIGH VULNERAB.
	AREAS (GOD)	AREAS (GOD)	AREAS (GOD)
DRASTIC Mean	101.5	115.9	142.8
DRASTIC Min	58.8	69.7	81.7
DRASTIC Max	149.2	160.8	173.3

743

744 Finally, a point comparison between groundwater concentration of target compounds and intrinsic vulnerability was performed. In Chile, statistically representative values from ~150 wells were plotted 745 746 versus DRASTIC scores in the same locations. As expected, a generally poor correlation (mainly negative) was found between most of the data (Fig. S6 – Graphs at row 1 and 3). However, the 747 correlation increases drastically by normalizing raw groundwater concentrations with upgradient 748 distance within the basin (Fig. S6 – Graphs at row 2 and 4). This quantity normalizes the absolute 749 concentrations in an individual point with the probability to have been transported there from 750 somewhere else rather than infiltrate by leaching. The more the distance from basin watershed, the 751 more the chance to receive upgradient contamination affecting that measure. For the São Paulo state, 752 a satisfactory agreement among NO3- concentrations and final DRASTIC classification was also 753 found, having in general higher groundwater concentration in areas of more pronounced vulnerability 754 (Fig. S7). 755

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757 **5. CONCLUSIONS**

Assessing aquifer vulnerability world-wide is progressively becoming an essential screening tool to 758 achieve a sustainable management of groundwater resources. This exercise is strictly related with the 759 Sustainable Development Goals (SDGs) to be achieved by 2030, especially with targets: 6.3 "Improve 760 water quality by reducing pollution", 6.5 "Implement integrated water resources management", and 761 6.6 "Protect and restore water-related ecosystems". This is the first time that the intrinsic groundwater 762 vulnerability of South America has been mapped at continental scale employing an index and rating 763 methodology (i.e. DRASTIC). The assessment represents the comparative sensitivity of aquifers in 764 South America to leaching of compounds from the land surface. The proposed map divides the 765

continent in five classes of vulnerability going from very low to very high, with a general trend of 766 medium to low vulnerability. In many regions, this tendency seems to be driven by deep groundwater, 767 low recharge (e.g., infrequent precipitation) and not much hydraulically conductive deposits (e.g., 768 rich soils, crystalline formations, high clay content). Conversely, alluvial valleys along main rivers 769 and coastal aquifers, which are characterized by shallow groundwater in coarse sediment and high 770 recharge from precipitation, showed a high vulnerability. Both sensitivity analyses (i.e. map removal 771 and single parameter) confirmed the major influence of depth-to-water (D index) on the final 772 773 DRASTIC score. However, also recharge (R) and hydraulic conductivity (C) showed to have an 774 impact on the assessment of groundwater vulnerability in South America. The cross-validation of the map in two different environmental settings at regional scale (i.e. Rapel, Chile and São Paulo, Brazil) 775 776 gave satisfactory results with a good agreement between previous and current assessments. To achieve consistent results, a great deal of effort was put into control, collection, and integration of 777 778 data from international and local databases with different spatial resolution. Data were managed in a GIS environment, which provides an effective tool for handling large amounts of spatial data with 779 780 different datums, scales, and geometries. Accordingly, the use of international datasets of "open data" should be fostered in this kind of applications as they enable a "pre-screening" of the vulnerability 781 782 even in regions with sparse and scattered information. Although open datasets would always require an initial quality check to be used, which challenges the reliability and robustness of values 783 themselves, they represent a valuable resource for environmental and geo- scientists. In addition, the 784 present application highlighted the importance of developing a common conceptual framework for 785 the aquifer vulnerability at a transboundary (and even global) scale, applicable in many different 786 hydrogeological settings (e.g. porous, karst, fissured). Thus, possible next steps of this study would 787 be to work towards the development of this common framework at global scale, which potentially 788 may include a broader set of mechanisms of environmental fate (i.e. accumulation, transport, dilution, 789 dispersion). Overall, the present DRASTIC map of South America, in combination with detailed 790 hydrogeological surveys at local scale, may represent a valuable initial step to achieve a sustainable 791 792 land-use and water management, and in promoting cooperation among states for shared resources, by 793 planning a balanced use of territory and by reducing the anthropic pressure in those areas naturally prone to groundwater leaching. 794

795

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808 Supplementary materials. Additional information related to the article is given in the following
809 supplement file 20211123_STOTEN_Supplement_Rama_LATAM.docx (link to be added by the journal).

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Data availability. The high-resolution version of the DRASTIC map of South America, along with the maps of the indexes and the sensitivity analysis, have been archived in a ZENODO repository and can be accessed using the following link: <u>https://doi.org/10.5281/zenodo.5572252</u> (Rama et al., 2021). All outcomes have been stored in a .tif format (raster) with different pixel dimensions. Details and specs of graphical outcomes are described in a dedicated file in the same repository (README.txt).

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Author contributions. The idea of the work was conceived by FR and GB, the data processing was made
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NK, PS.

821 **Competing interests.** The authors declare that they have no conflicts of interest.

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