

# UNIVERSITÀ POLITECNICA DELLE MARCHE Repository ISTITUZIONALE

Thermal behaviour assessment and electrical characterisation of a cylindrical Lithium-ion battery using infrared thermography

This is the peer reviewd version of the followng article:

Original

Thermal behaviour assessment and electrical characterisation of a cylindrical Lithium-ion battery using infrared thermography / Giammichele, L.; D'Alessandro, V.; Falone, M.; Ricci, R.. - In: APPLIED THERMAL ENGINEERING. - ISSN 1359-4311. - ELETTRONICO. - 205:(2022). [10.1016/j.applthermaleng.2021.117974]

Availability:

This version is available at: 11566/295202 since: 2024-10-28T10:57:07Z

Publisher:

Published DOI:10.1016/j.applthermaleng.2021.117974

Terms of use:

The terms and conditions for the reuse of this version of the manuscript are specified in the publishing policy. The use of copyrighted works requires the consent of the rights' holder (author or publisher). Works made available under a Creative Commons license or a Publisher's custom-made license can be used according to the terms and conditions contained therein. See editor's website for further information and terms and conditions. This item was downloaded from IRIS Università Politecnica delle Marche (https://iris.univpm.it). When citing, please refer to the published version.

# Thermal behaviour assessment and electrical characterization of a cylindrical Lithium–ion battery using infrared thermography

# Luca Giammichele<sup>a,\*</sup>, Valerio D'Alessandro<sup>a</sup> Matteo Falone<sup>a</sup>, Renato Ricci<sup>a</sup>

<sup>a</sup>Dipartimento di Ingegneria Industriale e Scienze Matematiche Università Politecnica delle Marche Via Brecce Bianche 12, 60131 Ancona (AN), Italy

# 1 Abstract

This paper presents an experimental evaluation of the thermal and electrical per-2 formance of a commercial 26650 cylindrical LiFePO<sub>4</sub> battery cell. The thermal 3 management of lithium-ion batteries is a key problem for electric mobility appli-4 cations, where batteries are subjected to severe operating conditions. Accordingly, 5 this study aims to demonstrate the reliability of infrared thermography in the 6 quantitative analysis of heat generation in battery cells. In our opinion, infrared 7 thermography can be very attractive owing to: (i) the non-contact nature of this 8 technique and (ii) its capability to quickly scan measurement areas. Consequently, 9 infrared thermography and thermocouple probe results were compared, providing 10 evidence of similar behaviour. Moreover, we present an electrical characterisation of 11 our lithium-ion battery. In particular, the cell potential, open circuit potential, and 12 entropic heat coefficient vis-à-vis the state of charge were experimentally measured. 13 The obtained experimental data were used to evaluate a simplified heat generation 14 term that is widely employed in numerical approaches. The different contributions 15 to heat generation were carefully analysed. The results show that the reversible 16 term considerably influences the total thermal power. Moreover, infrared-based heat 17 generation estimation can be considered reliable. 18

<sup>19</sup> Key words: IR thermography, Lithium-ion batteries, Thermal management,

 $_{20}$  Electrochemical heat generation

21

<sup>\*</sup> Corresponding author.

Email addresses: l.giammichele@staff.univpm.it (Luca Giammichele ), v.dalessandro@univpm.it (Valerio D'Alessandro), m.falone@pm.univpm.it (Matteo Falone), ricci@univpm.it (Renato Ricci).

Preprint submitted to Applied Thermal Engineering

May 10, 2023

# 22 Nomenclature

- 23 Acronyms
- <sup>24</sup> BTMS Battery Thermal Management System
- <sup>25</sup> CCCV Constant Current Constant Voltage
- <sup>26</sup> EHC Entropic Heat Coefficient
- $_{27}$  EV Electric Vehicles
- 28 IR Infrared
- <sup>29</sup> SOC State of Charge
- 30 Subscript
- 31 e Environment
- 32 *eod* End of discharge
- 33 *in* Initial value
- $_{34}$  IR Infrared thermography
- 35 *s* Surface
- $_{36}$  tc Thermocouple
- 37 Symbols
- $_{38} Q$  Thermal power [W]
- <sup>39</sup>  $Q_{irr}$  Irreversible thermal power [W]
- 40  $\dot{Q}_{rev}$  Reversible thermal power [W]
- 41  $\epsilon$  Emissivity
- <sup>42</sup>  $\sigma$  Stefan-Boltzmann constant  $[5.67 \cdot 10^{-8} W/m^2 K^4]$
- <sup>43</sup> A Area of battery surface  $[m^2]$
- 44 C Nominal capacity [Ah]
- <sup>45</sup>  $C_p$  Mean heat capacity at constant pressure [J/gK]
- <sup>46</sup>  $E_{acc}$  Total dispersed energy [J]
- 47  $E_d$  Dispersed energy [J]
- <sup>48</sup>  $E_{in}$  Initial energy [J]
- <sup>49</sup> h Convection heat transfer coefficient  $[W/m^2K]$
- $_{50}$  I Charge/Discharge current [A]
- 51 m Cell mass [g]
- $_{52}$  T Temperature [K]
- 53 t Time [s]
- 54  $T^*$  Dimensionless temperature
- <sup>55</sup>  $U_{OC}$  Open circuit potential [V]
- 56 V Cell potential [V]
- 57  $V_{max}$  Maximum cell potential [V]

# 58 1 Introduction

- <sup>59</sup> Currently, sustainable mobility is a crucial topic in a wide range of energy-
- <sup>60</sup> saving and emission reduction scenarios. In this context, the development

and commercialisation of electric vehicles (EVs) is essential in minimising the environmental impact in our daily life [1,2]. EVs commonly adopt lithium-ion (Li-ion) batteries as a power supply because of their higher energy density and specific power, lighter weight, lower self-discharge rates, higher recyclability, and longer cycle life than other rechargeable batteries [3–6].

This technology has been widely improved during the years from an electro-66 chemical point of view; however, thermal management of Li-ion batteries is still 67 an open challenge. They are inherently subject to ageing not only over time but 68 also due to operating conditions, including their state of charge (SOC), deliv-69 ered/received current, and extreme operating temperatures. Temperature also 70 has a certain influence on the performance degradation and lifetime of nearly 71 all Li–ion cells when they are not operating in an appropriate temperature 72 range  $(20^{\circ}C - 40^{\circ}C)$  [7,8]. This is a crucial topic because several studies are 73 devoted to the battery thermal management system (BTMS). In the existing 74 studies, different cooling strategies have been proposed. The simplest method 75 consists of air cooling systems, both in natural and forced convection, and is 76 often supported by heat sinks/pin-fins [9,10]. Liquid cooling strategies are more 77 effective for EV applications because of their high thermal capacities. This 78 technology exploits a single-phase or two-phase refrigerant [11-13], even with 79 nano-fluid usage [14] that flows into a heat exchanger rounding the cells of the 80 power module. In this configuration, despite its effectiveness, further thermal 81 resistance is added owing to the presence of the heat exchanger. Moreover, in 82 recent studies, the capability of innovative BTMS in controlling the thermal 83 conditions of the power module, such as phase change materials [15, 16], heat 84 pipes [17, 18], and pulsating heat pipes [19], have been investigated. 85

In this scenario, it is very important to evaluate battery heat generation. An 86 appropriate determination of single-cell heat generation improves the BTMS 87 design method. In a battery cell, heat generation is related to the following 88 mechanisms: activation of interfacial kinetics, concentration species transport, 89 and ohmic Joule heating from the movement of charged particles, which be-90 comes significant for large cell sizes [20]. In the existing studies, battery heat 91 generation has been separated into two main contributions: irreversible heat 92 and reversible heat generation. The first term results from ohmic losses over 93 the cell internal resistance, charge transfer overpotentials at the interface, and 94 mass transfer limitations in the cell components. The second term is related 95 to the entropic heat coefficient (EHC) which depends on the nature of the 96 chemical reaction in the cell and the electrodes composition. In some previous 97 studies, this reversible heat was neglected [21, 22] or considered constant with 98 the SOC of the battery [23–25]. However, the entropic term can have a sub-99 stantial influence on heat generation. Moreover, it could have a value of the 100 same magnitude of irreversible heat [26, 27]. Consequently, the battery surface 101 temperature simulation is also significantly influenced by the correct evaluation 102 of the reversible heat [28]. The most common method of measuring the EHC is 103 the potentiometric test [26, 27, 29–31]. Thomas et al. [30] emphasised that it is 104 more effective to vary the temperature at a specific SOC. Nieto et al. [26] stated 105

that the EHC is not influenced by the temperature chosen for the thermal
cycle and if it is evaluated during charge or discharge. The low influence of
different thermal cycles was also observed by Madani et al. [31].

This study aims to apply IR thermography to quantitatively evaluate the 109 heat generation of a cylindrical LiFePO<sub>4</sub> battery. Presently, some papers re-110 lated to IR thermography of Li-ion cells have been published. In particular, 111 pouch or prismatic cells have been mainly studied [32–38]. Kim et al. [32] 112 used IR thermography to evaluate the surface temperature of a pouch cell to 113 validate the numerical model presented. Menale et al. [33] also applied this 114 technique to evaluate the temperature distribution on the battery surface for 115 different battery types and discharge conditions. They also used these data 116 to qualitatively study the effects of battery ageing. Goutam et al. [34] used 117 infrared thermography to detect the location of the hottest region for the 118 large pouch and prismatic cells of different geometries and materials. Robinson 119 et al. [35] applied lock-in thermography to investigate the effect of periodic 120 electro-thermal stimulation on both new and aged Li-ion pouch cells. Rani 121 et al. [36] measured the surface temperature of the battery using thermocou-122 ples and IR thermography. The two methods were compared considering the 123 temperature behaviour during discharge and the maximum value. Bazinski et 124 al. [37] applied IR thermography to quantitatively evaluate the thermal power 125 generation of a large pouch cell. Wang et al. [38] studied the thermal behaviour 126 of a Li–ion through IR thermography. The IR images were used to evaluate 127 the temperature fluctuation and distribution by analysing the variance and a 128 local overheating index proposed by the authors. 129

Other studies have applied this technique to cylindrical batteries [29, 39-41]. 130 Forgez et al. [29] developed a lumped parameter thermal model to estimate 131 the internal temperature by measuring the surface temperature using ther-132 mocouples. In this study, IR thermography was used to verify the uniformity 133 of the surface temperature. Robinson et al. [39] combined thermal imaging, 134 X-ray tomography, and electrochemical impedance spectroscopy to study the 135 thermal characteristics of a commercial cylindrical battery. IR thermogra-136 phy was used to characterise the heterogeneous temperature distributions 137 during discharge. Chiew et al. [40] presented a pseudo-three-dimensional 138 electrochemical-thermal model of a Li-ion cylindrical battery. Experimental 139 measurements were performed with both thermocouples and IR thermography 140 to validate the numerical model considering the battery surface temperature 141 distribution. Wang et al. [41] proposed a thermal inertia prediction model for 142 a cylindrical battery validated using IR thermography measurements. 143

Most of the reviewed papers applied infrared thermography to measure the surface temperature distribution. In this study, we used the experimental data in a simplified thermal model to estimate the thermal power generated. In our opinion, IR thermography can be considered a powerful method to evaluate battery heat generation with the advantage of being a fast and a non-intrusive measurement technique. To evaluate the reliability of IR thermography, our results were compared with thermocouple probe measurements. Furthermore, electrical characterisation of the Li-ion battery was performed under a wide
range of operating currents, and the cell potential during discharge, open
circuit potential, and EHC were measured. These experimental data were used
to calculate the battery heat generation using a simplified equation. Both
irreversible and reversible heat terms were measured to carefully evaluate their
contributions to the total heat generation.

The remainder of this paper is organised as follows. Section 2 introduces the heat generation term equation. The experimental setup is described in Section 3. Section 4 presents the results. Section 5 is devoted to the evaluation of the battery heat generation. Finally, the conclusions are presented in Section 6.

## <sup>161</sup> 2 Heat generation model

Bernardi et al. [42] proposed a complete thermodynamic energy balance for Li-ion batteries. The energy balance can be expressed using Eq. (1). The energy accumulation in the cell is equal to the heat generated minus the heat loss through convection and radiation.

$$mC_p \frac{dT}{dt} = \dot{Q} - hA(T_s - T_e) - \epsilon \sigma A(T_s^4 - T_e^4) \tag{1}$$

In present studies, a simplified equation, derived from Bernardi et al., is commonly adopted to evaluate heat generation in Li–ion batteries [20, 22, 26, 27, 29, 30, 43]:

170

166

$$\dot{Q} = \underbrace{I \cdot (V - U_{OC})}_{\dot{Q}_{irr}} + \underbrace{I \cdot T \cdot \frac{\partial U_{OC}}{\partial T}}_{\dot{Q}_{rev}} \tag{2}$$

OTT

where I is the discharge current, V is the battery potential, T is the battery temperature (expressed in K),  $U_{OC}$  is the open circuit potential, and  $\partial U_{OC}/\partial T$ is the EHC.

The irreversible term of the equation depends on the cell overpotential, which is equal to the difference between V and  $U_{OC}$  and the operating current. This is indicative of all irreversible processes such as Joule heating within the battery owing to internal resistance and the energy dissipated in electrode overpotentials. In this study, the operating current was fixed to be negative during discharge. This implies that the irreversible contribution is always positive.

The reversible term in Eq. (2) is related to the entropy change in the electrochemical reaction and is strongly influenced by the EHC. This coefficient varies significantly with the SOC and chemistry of the battery. It can be negative or positive; a negative value means that reversible heat is exothermic, whereas a positive value of EHC signifies that reversible heat is endothermic during the discharge process. Eq. (2) allows evaluates the thermal power generated during the battery discharge. We can also determine the instantaneous energy dispersed in the form of heat generation:

190 191

1

197

203

 $E_d = \dot{Q} \cdot \Delta t \tag{3}$ 

where  $\Delta t$  is the time interval at which the heat was generated. The total amount of dispersed energy can be expressed using Eq. (4), where  $\tau$  is the time required to fully discharge the battery at the operating current.

$$E_{acc} = \int_0^\tau E_d \ dt \tag{4}$$

<sup>196</sup> Finally, the battery efficiency could be defined as follows:

$$\eta = \frac{E_{in} - E_{acc}}{E_{in}} \tag{5}$$

where  $E_{in}$  is the initial battery energy assumed to be equal to the maximum electric energy that the battery can supply without any losses. Therefore, the numerator in Eq. (5) is the electric energy supplied by the battery. The ideal electric energy is defined using Eq. (6), where  $V_{max}$  is the battery voltage when fully charged, and C is the nominal capacity of the cell.

$$E_{in} = V_{max} \cdot C \tag{6}$$

In the above equations, the variables  $E_{in}$ ,  $E_{acc}$ , and  $E_d$  are expressed in Joule.

#### 205 **3** Experimental apparatus

In this study, the thermal behaviour of a commercial  $LiFePO_4$  battery was 206 determined during the discharge process. The battery used in the experiments 207 was A123 26650 (series name ANR26650M1–B). It is a cylindrical cell of di-208 ameter 26 mm, height 65 mm, and weight 76 g. The nominal battery voltage 209 was 3.3 V and the maximum voltage when fully charged was 3.6 V. The cell 210 capacity was 2.5 Ah with a maximum continuous discharge current of 70 A. 211 The active materials of the positive and negative electrodes were  $LiFePO_4$  and 212  $LiC_6$ , respectively, and the electrolyte was  $LiPF_6$  dissolved in a mixture of 2:1 213 ethylene carbonate/dimethyl carbonate. The current collectors were made of 214 aluminium and copper. 215

The cell charge and discharge processes are managed by a programmable power supply (RMX-4125) and DC electronic load (RMX-4005) controlled through a LabVIEW routine. The current setting accuracy of the electronic load was  $\pm 0.1\%$  F.S. ranging from 0 to 70 A with a resolution of 2 mA. The current and voltage of the battery were constantly measured during the entire process. The

voltage was directly measured using an NI 6289 data acquisition device with a 221 resolution of 0.076 mV ranging from 0 to 10 V and uncertainty of  $\pm 0.25$  mV. 222 The operating current was measured using a current transducer with an uncer-223 tainty of  $\pm 0.02$  A in the range  $\pm 85$  A. Ambient parameters (temperature and 224 relative humidity) were measured with an uncertainty of  $\pm 0.6^{\circ}$ C and  $\pm 2.5\%$ 225 for temperature and relative humidity, respectively. Transducer signals of the 226 current and ambient parameters were then acquired using the NI 6289 data 227 acquisition device. 228

Battery surface temperature measurements were performed using thermocou-229 ples and IR thermography. Four T-type thermocouples were placed along the 230 height of the battery with a high–conductivity adhesive. The thermocouples 231 were attached to an ice point reference before being acquired by the data 232 logger. They were previously calibrated by submerging the battery into a high-233 precision calibration bath. This procedure allows us to obtain an uncertainty of 234  $\pm 0.05$  °C. Thermographic measurements were performed using a FLIR SC3000 235 IR camera equipped with a  $320 \ge 240$  QWIP sensor array and a  $20^{\circ}$  lens with 236 a 20° x 15° field of view with a minimum focus distance of 0.3 m. The thermal 237 sensitivity was 20 mK at 30°C, with an uncertainty of  $\pm 1\%$  of the measured 238 value. The battery was covered with black matte paint with an emissivity of 239 0.94, measured through a black body. 240

Fig. 1 shows the measurement setup described above and the thermocouple arrangement on the battery surface.

During the tests, we performed the following measures: battery voltage, oper-243 ating current, and temperature (using thermocouples) which were continuously 244 recorded at 10 Hz. Meanwhile, IR images were also acquired once per second. 245 The thermal camera was placed at a distance of 0.3 m from the battery, result-246 ing in a spatial resolution of  $0.3 \ mm$  per pixel. Each image obtained during 247 discharge was then subtracted from the reference thermal image related to the 248 initial condition. In addition, thermocouple measurements are referred to as 240 the initial value to avoid the influence of environmental temperature variation. 250 The ambient temperature and relative humidity were measured during the 251 tests. These values were used in the thermographic image acquisition soft-252 ware as input parameters to perform temperature measurements. The ambient 253 temperature for all tests was between 21°C and 23°C. Thermal images were 254 processed using MATLAB. Four areas of 10 x 10 pixels were selected in the 255 same position of the four thermocouples along the battery height and in the 256 middle line of the battery width. The extension of these areas was chosen by 257 analysing the ratio between the view factor of the cylindrical surface and that 258 of a flat plate. In the reference areas, the ratio assumes a minimum value of 259 0.987; consequently, the error introduced by the view factor was considered 260 negligible in these areas. The mean temperature was calculated in the reference 261 areas to compare the two measurement methods. 262

<sup>263</sup> Uncertainty analysis was performed according to the reference regulation. <sup>264</sup> In this study, we considered the instrument uncertainty for the parameters <sup>265</sup> directly acquired (i.e. thermocouples, cell potential, and current). Type A

uncertainty was considered in the case of mean values (i.e.  $U_{OC}$  and EHC). 266 For correlated quantities (i.e. thermal power generated and total dispersed 267 energy), the combined uncertainties were evaluated. Finally, a coverage factor 268 of 2 was considered in the calculation of the expanded uncertainties. The 269 obtained uncertainties are  $\pm 0.25 \ mV$  for  $U_{OC}$  measurements and 0.3% for 270 the SOC calculation. This last value is due to the setting current accuracy 271 of the electronic load and the measurement uncertainty of the current sensor. 272 In the evaluation of the EHC, we obtained an uncertainty of  $\pm 0.001 \ mV/K$ . 273 The measurement uncertainty of the correlated quantities is summarised in 274 Table 1, where the largest percentage uncertainty for each C-rate is reported. 275 The C-rate is the rate at which a battery is charged or discharged. It is defined 276 as the ratio between the discharge current applied and the discharge current 277 under which the battery delivers its nominal capacity per hour. 278

#### 279 4 Experimental results

Eq. (2) requires several parameters to be determined to evaluate the battery heat generation. In this study, three types of tests were performed to experimentally determine these quantities.  $U_{OC}$  and EHC were evaluated every 10% of the SOC from full charge to complete discharge. The first with subsequent cycles of discharge and relaxation, and the second through a potentiometric test. Finally, a constant current discharge was applied to the battery to measure the cell potential, operating current, and surface temperature.

#### 287 4.1 Open circuit potential

The open circuit potential was evaluated at every 10% of the SOC using the 288 method described below. First, the battery was discharged at a specific SOC 289 by a low operating current of 2.5 A. It was then allowed to relax for 1 h. At 290 the end of the relaxation, the voltage value reached by the battery is the  $U_{OC}$ 291 at a particular SOC. This test was repeated 5 times, and the  $U_{OC}$  value used 292 in Eq. (2) is the mean value of the 5 tests; it is also shown in the plots in 293 Fig. (2). The maximum percentage difference between each test and the mean 294 value was very low (0.07%). This ensured the excellent repeatability of the 295 measurements. 296

#### 297 4.2 Entropic Heat Coefficient

The battery was discharged to a specific SOC with a discharge current of 298 2.5 A and was allowed to relax. The relaxation was followed by a thermal cycle 299 in a thermostatic bath with a setting accuracy of  $\pm 0.5^{\circ}$ C. The open circuit 300 potential of the battery was constantly measured during the bath temperature 301 variation. The thermal cycle used in this study consisted of 3 h at 25°C, 3 h 302 at 10°C, 3 h at 35°C, 3 h at 45°C, and 3 h at 25°C. Temperature values were 303 chosen in the range where the battery operates safely (as recommended in the 304 datasheet). This procedure was repeated every 10% of SOC until complete 305 discharge. Fig. 3 shows an example of the open circuit potential variation 306 during the thermal cycle. The voltage values measured in the last 15 min of 307 each temperature step were considered  $U_{OC}$  steady-state values. The mean 308 values of this temporal window are plotted as a function of temperature, as 309 shown in Fig. 4. The gradient of the curve determines the EHC for each SOC. 310 Finally, Fig. 5 shows the EHC measured as a function of SOC. The EHC 311 assumes positive values until an SOC of 30%; subsequently, it becomes negative. 312 Similar behaviour was also observed in previous studies [29,43]. Therefore, the 313 reversible heat would be negative; thus, its effect is endothermic during the 314 discharge process until 30% of SOC. Successively, as discharge proceeds, the 315 reversible heat change its behaviour and it exhibits an exothermic effect, and 316 it starts to assume positive values. 317

## 318 4.3 Constant current discharge

In this test, the battery was fully discharged with a constant operating current. 310 The discharge was stopped when the cell potential reached a minimum voltage 320 of 2 V. The test was performed at ambient temperature and under a wide 321 range of C-rates (Table 2). After the complete discharge, the battery is fully 322 re-charged with a constant current constant voltage procedure: first, a constant 323 current of 1 C was applied until the battery reaches the maximum voltage 324 of 3.6 V (CC phase); subsequently, the voltage was kept constant until the 325 operating current decreases to  $25 \ mA$  (CV phase). 326

The cell potential at different discharge rates is reported as a function of the 327 SOC in Fig. 6. When a current is required from the battery, the cell potential 328 has a sudden fall owing to its internal resistance, and it flattens until a very 329 low SOC when the voltage falls to its minimum value. For a higher discharge 330 current, the voltage value of the flattened zone always decreases, and the 331 difference with the open circuit potential rapidly increases. When the cell 332 potential decreases, the surface temperature of the battery increases, especially 333 at low SOC. The temperature rise results from the loss of the initial energy as 334 heat generated by the battery. Fig. 7 shows the thermal images acquired for 335

the discharge rates tested. For each discharge rate, 4 SOC levels were chosen as 336 representative points for the discharge: 75%, 50%, 25%, and 0%. The images 337 show the difference between the temperature value measured during discharge 338 and its initial value (before the test starts). The ambient temperature, relative 339 humidity, and distance from the battery surface were considered in the infrared 340 image acquisitions. The colour scale applied to the images was always the same 341 for the tests to appreciate the temperature increase with the C-rate. Thermal 342 images underline the warm-up of the battery during discharge. It is clear 343 that a higher current produces a higher temperature rise. The temperature 344 reached by the battery at the end of discharge is moderate for lower C-rates 345 (approximately 10°C greater ambient temperature) but becomes very high 346 when higher C-rates occur. In these cases, the battery far exceeds its operating 347 temperature range. For a discharge current of 10 C, the final temperature 348 was 45°C higher than the initial temperature. Furthermore, for all C-rates, 349 the battery surface temperature was not uniform across its height; however, 350 there was a greater temperature in the upper zone at the negative electrode. 351 This trend is also confirmed using thermocouple measurements, as shown in 352 Fig. 8, where only a case of 2 C is reported for compactness. This effect can be 353 attributed to natural convection on the battery surface. On the bottom side, 354 there is a higher heat transfer, while proceeding upwards, the surrounding air 355 is influenced by the surface temperature and the heat transfer decreases. 356

Finally, Fig. 9 shows the temperature trend measured by the thermocouples and IR thermography for all the discharge currents tested. The IR thermography results, illustrated in Fig. 9, were obtained from an averaging procedure involving the four reference areas described in Section 3.

Fig. 9 shows that the temperature rises with greater intensity at a low SOC
when the voltage sharply decreases. Furthermore, IR thermography perfectly
copies the thermocouple data and the temperature trend is in good agreement.
We also introduced a percentage difference between the mean temperatures
measured by thermocouples and IR thermography, expressed using Eq. (7):

$$\delta = 100 \cdot \left| \frac{T_{tc} - T_{IR}}{(T_{eod} - T_{in})_{tc}} \right| \tag{7}$$

where the subscript "tc" refers to thermocouple data and "IR" to thermography.  $T_{eod}$  is the temperature at the end of discharge, and  $T_{in}$  is the initial value. This parameter was calculated for each acquisition during the discharge; however, in Table 3, only the maximum value of  $\delta$  for each C-rate was reported for compactness. The maximum absolute temperature difference recorded between the two measurement methods is also reported in Table 3.

This analysis confirmed that the IR thermography temperature values were close to the thermocouple measurements. The absolute temperature difference is always less than 1°C. The parameter  $\delta$  shows the error of IR thermography compared to the range of temperature measured during discharge. The higher values correspond to a low C-rate, where the increase in surface temperature 378 is small.

# <sup>379</sup> 5 Determination of the heat generated

Once the open circuit potential and EHC were measured, the thermal power 380 generated can be determined using Eq. (2) for all constant-current discharges. 381 In Eq. (2), we use the surface temperature measured using thermocouples and 382 infrared thermography. We considered a uniform temperature inside the battery 383 because the Biot number assumes a value lower than 0.1; this could be a good 384 approximation for a low C-rate, whereas, for a high discharge current, the error 385 in the absolute thermal power value can be larger. This measurement error was 386 estimated by considering the temperature difference between the surface and 387 the internal part of the battery at 15°C experimentally measured by Forgez 388 et al. for a discharge current of 20 A [29]. Thermal power was also evaluated 389 with this increased temperature, and a maximum percentage difference of 1%390 was found. 391

Fig. 10 shows the instantaneous thermal power at each SOC and the accu-392 mulated heat through the discharge process for all C-rates tested. Because 393 the overpotential increases with the discharge current, the thermal power and 394 accumulated heat are also greater at higher C-rates. Furthermore, thermal 395 power has a faster increase at low SOC, where the battery voltage has an 396 abrupt decay and the EHC becomes negative. In this zone, the reversible 397 thermal power is positive, and it increases the generated total thermal power. 398 Otherwise, when EHC reaches its maximum positive value (approximately 399 SOC equal to 60%), the thermal power shows a minimum for all discharge 400 currents. From the above considerations, it can be understood that the thermal 401 power generated by the battery is significantly influenced by the reversible 402 part. The heat accumulated during all discharges was compared to the initial 403 energy available to obtain the battery efficiency, as expressed in Eq. (5). Table 4 404 shows the efficiency for all discharge currents and the electric power supply 405 by the battery. Similarly, it can be noticed that at higher discharge currents, 406 the efficiency decreases owing to the greater overpotential and increase in the 407 battery temperature. The battery supplies a greater electric power at higher 408 C-rates; however, the efficiency is low, owing to the increase in thermal power 409 generated. 410

The two contributions of the total thermal power generated were analysed individually. Fig. 11 shows  $Q_{irr}/Q_{tot}$  and  $Q_{rev}/Q_{tot}$  as functions of SOC at C-rates of 0.5 C, 2 C, 5 C, and 10 C.

The reversible heat considerably influences the total heat generated by the battery, especially at low C-rates, where it has the same order of magnitude as the irreversible heat. It can also be seen that when the EHC is positive, the reversible heat is negative, and the discharge process is endothermic. In

this case, the reversible part was subtracted from the total heat generation. 418 Otherwise, from an SOC of 20%, the EHC becomes negative, the discharge 419 process is exothermic, and the reversible component increases the total thermal 420 power generation. As shown in Fig. 11, if only the irreversible part of heat 421 generation is considered, the total heat would be widely overestimated at low 422 C-rates. The error in the heat evaluation became less significant at higher 423 C-rates. In these cases, the reversible term can be considered negligible because 424 its value is much lower than the irreversible thermal power. Furthermore, it 425 is assumed that a constant value of EHC is not a suitable choice because the 426 contribution of the reversible term to the total heat is significantly influenced 427 by the SOC. 428

However, at higher discharge rates, the reversible contribution is always less significant. At higher C-rates, the overpotential is very large, and the irreversible term in Eq. (2) becomes predominant. The increase in overpotential with the C-rate resulted from the ohmic loss on the internal resistance of the battery.

The reversible term also influenced the surface distribution of the battery temperature during the discharge process. This is seen in Fig. 12, where a dimensionless temperature is shown for all C-rates as a function of the SOC. The dimensionless temperature is defined using Eq. (8):

438

$$T^* = \frac{T - T_{in}}{T_{eod} - T_{in}} \tag{8}$$

Fig. 12 shows that  $T^*$  assumes an almost linear trend for a current of 10 C 439 where the overpotential has a very large value. Here, the reversible term in 440 Eq. (2) assumes a very low value when compared with the irreversible term. 441 and the thermal power is mostly influenced by these components. When the 442 discharge current is decreased, this linearity is always less significant because 443 the EHC becomes relevant with respect to the overpotential. Furthermore, all 444 the lines have a unique point of intersection (except for 0.5C) at approximately 445 60% of the SOC when the EHC reaches its maximum value. After this point, 446 the temperature is higher for higher C-rates, as shown in Fig. 9; conversely, 447 before the intersection point, the trend is almost the opposite. This is an 448 interesting result obtained from the dimensionless temperature plot; however, 449 further tests on different battery models and chemistry compositions should 450 be performed to confirm this behaviour. 451

Finally, the aforementioned estimations were also applied to the IR thermography data. From Table 3, it can be observed that the thermography and thermocouple data are comparable. This evidence also produces a similar prediction of the reversible heat and total thermal power. The error made by thermography on reversible heat calculation is approximately 0.3%, whereas that of the thermal power is less than 0.1% for all discharge currents, as shown in Table 5.

# 459 6 Conclusion

In this study, the thermal behaviour of a LiFePO<sub>4</sub> Li–ion battery under various discharge currents was experimentally investigated. Moreover, electrical characterisation of the cell was performed to obtain the open circuit potential and EHC. Heat generation was evaluated using a simplified equation that considers both irreversible and reversible contributions. The thermal power estimation was based on battery surface temperature measurements performed with thermocouples and IR thermography.

The results show an increase in the thermal power when the battery is subjected 467 to higher discharge currents. This is due to the increase in overpotential, which 468 is related to a greater battery voltage drop. Consequently, the battery efficiency 469 decreased with higher C-rates. At low SOC, the greatest thermal power was 470 observed because of the abrupt decay of voltage and the negative value of 471 EHC. This also leads to a sharp increase in temperature which reaches very 472 high values over the maximum recommended operating temperature. The 473 contribution of the reversible and irreversible heat to the total thermal power 474 was carefully evaluated. The reversible part cannot be assumed to be constant 475 or not considered at all, because it significantly influences the total thermal 476 power and temperature trend, especially at low C-rates. IR thermography 477 and thermocouple probe measurements showed that the surface temperature 478 was not uniform along the height of the battery. There was a greater warm-479 up in the upper zone. The temperature measurements performed with IR 480 thermography were in good agreement with the thermocouple results. It has 481 been shown that the temperature trend during discharge is the same for both 482 methods. Furthermore, the maximum deviation of thermography data from 483 the thermocouples is always less than 1°C. These differences lead to an error 484 of less than 0.1% in thermal power evaluation. 485

The obtained results show that IR thermography allows an excellent estimation 486 of thermal power with values very close to the thermocouple data. Moreover, 487 IR approaches can quickly scan the measurement area and are non-intrusive 488 methods of analysis. In contrast, thermocouples must be placed on the battery 489 surface with a special highly conductive adhesive, and they have a more 490 complex acquisition system. Therefore, in our opinion, IR thermography can 491 be considered a powerful method, not only to qualitatively evaluate thermal 492 behaviour but to also perform a quantitative assessment of the heat generated 493 by the Li–ion battery. 494

#### 495 References

<sup>496</sup> [1] M. Wada, Research and development of electric vehicles for clean transportation,

Journal of Environmental Sciences 21 (6) (2009) 745-749.

- H. de Wilde, P. Kroon, Policy options to reduce passenger cars CO2 emissions
   after 2020, ECN ECN-E-13-005.
- M. Lowe, S. Tokuoka, T. Trigg, G. Gereffi, Lithium-ion batteries for electric vehicles: the u.s. value chain, Duke University Center on Globalization, Governance and Competitiveness, Tech. Rep.
- E. Karden, S. Ploumen, B. Fricke, T. Miller, K. Snyder, Energy storage devices for future hybrid electric vehicles, Journal of Power Sources 168 (1) (2007) 2–11.
- T. Mller, Lithium ion battery automotive applications and requirements,
  in: Seventeenth Annual Battery Conference on Applications and Advances.
  Proceedings of Conference (Cat. No.02TH8576), 2002, pp. 113–118.
- J. Speirs, M. Contestabile, Y. Houari, R. Gross, The future of lithium availability
   for electric vehicle batteries, Renewable and Sustainable Energy Reviews 35
   (2014) 183–193.
- <sup>511</sup> [7] H. Mettlach, R. Matthe, L. Turner, Voltec battery system for electric vehicle with
   extended range, SAE International Journal of Engines 4 (1) (2011) 1944–1962.
- [8] A. Pesaran, S. Santhanagopalan, G. Kim, Addressing the impact of temperature extremes on large format li-ion batteries for vehicle applications (presentation), Tech. rep., National Renewable Energy Lab.(NREL), Golden, CO (United States) (2013).
- <sup>517</sup> [9] P. Qin, M. Liao, W. Mei, J. Sun, Q. Wang, The experimental and numerical investigation on a hybrid battery thermal management system based on forcedair convection and internal finned structure, Applied Thermal Engineering 195 (2021) 117212.
- [10] S. K. Mohammadian, Y. Zhang, Thermal management optimization of an air cooled li-ion battery module using pin-fin heat sinks for hybrid electric vehicles,
   Journal of Power Sources 273 (2015) 431–439.
- [11] H. Wang, T. Tao, J. Xu, X. Mei, X. Liu, P. Gou, Cooling capacity of a novel
   modular liquid-cooled battery thermal management system for cylindrical lithium
   ion batteries, Applied Thermal Engineering 178 (2020) 115591.
- [12] Y. Fang, F. Ye, Y. Zhu, K. Li, J. Shen, L. Su, Experimental investigation on
  system performances and transient response of a pumped two-phase battery
  cooling system using r1233zd, Energy Reports 6 (2020) 238–247.
- [13] J. Duan, J. Zhao, X. Li, S. Panchal, J. Yuan, R. Fraser, M. Fowler, Modeling
  and analysis of heat dissipation for liquid cooling lithium-ion batteries, Energies
  14 (14) (2021) 4187.
- [14] S. Wiriyasart, C. Hommalee, S. Sirikasemsuk, R. Prurapark, P. Naphon, Thermal
   management system with nanofluids for electric vehicle battery cooling modules,
   Case Studies in Thermal Engineering 18 (2020) 100583.
- [15] X. Duan, G. Naterer, Heat transfer in phase change materials for thermal
  management of electric vehicle battery modules, International Journal of Heat
  and Mass Transfer 53 (23) (2010) 5176–5182.

- [16] Y. Li, Y. Du, T. Xu, H. Wu, X. Zhou, Z. Ling, Z. Zhang, Optimization of
  thermal management system for li-ion batteries using phase change material,
  Applied Thermal Engineering 131 (2018) 766-778.
- [17] N. Putra, A. F. Sandi, B. Ariantara, N. Abdullah, T. M. Indra Mahlia,
  Performance of beeswax phase change material (pcm) and heat pipe as passive
  battery cooling system for electric vehicles, Case Studies in Thermal Engineering
  21 (2020) 100655.
- [18] Y. Gan, L. He, J. Liang, M. Tan, T. Xiong, Y. Li, A numerical study on the
  performance of a thermal management system for a battery pack with cylindrical
  cells based on heat pipes, Applied Thermal Engineering 179 (2020) 115740.
- [19] M. Chen, J. Li, Nanofluid-based pulsating heat pipe for thermal management of
  lithium-ion batteries for electric vehicles, Journal of Energy Storage 32 (2020)
  101715.
- [20] T. M. Bandhauer, S. Garimella, T. F. Fuller, A critical review of thermal issues
  in lithium-ion batteries, The Electrochemical Society 158 (3) (2011) R1.
- [21] K. Smith, C.-Y. Wang, Power and thermal characterization of a lithium-ion
  battery pack for hybrid-electric vehicles, Journal of Power Sources 160 (1) (2006)
  662–673.
- [22] C. R. Pals, J. Newman, Thermal modeling of the lithium/polymer battery: II.
  temperature profiles in a cell stack, The Electrochemical Society 142 (10) (1995)
  3282–3288.
- [23] Y. Chen, J. W. Evans, Heat transfer phenomena in lithium/polymer-electrolyte
  batteries for electric vehicle application, The Electrochemical Society 140 (7)
  (1993) 1833–1838.
- [24] S.-C. Chen, Y.-Y. Wang, C.-C. Wan, Thermal analysis of spirally wound lithium
   batteries, The Electrochemical Society 153 (4) (2006) A637.
- <sup>565</sup> [25] Y. Chen, J. W. Evans, Thermal analysis of lithium polymer electrolyte
   <sup>566</sup> batteries by a two dimensional model-thermal behaviour and design optimization,
   <sup>567</sup> Electrochimica Acta 39 (4) (1994) 517–526.
- [26] N. Nieto, L. Díaz, J. Gastelurrutia, I. Alava, F. Blanco, J. C. Ramos, A. Rivas,
   Thermal modeling of large format lithium-ion cells, The Electrochemical Society
   160 (2) (2012) A212–A217.
- [27] B. Manikandan, C. Yap, P. Balaya, Towards understanding heat generation
   characteristics of li-ion batteries by calorimetry, impedance, and potentiometry
   studies, The Electrochemical Society 164 (12) (2017) A2794–A2800.
- [28] M. Shadman Rad, D. Danilov, M. Baghalha, M. Kazemeini, P. Notten, Adaptive thermal modeling of li-ion batteries, Electrochimica Acta 102 (2013) 183–195.
- [29] C. Forgez, D. Vinh Do, G. Friedrich, M. Morcrette, C. Delacourt, Thermal
  modeling of a cylindrical lifepo4/graphite lithium-ion battery, Journal of Power
  Sources 195 (9) (2010) 2961–2968.

- [30] K. E. Thomas, C. Bogatu, J. Newman, Measurement of the entropy of reaction
  as a function of state of charge in doped and undoped lithium manganese oxide,
  The Electrochemical Society 148 (6) (2001) A570.
- [31] S. S. Madani, E. Schaltz, S. Knudsen Kær, An experimental analysis of entropic coefficient of a lithium titanate oxide battery, Energies 12 (14) (2019) 2685.
- [32] U. S. Kim, C. B. Shin, C.-S. Kim, Effect of electrode configuration on the
   thermal behavior of a lithium-polymer battery, Journal of Power Sources 180 (2)
   (2008) 909-916.
- [33] C. Menale, F. D'Annibale, B. Mazzarotta, R. Bubbico, Thermal management of
   lithium-ion batteries: An experimental investigation, Energy 182 (2019) 57–71.
- [34] S. Goutam, J.-M. Timmermans, N. Omar, P. V. d. Bossche, J. Van Mierlo, Comparative study of surface temperature behavior of commercial li-ion pouch cells of different chemistries and capacities by infrared thermography, Energies 8 (8) (2015) 8175–8192.
- [35] J. B. Robinson, E. Engebretsen, D. P. Finegan, J. Darr, G. Hinds, P. R. Shearing,
  D. J. L. Brett, Detection of internal defects in lithium-ion batteries using lock-in
  thermography, The Electrochemical Society 4 (9) (2015) A106–A109.
- [36] M. Rani, Z. Razlan, A. Shahriman, Z. Ibrahim, W. Wan, Comparative study of surface temperature of lithium-ion polymer cells at different discharging rates by infrared thermography and thermocouple, International Journal of Heat and Mass Transfer 153 (2020) 119595.
- [37] S. Bazinski, X. Wang, Predicting heat generation in a lithium-ion pouch cell
   through thermography and the lumped capacitance model, Journal of Power
   Sources 305 (2016) 97–105.
- [38] S. Wang, K. Li, Y. Tian, J. Wang, Y. Wu, S. Ji, Infrared imaging investigation of
   temperature fluctuation and spatial distribution for a large laminated lithium-ion
   power battery, Applied Thermal Engineering 152 (2019) 204–214.
- [39] J. B. Robinson, J. A. Darr, D. S. Eastwood, G. Hinds, P. D. Lee, P. R. Shearing,
  O. O. Taiwo, D. J. Brett, Non-uniform temperature distribution in li-ion batteries
  during discharge a combined thermal imaging, x-ray micro-tomography and
  electrochemical impedance approach, Journal of Power Sources 252 (2014) 51–57.
- [40] J. Chiew, C. Chin, W. Toh, Z. Gao, J. Jia, C. Zhang, A pseudo three-dimensional
  electrochemical-thermal model of a cylindrical lifepo4/graphite battery, Applied
  Thermal Engineering 147 (2019) 450–463.
- [41] S. Wang, K. Li, Y. Tian, J. Wang, Y. Wu, S. Ji, An experimental and numerical
   examination on the thermal inertia of a cylindrical lithium-ion power battery,
   Applied Thermal Engineering 154 (2019) 676–685.
- [42] D. Bernardi, E. Pawlikowski, J. Newman, A general energy balance for battery
  systems, The Electrochemical Society 132 (1) (1985) 5–12.

[43] F. Geifes, C. Bolsinger, P. Mielcarek, K. P. Birke, Determination of the entropic
heat coefficient in a simple electro-thermal lithium-ion cell model with pulse
relaxation measurements and least squares algorithm, Journal of Power Sources
419 (2019) 148–154.



Figure 1. Experimental setup (a) and thermocouples arrangement on battery surface (b).



Figure 2. Open circuit potential of the battery as a function of SOC.



Figure 3. Open circuit potential measured during thermal cycle at SOC 50%.



Figure 4.  $U_{OC}$  as a function of temperature at SOC 50%.



Figure 5. Entropic heat coefficient as a function of SOC.



Figure 6. Cell potential as a function of SOC for different C–rate.



Figure 7. Thermal images of the battery at various discharge rates and SOC levels.



Figure 8. Temperature measured using the four thermocouples for a discharge current of 2 C.



Figure 9. Battery surface temperature for different C–rate measured using thermocouples (solid line) and IR thermography (dashed line).



Figure 10. Thermal power generated (a) and accumulated heat (b) at various discharge currents.



Figure 11. Contributions of the irreversible and reversible parts to the total thermal power generated as a function of SOC for a discharge current of 0.5 C (a), 2 C (b), 5 C (c), and 10 C (d).



Figure 12. Dimensionless temperature for different C–rates.

Table 1Measurement uncertainty.

C-rate	0.5	1	2	3	4	5	10
	+0.69	+0.37	+ 0.24	+0.19	+0.16	+ 0.14	+ 0.079
$\dot{Q}_{rev}\%$	$\pm 0.05$ $\pm 0.55$	$\pm 0.39$	$\pm 0.24$ $\pm 0.35$	$\pm 0.15$ $\pm 0.35$	$\pm 0.10$ $\pm 0.38$	$\pm 0.14$ $\pm 0.41$	$\pm 0.51$
$\dot{Q}\%$	$\pm 1.84$	$\pm 0.6$	$\pm 0.34$	$\pm 0.24$	$\pm 0.2$	$\pm 0.17$	$\pm 0.09$
$E_{acc}\%$	$\pm 1.7$	$\pm 0.71$	$\pm 0.45$	$\pm 0.34$	$\pm 0.28$	$\pm 0.25$	$\pm 0.14$

Table 2Constant current discharge tests performed.

C-rate	0.5	1	2	3	4	5	10
Current [A]	1.25	2.5	5	7.5	10	12.5	25
Time [s]	7200	3600	1800	1200	900	720	360

Table 3 The absolute maximum difference between thermocouples and thermographic temperature measurements.

C-rate	0.5	1	2	3	4	5	10
$\Delta T \ [^{\circ}C]$	0.25	0.74	0.47	0.38	0.46	0.88	0.3
$\delta~\%$	6.51	10.28	3.57	2.1	2.1	3.58	0.72

Table 4 Battery efficiency.

C-rate	0.5	1	2	3	4	5	10
η	0.94	0.92	0.9	0.89	0.88	0.87	0.82
$Q_{el} \ [W]$	4.23	8.27	16.24	20.05	31.74	39.29	74.38

Table 5Maximum percentage difference between IR thermography and thermocouples on<br/>heat calculations.

C-rate	0.5	1	2	3	4	5	10
$\dot{Q}\%$	0.075	0.047	0.062	0.034	0.068	0.071	0.083
$\dot{Q}_{rev}\%$	0.09	0.12	0.15	0.1	0.19	0.23	0.34