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# <sup>1</sup> Wave-forced dynamics in the nearshore river mouths, and swash

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5

# 6 Abstract

The role of wave forcing on the main hydro-morphological dynamics evolving in the shallow 7 waters of the nearshore and at river mouths is analyzed. Focus is mainly on the cross-shore 8 9 dynamics that evolve over mildly sloping barred, dissipative sandy beaches from the storm up to the yearly time scale, at most. Local mechanisms, nonlocal mechanisms and 10 connections across three main inter-related subsystems of the nearshore - the region of 11 generation and evolution of nearshore bars, river mouths and the swash zone - are 12 analyzed. The beach slope is a major controlling parameter for all nearshore dynamics. A 13 local mechanism that must be properly described for a suitable representation of wave-14 forced dynamics of all such three subsystems is the proper correlation between orbital 15 velocity and sediment concentration in the bottom boundary layer; while specific 16 17 mechanisms are the wave-current interaction and bar generation at river mouths and the sediment presuspension at the swash zone. Fundamental nonlocal mechanisms are both 18 Infragravity (IG) waves and large-scale horizontal vortices (i.e. with vertical axes), both 19 influencing the hydrodynamics, the sediment transport and the seabed morphology across 20 the whole nearshore. Major connections across the three subsystems are the upriver 21 propagation of IG waves generated by breaking sea waves and swash-swash interactions, 22 the interplay between the swash zone and along-river-flank sediment transport and the 23 evolution of nearshore sand bars. 24

25

## 26 Introduction

#### 27 Focus, motivation and method

This work focuses on wave-forced nearshore and river mouth dynamics, as opposite to tidally-forced dynamics - i.e. such that  $RTR=TR/H_b<3$ , where TR is the tidal range and  $H_b$  is the height of breaking waves (Masselink and Short, 1993).

In more detail, cross-shore (mostly) dynamics evolving from the yearly down to the storm time scales are investigated. With reference to coastal and river mouth hydro-morphological regimes the present analysis gives attention to the following two regimes.

First, open-coast, mildly sloping, barred ( $\Omega = H_b/w_sT_i > 2$ , where  $\Omega$  is the Dean parameter), 34  $w_s$  is the sediment fall velocity and  $T_i$  is the period of incoming waves - Wright and Short, 35 1984) and dissipative ( $\epsilon = A_b \omega^2 / gtan^2 \beta > 20$ , where the surf-scaling parameter  $\epsilon$  depends on 36 the amplitude of the breaking waves  $A_b$ , on the radian wave frequency  $\omega = 2\pi/T_i$  and on the 37 beach slope  $\beta$  -Wright and Short, 1984) sandy beaches. Second, wave-dominated or river-38 dominated and wave-modified (which feed sediments to sea) river mouths (Cooper, 2001). 39 Scopes of the present contribution are the following. First, to provide a fairly complete 40 (obviously not exhaustive) and clear overall view of what we know and what we do not not 41 know of wave-forced nearshore and river mouth dynamics. Second, to give the needed 42 focus to recent progresses made available on specific dynamics. But the main aim is to 43 highlight links and relations among such dynamics, this by properly highlighting 44 nonlocal agents and relations. 45

Regarding this problem as a puzzle to be solved (see figure 1), this entailing local and nonlocal relations, this paper tries to: put the analysis in the proper context, with no pretention for a systematic description; move from consolidated knowledge to new results; move from observations to modeling; move from the large to the small scales; inspect different types of models, both in terms of their structure and use.

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Figure 1. Wave-forced dynamics in the nearshore and estuaries: a puzzle to be solved. This photo, taken at the microtidal Misa River estuary (Senigallia, Italy), illustrates through one single view the three subsystems analyzed in the paper.

- 56 57
- 58 The latter issue is analyzed in some detail because of its intrinsic importance and of the
- 59 guidance that models provide in exploring the mechanisms of the phenomena at hand.
- 60

### 61 <u>Generalities on Models</u>

62 The hydro-morphodynamics of the nearshore region, including that evolving at river mouths,

is so complex that a number of different methods and models has been proposed and

64 implemented over the years. However, such abundance of models has also brought to some

65 major ambiguities in the definition and use of such models.

66 Hence, some clarification seems useful, which is based on a detailed classification of the

67 models in terms of their: intrinsic structure and use.

68

### 69 Model structure

Although this classification, as many others, is somewhat arbitrary (e.g. as function of the level of closure implemented), its use may help understand what model is actually applied for a specific analysis. The structure of the models at hand can be classed as either "process based" or "empirically based".

"Process-based models" rely on equations derived from fundamental physical principles
and/or conservation laws. In the following three examples of such models (at different levels
of time/space scales and resolution) are described.

First, wave-averaged models: Nonlinear Shallow Water Equation (NSWE)-type (e.g.
Delft3D, <u>https://oss.deltares.nl/web/delft3d/home</u>; Lesser et al., 2004; NHWAVE, Ma et al.,
2012); Oceanographic (e.g. ROMS, <u>https://www.myroms.org/index.php</u>, Haas and Warner,

2009), etc. Second, wave-resolving models: NSWE-type (e.g. Postacchini et al., 2012);
Boussinesq-type (e.g. Brocchini, 2013; Kirby, 2016); etc. Third, models that account for
turbulence through proper closures: Reynolds Averaged Navier-Stokes; Large Eddy
Simulations (Chang and Scotti, 2004; Torres-Freyermuth, Lara and Losada, 2010; Lubin et
al. 2011) etc.

\*Empirically-based models" rely on equations constructed from a mixture of conservation laws and observations, with a significant weight of empirical inputs. In fact, though also turbulence models like RANS and LES do require some empirism for the turbulence closure relations (hence the arbitrariness of classing models), their structure heavily relies on conservation laws and less on closures that are based on solid theoretical foundations.

Examples of empirically-based models are: Regression-type models, Machine Learning
 Approaches (e.g. Pourzangbar et al., 2017), etc. and energetics-type, which solve a
 sediment mass conservation equation (Exner equation) where the mass fluxes come from
 empirically-based closure laws (e.g. Bailard, 1981).

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#### 95 Model use

The above models can be, each in its own way, used in terms of either a template/forced approach or a stability approach (at times referred to as "self-organization", see, for example, Coco and Murray, 2007). To clarify the above an example is made with reference to the classical Exner 1D sediment transport equation, which can be formulated as (e.g. Plant et al., 2001):

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$$\frac{\partial z_b}{\partial t}(x,t) = I + II + III \tag{1}$$

- 102 where  $z_b$  is the seabed position and
- I = is a forcing term due to initial bathymetry and wave field,
- 104 II = gives the modification of forcing due to externally-forced changes in wave height,

105 III = is a feedback term due to the rate of change of the seabed position  $z_b$ , which introduces 106 a feedback behavior in (1).

Template/forced models and stability-type models can be distinguished by the presence 107 108 of I, II and III. Template/forced morphological models are such that I≠0, II≠0 and III=0, while stability approaches must have III ≠0. With reference to the specific problem of seabed 109 features generation, while template models may identify compelling mechanisms, i.e. a 110 template, for the initial stages of formation (e.g. at breakpoint, at nodes/antinodes of 111 standing waves, etc.) stability models are such that perturbations evolve simultaneously in 112 the hydrodynamic and bathymetric fields. This is illustrated by the examples given in figures 113 2 and 3. The initial bathymetry used for the simulations of figure 3 consisted of a longshore-114 uniform sand bar. 115

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- 128 129
- 130 The rest of the paper is structured as follows. The next section provides detailed
- information specific to 1) the generation and evolution of nearshore bars, 2) river mouth and
- 132 3) swash zone dynamics. A short Discussion and then Conclusions close the paper.
- 133
- 134 Wave forced-dynamics for three fundamental subsystems: sand
- 135 bars, river mouths and swash zone
- 136 Sand bars

Figure 2. Forced/template mechanism: "synchronous standing edge waves" (left) and flow imprinted on sand giving beach cusps (right). Adapted from Coco and Murray, (2007).

Figure 3. Stability/Self-organization mechanism: crescentic bars emerge from the feedback between flow and bathymetry (initial longshore-uniform bar bathymetry). Top: waves breaking on shoals force onshore flow, which returns to sea in gaps. Bottom: for suspended sediment decreasing from breaker line to shore, the onshore flow favors deposition (shoals grow) and the offshore flow favors erosion (scour holes grow). Hence, the development of crescentic bars. Adapted from Coco and Murray, (2007).

137 The generation and evolution of sand bars in the nearshore is analyzed as a first applicative

topic because of its many implications, which help set the scene for all the rest of the paper.

139 Consolidated observations and models are first illustrated, then followed by more recent 140 findings.

Starting from the observations, sand bars that evolve in the nearshore are usually classed 141 in terms of their planview shape, i.e. longshore uniform (2D) and rhythmic (3D). Different 142 evolution mechanisms lead to different evolution time scales (e.g. Wijnberg and Kroon, 143 2002). We can distinguish between: 2D sand bars (left panel of figure 4), which emerge as 144 the outcome of wave-induced, cross-shore sediment transport and evolve over scales of 145 days to months (e.g. Ruessink and Kroon, 1994); 3D sand bars (right panel of figure 4), 146 which emerge as the outcome of horizontal circulation patterns and, because of this stronger 147 forcing, evolve on shorter time scales, i.e. hours to days (e.g. Caballeria et al., 2002). 148

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Figure 4. Left panel: planview image of two shore-parallel sand bars at Noordwijk beach (The Netherlands, 19th November 2000). Right panel: planview image of surf zone complex topography at Duck (U.S.A. Atlantic coast, 10th January 1994). Adapted from Ribas Prats (2003).

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157 Three main interpretative models exist for the overall generation and evolution 158 mechanisms of nearshore sandbars.

The most known is the Net Offshore Migration (NOffM) model, which suggests that multiple nearshore 2D bars have multi-annual lifetimes and pass through 3 stages (figure 5). Generation occurs close to shore because of the interaction of undertow, wave orbital velocity asymmetry and group-forced IG orbital motion (Roelvink and Stive, 1989). This is followed by a net seaward migration, which is the outcome of an alternation of gradual onshore movements during calms and episodic strong offshore movements during storms (Van Enckevort and Ruessink, 2003, see also the right panel of figure 5). Finally, degeneration occurs at the outer surf zone when the bar moves offshore of the breaker line
 where weakly or non-breaking waves induce a net onshore sediment transport. This step

168 governs the entire cycle and only when the offshore bar degenerates at the breaker line the

nearshore bar can start its offshore migration (Wijnberg and Terwindt, 1995).

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Figure 5. Left panel: seabed cross-shore profiles at Noordwijk, The Netherlands, from 1979 to 1987, showing cyclic bar behavior with a recurrence interval of about 4-5 years. Adapted from van Enckvort, (2001). Right panel: seabed cross-shore profiles at Duck (U.S.A. Atlantic coast, September-October 1994). Thick curves with red dates are the beginning of storms, resulting in offshore bar migration (red arrows). Thin curves are the subsequent daily profiles. The sandbar migrated onshore (blue arrows) during calm periods between the storms. Courtesy of Dr. S. Elgar.

179 180

The second model is the Net Onshore Migration (NOnM) model, which suggests that sand 181 bars are generated inshore of the breakpoint and migrate towards the swash zone (Aagard 182 et al., 2004). The observations made on the Danish North Sea coast suggest that a net 183 onshore bar migration occurs and the onshore bar movement in the mid-outer surf zone is 184 due to a dominance of the wave-induced sediment transport during storm condition. The 185 onshore-directed sediment transport is caused by incident wave skewnesses and enhanced 186 phase couplings between orbital velocity and sediment concentration at times of high energy 187 and water levels. 188

Alternative to both NOffM and NOnM, the Oscillation around a Position of Equilibrium 189 (OPE, Certain and Barusseau, 2005) proposes a cyclic oscillation of the bar position due to 190 seaward (storms) and shoreward (calms) migration. The mechanisms of this model are 191 essentially similar to those of the NOffM, i.e. seaward migration during storms because of 192 193 the dominance of the undertow and recovery during calms due to the wave-induced onshore sediment transport, but equilibrium is achieved when the local climate cannot not force storm 194 strong or long enough (e.g. Mediterranean vs. North Sea, see also Armaroli and Ciavola, 195 2011). Very recent observations (Parlagreco et al., 2019) show that Mediterranean storms 196

can indeed induce a NOffM rather than an OPE behavior and that the longshore flow can
sensibly contribute to increase the potential of sediment suspension.

Both NOffM and NOnM are preferential for longshore-uniform 2D bars, which evolve for  $\Omega \approx 5$  (see in the following), and seem to require large waves ( $\Omega$  is directly proportional to  $H_b$ ) and longshore flows (likely contributing to longshore uniformity, Shand et al., 1999). Moreover, since both NOffM and NOnM are observed to evolve over beaches with similar slopes (1:200-1:150) and sediment sizes ( $d_{50}\approx 150\mu$ m), the different behaviours are probably to be searched into the different incident wave field (steepness and storm sequencing) and possible physiographic constraints.

The above observations motivate a detailed analysis, using the mentioned classification, of the models currently available to predict the generation and evolution of 2D sand bars. These are typically distinguished between models for single but non-interacting bars and interacting sand bars.

Among the models for single and non-interacting multiple bars we find the "empirical models", the "template/forced" models and the "stability models".

The "empirical models" are based on use of the Dean parameter  $\Omega$  (Wright and Short, 212 1984) and of the the B\*=xs/g tan $\beta$  T<sub>i</sub><sup>2</sup> parameter (Short and Aagard, 1993), where x<sub>s</sub> is the 213 214 nearshore width (offhore distance to the point where  $\beta \approx 0$ ). Single bar formation is possible for 20<B\*<50 and 2<  $\Omega$  <6, while  $\Omega$ <1 characterizes reflective beaches and  $\Omega$  >6 dissipative 215 beachs. For  $\Omega$  increasing from 2 to 6 the following features emerge in sequence (Wijnberg 216 and Kroon, 2002): shore-attached bars, 3D bars and 2D bars (the latter ones for  $\Omega \approx 5$ ). 217 Formation of multiple bars requires larger values of B<sup>\*</sup> (e.g. 2 bars for  $50 < B^* < 100$ , 3 bars 218 for 100< B\* < 300, etc.); 219

The "template/forced models" not only give synthetic information on conditions, but also clarify some of the mechanisms for bar formation. Most of them propose bar formation via sediment accumulation at specific spatial locations. Such locations can be (see also figure 6): the anti/nodes of standing waves - be they induced by incoming/outgoing free IG waves (Short, 1975) or edge waves from opposite directions (Holman and Bowen, 1982), this is known as the "standing IG wave mechanism"; the breakpoint location, where flow/sediment convergence is due to the seaward-flowing undertow and the shoreward bottom boundary layer (BBL) streaming, this is known as the "breakpoint-bar mechanism".

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Figure 6. Bar formation because of sediment convergence at: anti/nodes of standing IG waves (left panel), breakpoint (right panel).

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Another forced model, by O'Hare and Huntley (1994), suggests bar formation is induced by sediment stirring from sea/short waves and transport by free IG, hence we call this "sea-IG waves correlation mechanism". Erosion/deposition is function of a fixed phase shift between the stirring given by short-wave envelope and IG waves. If IG are Bound Long Waves (BLW, more efficient on dissipative/mild beaches, Longuet-Higgins and Stewart, 1964), bar formation is inhibited, while if IG are generated by BreakPoint (BP, more efficient on reflective/steep beaches, Symonds et al., 1982) oscillation, bar formation is fostered.

Forced models represent a major improvement over empirical models but are affected by some significant weaknesses. The most important is their intrinsic inability to give accont of the morphology-on-flow- feedback (term III of equation 1 is automatically set to zero). Moreover, standing IG waves and breakpoint-bar mechanisms: prescribe the simultaneous emergence of all bars across the surf zone (this is only observed for rythmic 3D bars, but not for 2D bars); require very specific wave conditions (e.g. narrow-banded spectra, mode selection, etc.), which are not frequent in nature.

Finally, "stability models" have been succesfully used to describe the generation of crescentic or lunate 3D bars (Vittori et al., 1999) and the evolution of a double system of crescentic 3D bars (Klein and Schuttelaars, 2006). Crescentic bars emerge because of steady currents caused by the interaction of the incoming sea/short waves with synchronous edge waves excited by the incoming waves moving over the wavy seabed. Klein and Schuttelaars (2006) studied a similar problem using a numerical, wave-averaged model (NSWE+Exner). Starting from an equilibrium state and numerically added perturbations the fastest-growing perturbation was computed (see also the left panel of figure 7).

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Figure 7. Left panel: nonlinear stability solution for a double sand bar system giving asymmetric crescentic patterns on inner and outer bars (adapted from Klein and Schuttelaars, 2006). Right panel: Evolution of longshore uniform sand bar after 30 days of model run with normal wave incidence. Longshore instability in the form of rip channels (adapted from Dronen and Deigaard, 2007).

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However, no study seems available on the emergence of 2D sandbars by means of stability models. Using a wave-averaged model Dronen and Deigaard, (2007) have shown that longshore instabilities of an initially 2D bar emerge for both normal and oblique wave incidence (see also the right panel of figure 7). This inadequacy of stability models in

reproducing the emergence and evolution of 2D sandbars calls for intensive investigation,

- which should also take into proper account the role of longshore currents, which are thought
- to be fundamental to give longshore uniformity.

272 With reference to models for interacting multiple bars, Castelle et al. (2010) used a

- numerical, wave-averaged circulation model (with wave action equation for wave driver) plus
- 274 Exner equation to investigate the evolution of double crescentic sandbar systems.
- 275 276

Figure 8. Computed (top-right and bottom panels) 180° out of-phase coupled patterns with an outer-bar horn facing an inner-bar bay, similar to observations (top-left panel) on a mesomacro-tidal, high-energy, double-barred beach (adapted from Castelle et al., 2010).

282 Simulations were run by providing a template in the morphology (inner bar longshore 283 uniform and outer-bar with crescentic patterns). The computed feedback between flow, 284 sediment transport and the evolving morphology allowed to reproduce morphologies similar 285 to those of observed double crescentic sandbar systems (see figure 8). The Authors advanced that a novel mechanism ("morphological coupling") was introduced that "blurs the distinction between self-organization and template mechanisms". However, inspection of equation (1) suggests that such a novel mechanism is a complete model that retains all terms on the right hand side. In other words, a template of crescentic outer bar is forced (term I of equation 1) in a self-interating model (term III of equation 1).

The above consolidated knowledge has opened the way to some recent findings on: sediment transport due to IG waves, sandbar migration and seabed moulding due to largescale vortices.

Recent results on IG wave-induced sediment transport come from De Bakker et al. (2016) 294 who have used field data collected in the Netherlands at the gently sloping ( $\beta \approx 1.80$ ) Ballum 295 beach and at the moderately sloping ( $\beta \approx 1.35$ ) SandMotor beach to investigate the role of 296 the beach slope on IG wave-induced cross-shore sediment transport ( $q_{IG}$ ). Based on the 297 fundamental idea that sediment is put into suspension by sea/short waves and transported 298 by IG waves (see also the "sea-IG waves correlation mechanism" by O'Hare and Huntley, 299 1994), the study compares the performances of two proxies for sediment transport in the 300 nearshore. The first proxy is the correlation between the group bound IG wave (BLW) and 301 the sea-wave envelope (see also Baldock, 2006): 302

$$R_{Uu_{IG}}(\tau) = \frac{\langle U(t)u_{IG}(t+\tau)\rangle}{\sigma_U \sigma_{u_{IG}}}$$
(2)

where  $u_{IG}$  is the IG wave cross-shore velocity, *U* is the sea-wave envelope cross-shore velocity,  $\sigma_s$  give the related variances and <> denotes time averaging. The second proxy, proposed by De Bakker et al. (2016), is the IG wave height to sea wave height ratio  $H_{IG}/H_{SW}$ . De Bakker et al. (2016) suggest that  $R_{Uu_{IG}}$  is a good proxy for the nearshore sediment transport only on moderately-sloping beaches while  $H_{IG}/H_{SW}$  well represents the sediment transport on all beaches. In fact, while over moderate slopes  $R_{Uu_{IG}} \neq 0$ , it is  $R_{Uu_{IG}} = 0$  on

mild slopes. On the other hand,  $H_{IG}/H_{SW}$  is always positive and increasing for mild slopes, 310 because IG waves receive more energy from sea waves breaking on wide surf zones. 311 In the shoaling region it is  $R_{Uu_{IG}} < 0$  because the setdown (negative BLW) is associated 312 with the peak of the sea wave envelope and the sediment flux is negative, i.e. seaward (see 313 also figure 9). In the inner surf zone of moderately-sloping beaches the correlation becomes 314 positive because breaking-induced wave setup (positive BLW and shoreward sediment flux) 315 gives deeper waters and allows the faster sea waves to ride the crest of the IG wave (see 316 figure 9). However, in the inner-surf zone of mildly-sloping beaches it is  $R_{Uu_{IC}} = 0$  because 317 the sea waves have been almost completely dissipated and  $q_{IG}<0$  because the peaks of 318 suspended sediment transport (SST) correlate with  $u_{IG} < 0$  and the undertow  $\bar{u}$ . 319

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Other recent results are on the mechanisms for onshore bar migration, fundamental for all bar migration models of above. Early energetics-type models could only reproduce offshore migration due to strong offshore currents (undertow) that are maximum at the bar crest (Gallagher et al.,1998). Observations suggest that onshore migration occurs for weak currents and significant waves. Hence, the role of waves is fundamental for the onshore bar migration, and, as a consequence, it is fundamental to understand what are the model representations of the main wave mechanisms for onshore bar migration.

With reference to the models analyzed in the Introduction we first analyze the energeticstype models. They base their ability to reproduce onshore bar migration on use of the wave acceleration skewness ( $Sk_a$ , proportional to the third order acceleration moment) in the sediment transport closure, so that the sediment transport rate is written as (Hoefel and Elgar, 2003):

Figure 9. Sketch of IG-sea wave interaction and cross-shore sediment transport in the nearshore. From left to right: shoaling zone, inner-surf zone of moderately-sloping beaches, inner-surf zone of mildly-sloping beaches.

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$$Q_A = K_a \left( a_{spike} - \text{sgn}(a_{spike}) a_{crit} \right), \text{ for } |a_{spike}| \ge a_{crit} \text{ with } a_{spike} = \frac{\langle a^3 \rangle}{\langle a^2 \rangle} = \langle a^2 \rangle^{1/2} S k_a$$
(3)

This description is such to properly give account of the rapid onshore flow acceleration under steep wave fronts, which, in turn, generates strong horizontal pressure gradients on the seabed sediment.

On the other hand, process based, wave-resolving models (e.g. Henderson et al., 2004; Hsu et al., 2006) can properly predict onshore bar migration if they accurately describe the correlation between the orbital velocity (*u*) and the sediment concentration (*c*) in the BBL  $(q=\langle uc \rangle)$ .

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Figure 10. From left to right: vertical profile of mean cross-shore sediment transport due to waves ( $q_w$ ) and currents ( $q_c$ ), total cross-shore sediment transport as function of near-bed (middle) and freestream (right) velocity moments, for the Duck94 migration event. Adapted from Henderson et al. (2004).

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Figure 10 shows that onshore migration occurs when the total sediment transport ( $q_t$ ) is essentially due to waves ( $q_w$ ) and correlates well with the third-order moment of the nearbed velocity  $\langle u_h^3 \rangle$ .

The apparent distance between the two models can be reconciled, showing that energetics-358 type models are successful if they reproduce, at the integral level, the proper sediment flux-359 nearbed velocity moments correlation given by advective BBL models. The proof can be 360 summarized as follows. First, it is shown that onshore bar migration occurs when q 361 correlates well with  $\langle u_b^3 \rangle$  in BBL, i.e. with the nearbed wave velocity skweness  $Sk_{u_b} =$ 362  $\langle u_b^3 \rangle / \langle u_b^2 \rangle^{3/2}$ . Then, using a Fourier decomposition of the velocity field, it is shown that  $Sk_{u_b} \propto$ 363  $\sin(\phi_b)A_{u_f}$ , where  $A_{u_f}$  is the wave freestream velocity asymmetry and  $\phi_b$  is the nearbed-364 over-freestream velocity phase lead (Henderson et al., 2004; Berni et al., 2013). Finally, for 365 sawtooth bores, i.e. setting  $\phi = \pi/2$  in the modal solution  $u_f \sim \sum_n \frac{1}{2^n} \sin[(n+1)\omega t + n\phi]$ 366

gives  $A_{u_f} \sim Sk_{a_f}$ , with  $Sk_{a_f}$  wave freestream acceleration skewness (Drake and Calantoni, 2001) of energetics-type models.

<sup>369</sup> Very recently Fernandez-Mora et al., (2015) used an energetics-type model to show the <sup>370</sup> ability of such models to predict onshore/offshore bar migration and assess the role of both <sup>371</sup>  $Sk_{u_h}$  and  $Sk_{a_f}$ . A complex model was built such that:

372 
$$(1-n)\frac{\partial z_b(x,t)}{\partial t} = -\frac{\partial Q(x,t)}{\partial x}$$
(4)

with 
$$Q = \alpha_c Q_c (Sk_{u_c}) + \alpha_u Q_u (Sk_{u_f}) + \alpha_a Q_a (Sk_{a_f}) + \alpha_D Q_D (\frac{dz_b}{dx})$$

and where  $Sk_{u_c}$  is the skewness of the currents velocity vector and  $dz_b/dx$  is the, stabilizing, seabed slope term. Hence, if  $\alpha_u$  is set to zero the SkA model of Hoefel and Elgar (2002) is obtained, if  $\alpha_a$  is set to zero the SkV model of Hsu et al. (2006), while the complete model is the MiX model. The model performances are illustrated in figure 11, always referring to the Duck94 onshore migration event.

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Figure 11. Modeling (red line) of the morphological evolution of the Duck94 onshore migration event for: (A) the SkV model, (B) the SkA model, and (C) the MiX model. The initial and final measured profiles are shown by dashed and solid lines, respectively. Adapted from Fernandez-Mora et al. (2015).

385 386

All models reproduce well the chosen event, the SkV model better in the shoaling zone and the SkA model better in inner surf zone. However, they all perform poorly in the swash

zone, this suggesting further investigation is needed for such region.

Among the most recent results on seabed evolution and bar generation are those dedicated to the roles of macrovortices. Breakers of finite longshore width are known to introduce vertical vorticity  $\zeta$  that rolls up to form large-scale vortices with vertical axes, also called macrovortices (Peregrine, 1999). Important dynamics characterized by finite longshore breakers are those giving rise to rip currents (e.g. Kennedy et al., 2006) and crosssea induced breakers (e.g. Postacchini et al., 2014).

The latter condition is seen to be a potentially important cause of seabed morphology 396 alteration with the generation of complex 3D sandbars. The example of figure 12 has been 397 obtained by computing, using the NSWE morphodynamic model of Postacchini et al. (2012), 398 the morphological evolution of a planar beach under the combined action of waves and 399 macrovortices generated by finite longshore breakers due to regular waves (Hi=1m, Ti=10s, 400  $\beta$ =1/30) crossing at an angle of 15° to the coastline. 401

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Figure 12. Seabed evolution forced by sea waves and macrovortices over a planar beach. 404 Red thin lines give contours of the free surface elevation, while black and blue solid lines 405 are contours of clockwise and anticlockwise vorticity, respectively. Yellow gives sand 406 deposition and green seabed erosion. 407

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Sandbars of 3D shape (yellow colours in figure 12) arise due to the interaction of cross-sea 410 waves and the breaking-induced macrovortices.

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River mouths 413

The hydro-morphodynamics evolving at river mouths, significantly related with the evolution 414

of sandbars, is analyzed, as a second applicative topic, with the same approach used for 415

sandbars: consolidated observations and models first, followed by more recent findings. 416

In view of the main aim of the paper, i.e. to highlight interactions amongst the subsystems 417

of interest, fundamentals of river mouth dynamics are kept at the minimum, with a preference 418

for those of wave-dominated rivers debouching into sandy coasts. 419

Analysis of the literature on river mouths dynamics is fairly complex because of the 420 different perspectives taken by the various communities that study river mouths, i.e. 421 engineers, geologists, ecologists, etc. Also, the vocabulary is influenced by such different 422 views and much effort is being spent to provide a systematic description of river mouth 423 dynamics. 424

The most important efforts have been made in the classification of river deltas (Galloway, 425 1975), where delta is here generically used as "sedimentary deposit due to river-sea 426 interaction and protruding into the sea". The triangular classification of deltaic depositional 427 systems by Galloway (1975) illustrates how the relative importance of sediment input, wave 428 energy flux and tidal energy determines both morphology and stratigraphy of the delta. 429 Indices have also been introduced to classify deltas. Among them we find the discharge 430 index  $I_q$  of very consolidated use (Wright et al., 1974) and the more recent fluvial dominance 431 ratio R (Nienhuis et al., 2015): 432

433 
$$I_q = discharge \ index = \frac{river \ liquid \ discharge \ per \ unit \ width \ of \ mouth}{wave \ power \ per \ unit \ width \ of \ wave \ crest}$$
(5a)

434  $R = fluvial \ dominance \ ratio = \frac{Q_r}{Q_{s,max}} = \frac{river \ sand \ flux}{max \ longshore \ sediment \ flux \ at \ river \ mouth}$ 

(5b)

435

The former is a dimensional index (units of m<sup>3</sup>/Watts/s) and such to increase of orders of magnitude from wave-dominated ( $I_q \approx 10^{-5}$  m<sup>3</sup>/Watts/s) to river-dominated ( $I_q \approx 1$  m<sup>3</sup>/Watts/s) systems, while the latter is a dimensionless index, also increasing from *R*<1 for wavedominated to *R*>1 for river dominated systems (see also figure 13).

440

441

Figure 13. Classification of river delta morphologies by means of the fluvial dominance ratio.
Adapted from Nienhuis (2016).

445

Reference classifications of river deltas (Galloway, 1975) also clarify use of the word "estuary", this being attributed to tide-dominated deltas, though at times more broadly (improperly?) used for semi-enclosed regions where river fresh water meets the sea. A number of schemes to classify estuaries is available in the literature, a recent one being that of Valle-Levinson (2011), which provides different classifications based on geomorphology, salinity structure and hydrodynamics.

Because of the ambiguities in using "estuary" and of the present focus on river systems 452 weakly influenced by tides, "river mouth" is here used as a clear and unambiguous definition 453 of the region where the river meets the sea. Analyses of wave-dominated river mouths are 454 also available (e.g. Cooper, 2001), and the very comprehensive, recent, work by Anthony 455 (2015) provides a nice overview of the role of waves at river mouths: waves remove fine 456 sediments at the river mouth and flatten the delta by moving the sediment alongshore. 457 Hence, deltas, placed along smooth coasts with shore-parallel ridges (see figure 14), are 458 shaped from arcuate to cuspate. Waves that approach the coast obliquely generate: littoral 459 (i.e. longshore) sediment transport, maximum for 45° wave attack; an important difference 460 between updrift/downdrift flank transport and sediments (updrift flank made by beach 461 sediments, downdrift flank made by river sediments); an asymmetrical delta. A recent 462 quantitative analysis on delta progradation/migration is that of Nienhuis et al. (2016), which 463 can be summarized as follows. The delta undergoes: a symmetric progradation, for small 464 river sand flux and all longshore transported sediment bypassing the mouth; a downdrift 465 migration, for small river sand flux and vanishing sediment bypassing at mouth; an updrift 466 migration, for large river sand flux, independently of sediment bypassing at mouth. 467

468

469

Figure 14. River delta geomorphic units and schematic shoreline morphology (wavedominated morphology circled in red). Adapted from Anthony (2015).

472 473

Being the main aim of the present work that of clarifying the interactions - through nonlocal agents - between the sandbar, river mouth and swash zone subsystems, most of the attention is here given to local and nonlocal wave-forced dynamics.

Fundamental wave-forced, river mouth dynamics are the interaction of river current with the sea waves and the related sediment transport.

479 Seaward flowing currents interact with incoming waves (Chawla and Kirby, 2002) to: slow 480 them down and even block them if the depth-uniform current velocity is equal and opposite to the wave group velocity, this is known as "wave blocking"; steepen and even lead to
breaking; decrease the high-frequency content by wave breaking and transfer part of the
current energy to lower wave frequencies, this leading to frequency downshift of the
incoming waves;

The bedload accumulation related to the above dynamics typically leads to the formation 485 of a mouth sandbar. The intensity of the wave field significantly influences the bar formation 486 process. With no waves, a triangular mouth bar is generated just seaward of the mouth, at 487 a distance of about twice the mouth width. The generation process is initiated by the river 488 jet expansion in the sea, which, by continuity arguments, leads to a flow reduction and a 489 consequent sediment deposition (e.g. Fagherazzi et al., 2015). On the other hand, small 490 waves promote mouth bar formation by a process similar to the above: they promote the 491 river jet expansion and flow reduction, with a consequent more intense deposition close to 492 the river mouth. Finally, large waves suppress mouth bar formation because of their intense 493 seabed erosion and longshore transport (Fagherazzi et al., 2015). 494

Analysis of the above-mentioned wave-current interactions reveals that a river mouth acts as a low-pass filter by removing (by breaking) sea and swell waves and letting long waves pass. Recent studies have been dedicated to the propagation and evolution of IG waves at inlets. Observations at the Ría de Santiuste (Spain, see Uncles et al., 2014) reveal how IG waves (with periods of 4-5minutes), believed to be the outcome of estuary-amplified edge waves, propagate upriver. This is well illustrated by the top panel of figure 15, which shows a positive correlation between the water level (*WL*) and the flow velocity (*U*' in the figure).

502

503

Figure 15. IG wave propagation at river mouths. Top: positive water level-flow velocity correlation at the Ría de Santiuste (Spain). Adapted from Uncles et al. (2014). Bottom: tidemodulated IG wave propagation in the Albufeira Inlet (Portugal). No IG waves propagate landward of the mouth at ebbs. Adapted from Bertin and Olabarrieta (2016).

509

The bottom panel of the same figure nicely illustrates the role of tide on the propagation 510 of IG waves at inlets. Observations and wave-averaged modeling of the Albufeira Inlet 511 (Portugal, see Bertin and Olabarrieta, 2016) reveal that upriver-propagation (from transect 512 0 to transect 18 of images C-Run 3 and D-Run 4) of IG-waves only occurs during tidal flood. 513 Inspection of the same images reveals that: no propagation is possible at ebb (white areas 514 in corrrespondence of the ebbs), IG waves are equally generated by BLW (image C-Run 3) 515 and BP (image D-Run 4) mechanisms. 516 Other recent field observation support the role of river mouths and the upriver propagation 517

of IG waves. Among these, notable are the EsCoSed and MORSE project studies (Brocchini et al., 2017; Melito et al., 2018a, 2019) performed at the mouth of the Misa River (Italy, see figures 1 and 17), located at a microtidal site (*RTR*<3) with a dissipative-to-intermediate beach ( $5 < \Omega < 20$ ).

522 523

Figure 16. The Misa River estuary in Senigallia (Italy) depicting locations of measuring stations within the final river reach (TGdown, QR1, QR2, QR3, TGup) and in the sea (QS1, QS2, QS3). Adapted from Melito et al. (2018a).

528

529 On the basis of water elevation measurements collected at various locations both in the 530 sea (QS in figure 17) and in the river (TG and QR in figure 17), the energy flux density  $dE_f$ 531 and the energy flux  $E_f$ :

532

$$dE_f = S(f)C_g \qquad \qquad dE_f = \int S(f)C_g df \tag{6}$$

have been computed - where S(f) is the power spectral density,  $C_g$  the wave group velocity and *f* the frequency. The fundamental result is that while in the sea (QS1, QS2) most of the energy content is due to swell and sea waves, the IG band becomes dominant within the river (e.g. QR2 of the left panel of figure 17) with a weak upriver decay (TGup of the right panel of figure 17).

538

539 540

Figure 17. Energy density flux (left) and energy flux (right) at the Misa River during storm 541 conditions. Adapted from Melito et al. (2018a). 542 543 544 The EsCoSed field campaign has also provided useful information on the seabed 545 morphology at the river mouth, highlighting the formation of large deposits/bars (blue in the 546 right panel of figure 18) and scours holes (red in the right panel of figure 18). The sand 547 deposits are found to be at a typical location, i.e. about twice the mouth width seaward of 548 the mouth itself (Fagherazzi et al., 2015), but characterized by a very complex planview 549 pattern. 550 551 552 Figure 18. Seabed variation between May-September 2013 (left) and between September 553 2013-February 2014 (right) at the Misa River. Adapted from Brocchini et al. (2017). 554 555 556 The above observations have motivated important efforts in the modeling of the dynamics 557 evolving at a river mouth characterized by a complex morphology, with a specific focus on 558 the role of waves. 559

560

Figure 19. Velocity maps for a strong river outflow opposed to three different wave regimes (from top to bottom:  $H_s = 0.0$ m, 0.5 m, 1.5 m). Left column: results from Olabarrieta et al. (2014). Right column: results from the wave-resolving solver of Brocchini et al. (2001), averaged over 10 wave periods. Adapted from Melito et al. (2018b). Olabarrieta et al. (2014) used the wave-averaged 3D Regional Ocean Modeling System (ROMS) and an idealized river mouth shoal bathymetry to explore the wave-river current

570 interactions. The increased, with respect to a planar beach, steepening and breaking of

waves over the shoal leads to stronger seaward-flowing currents (undertow). Moreover,

waves are seen to increase both the lateral spreading of the river current and the longshore

573 transport.

In the attempt to better describe the dynamics forced by the sea waves, Melito et al. 574 (2018b) run the same cases studied by Olabarrieta et al. (2014) using the intra-wave NSWE 575 model of Brocchini et al. (2001), this neglecting vertical flow gradients, but improving the 576 time resolution of the wave forcing. The comparison between the two different numerical 577 solutions, illustrated in figure 19 for the case of a strong river outflow (1.1 m/s river current), 578 suggests that the main features of the wave-current interactions are captured, i.e. the river 579 current intensity and instability (e.g. Canestrelli et al., 2014). However, some significant 580 differences are also evident, among which: a weaker longshore spreading of the river jet, 581 the appearence of a number of large-scale eddies. Both differences seem to be caused by 582 the stronger wave breaking predicted by the NSWE, which removes significant amounts of 583 momentum and energy from the wave field, thus the reduced capacity of pushing shoreward 584 the river jet, in favour of the generation by differential wave breaking of large-scale eddies 585 or macrovortices (see previous subsection and Kennedy et al., 2006). 586

Such macrovortices not only contribute to the near-mouth flow mixing (right panels of 587 figure 19), but, as seen at the end of the previous subsection, also induce significant seabed 588 morphological changes. Falcini et al. (2014) recently sought for a mechanistic relationship 589 between potential vorticity  $\Pi$  ( $\Pi$ = $\zeta$ /d in a shallow-water framework) and sediment transport 590 591 at a river mouth. Lateral advection and diffusion of suspended sediment were found to be directly proportional to the river jet vorticity, so that leeves building is observed in 592 correspondence of regions of large vorticity and mouth bar deposition in regions of low 593 594 vorticity.

In view of the large similarities between the analyses of vorticity-induced dynamics within the nearshore by Kennedy et al. (2006) and Brocchini (2013) and at river mouths by Falcini et al. (2014), an integration of the two analytical approaches seems useful to clarify the role of breaking-wave-induced vorticity on the river mouth morphodynamics. In the meantime, some numerical experiments are being carried out, by means of the hydro-morphodynamic NSWE solver of Postacchini et al. (2012), to describe the main morphological features that evolve at a simplified (e.g. shoal of Olabarrieta et al., 2014) and natural (e.g. Misa River) river mouth bathymetry. Preliminary numerical results are illustrated in figure 20, describing both a significant sediment deposition at the seaward edge of the idealized shoal and a weaker deposition at the typical location of a mouth bar (left panel) and a complex erosion deposition pattern at the mouth of the Misa River (right panel).

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Figure 20. Estimated morphological evolution at the simplified river mouth bathymetry of
 Olabarieta et al. (2014), left panel and at the Misa River, right panel.

611

612 Comparison of the right panels of figures 18 and 20 reveals that these preliminary 613 numerical solution can qualitatively capture the main characteristics of the complex 614 erosion/deposition pattern that evolves just seaward of the river mouth.

615

#### 616 Swash zone

The swash zone is that region of the beach that is alternatively covered and uncovered by water because of the action of sea waves (Brocchini and Baldock, 2008). Because of such process, fundamental characteristics of the swash zone are: the flow intermittency; the infiltration/exfiltration of water at the seabed; the very large sediment transport within the swash, which can be quantified, for example, in terms of the cross-shore sediment mass per beach longshore length transported by each swash event (Blenkisopp et al., 2011)

623 
$$-40 Kg/m < \hat{q}_x < 40 Kg/m, \quad \hat{q}_x \equiv \int_{T_{swash}}^{10} qdt \tag{7}$$

or in terms of the longshore mass flux (Ribeiro et al., 2012).

625 
$$Q_{y} \sim (1-10) Kg/s$$
 (8)

The swash zone is also a locus for the generation of IG waves by two main mechanisms.

The first is the seaward reflection of BLW released by breaking (e.g., Schäffer, 1993). This is well illustrated in the left panel of figure 21, where a group of sea waves releases through breaking its BLW that propagates to the shoreline and it is there reflected to propagate out to sea as a Free Long Wave (FLW). The process of faster sea waves climbing the crest of the BLW is evident, as also illustrated in figure 9.

the swash. This interaction occurs because waves in shallow water propagate with a velocity

The second mechanism is the frequency downshifting of the sea waves that interact in

that is proportional to their height. Therefore, large waves travel faster than small waves and

catch them up near or inside the swash zone (Mase, 1995). The assimilation of small waves

by large waves leads to a reduced number of waves emerging from the swash zone, i.e. to

a frequency downshifting, as illustrated in the right panel of figure 21.

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632

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Figure 21. Left: example of BLW release on a beach because of sea wave breaking. The shoreward-propagating (from bottom to top) wave group releases its BLW that is reflected at the shoreline and propagated (from top to bottom) out to sea as a FLW (adapted from Watson, Barnes and Peregrine, 1995). Right: example of frequency downshifting within the swash zone. Bichromatic waves propagate from seaward to the shoreline (from top to bottom) over a steep beach and interact in the swash zone giving wave of doubled period (adapted from Mase, 1995).

647 648

649 Most of the modelling of swash zone hydrodynamics, essentially forced by sea waves,

has been done by using the NSWE. For an horizontally-1D flow evolving in the crosshore

direction *x*, these read (e.g. Brocchini et al., 2001):

652 
$$\begin{cases} d_{,t} + (ud)_{,x} = 0 \\ \vdots \vdots \vdots \\ u_{,t} + uu_{,x} + gd_{,x} = gh_{,x} + c_f \frac{u|u|}{d} \end{cases}$$
(9)

where commas gives partial differentiation, *d* and *h* are the total and still-water depths, respectively, *u* is the depth-averaged flow velocity, *g* is gravity acceleration and  $c_f$  a dimensionless coefficient for seabed friction. Solution of such equations not only provides a quantitative means for studying the nearshore hydrodynamics, but can also be of help to illustrate some of the phenomena above described, like, for example, the interaction of sea waves within the swash zone. An example is provided by figure 22 for the case of a group of 5 sea waves.

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- 661

Figure 22. Waves interacting at a wall (left) and at a swash zone (right). Top: incoming and outgoing characteristic curves at the shoreline. Bottom: a group of 5 sea waves (thin line) incoming to shore and outgoing IG waves (S-, thick line) resulting from the interaction of sea waves (adapted from Brocchini, 2006).

666 667

On the basis of the NSWE a number of analytical solutions has been made available over 668 the years for both non-breaking and breaking waves. The pioneering work of Carrier and 669 Greenspan (1958), who used the method of hodograph transformation, opened the way to 670 some solutions that are currently used as reference for both analytical and numerical studies 671 for the evolution of non-breaking sea waves on a beach. In particular, Brocchini and 672 Peregrine (1996) extended the solution by Carrier and Greenspan (1958) to compute for the 673 longshore flow due to waves propagating almost orthogonally to the beach (see figure 23). 674 675 This solution can be used to provide estimates of the longshore mass flux to be compared with field measurements, like that of equation (8). More recent studies, e.g. Antuono and 676 Brocchini (2010), suggest that analytical solutions for both non-breaking and breaking waves 677 can be achieved also in the physical space, i.e. avoiding use of the hodograph 678 transformation. 679

680

681

Figure 23. Left: maps, in the (*x*,*t*) plane of hodograph coordinates, free surface elevation  $\eta$ crosshore (*u*) and longshore (*v*) velocities for two sea waves interacting onto a beach. Right: mean longshore mass flux near and inside the swash zone for waves of different amplitude (adapted from Brocchini and Peregrine, 1996).

- 686
- 687

688 Cornerstone of analytical solutions for breaking sea waves, i.e. bores, on a beach is that of 689 Shen and Meyer (1963), in the following SM63. A bore of velocity  $u_0$  collapsing onto a beach 690 of slope  $\beta$  forces a swash that is shoreward bounded by a parabolic shoreline  $x_s$ :

691

$$x_s = u_0 t - \frac{1}{2}g \tan\beta t^2 \tag{10}$$

i.e. similar to the trajectory of a massive point under the action of gravity. This very neat
 solution, which has been used for long times, has, however, the fundamental weakness of
 predicting runup lenses much thinner than those observed on a natural beach.

Very recently Guard and Baldock (2007), in the following GB07, analyzed this issue and 695 suggested that the problem is caused by the assumption of regarding the collapsing bore 696 697 as a dam-break flow, where part of the fluid accelerates seaward. This is illustrated in the sketch of the top panel of figure 24, whose left part illustrates the evolution of the SM63 698 solution in similarity with a dam-break flow (dashed line) that leads to both shoreward- and 699 700 seaward-accelerating fluid. The right image of the same panel illustrates how the GB07 solution, achieved by means of a linearly-time-varying (rather than constant) flow forcing at 701 collapse, predicts only shoreward-accelerating fluid after bore collapse. The bottom panel 702 of the same figure shows the fundamental differences in the water depths predicted by the 703 two solutions over a swash period. The SM63 solutions (thick lines) predicts more 704 asymmetric (downrush much longer than uprush) and much thinner (about a half) swash 705 lenses than those predicted by the GB07 solution (thin lines) that well compares with the 706 experimental data given by the symbols. 707

Recent remote sensing data, collected at 6 different beaches in USA and Australia, have been used to assess the value and validity of the assumptions at the basis of the GB07 solutions (Power et al., 2011). Such observations confirm that: natural swash lenses are deeper and less asymmetric than those predicted by SM63; a linearly-time-varying forcing of the swash flow is better suited than a time-independent forcing to describe the evolution of natural swashes. 714 715

Figure 24. Top: sketches of the flow by bore collapse. The left sketch shows the evolution of the SM63 solution in comparison to a dam-break flow (dashed lines), the right sketch suggests that only shoreward-accelerating fluid motion is predicted by the GB07 solution. Bottom: swash water thickness predicted by the SM63 solution (thick lines) and by the GB07 solution (thin lines) also compared with experimental data (symbols). Bottom panel adapted from GB07.

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The fact that natural swash are fairly symmetric (still asymmetric in favour of the 724 downrush) in time and characterized by fairly deep water lenses has important 725 consequences on the understanding and modeling of the swash zone sediment transport 726 and related morphodynamics. Thicker swash lenses lead to increased sediment pickup 727 728 areas, because the sediment transport rate is directly proportional to the flow depth for a uniform sediment concentration (Pritchard and Hogg, 2005), and increased seaward 729 sediment transport, because of the still existing swash asymmetry in favour of the rundown 730 phase. However, such seaward sediment transport is counter-balanced by two main 731 mechanisms: the presuspension of sediments due to the bore collapse onto the beach 732 (which allows for a significant transport from the surf zone into the swash zone) and the 733 settling lag effects due to the inertia of suspended sediment particles advected into the 734 swash zone (Pritchard and Hogg, 2005). The above competing mechanisms, i.e. reduced 735 736 swash asymmetry with increased thickness (promoting seaward transport) and sediment presuspension with settling lag effects (promoting shoreward transport) force a very complex 737 swash zone morphology. This has been well described by Kelly and Dodd (2010), who 738 implemented one of the most advanced and physically complete models for the swash zone 739 hydro-moprhodynamics. Some of their results reveal the complexities in beach profile 740 changes due to a swash event (see figure 25). 741

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<sup>Figure 25. Left: space-time map of the changes in beach profile due a swash event. The
thick dashed lines give the zero contour. Right: snapshots of the swash lens cross-shore
sections (adapted from Kelly and Dodd, 2010).</sup> 

747 748

While beach degradation (light tones of grey) occurs virtually over all of the seaward boundary of the swash zone, the most inshore portion of the swash zone undergoes significant, though non-uniform, aggradation (dark tones of grey). Bed discontinuities, typical of the evolution of an erosive bore, are seen to characterize both the uprush and the downrush stages.

The increasing awareness of the fundamental role of the swash zone for the entire nearshore hydro-morphodynamics stimulates always new research focused on links between the surf zone and swash zone dynamics.

From the hydrodynamic viewpoint, one of the most interesting recent studies is that by Moura and Baldock (2017), who focused on the relation between the shoreline ( $x_s$ ) motion

and the IG waves, both BP forced (horizontal BP excursion  $x_{br}$ ) and BLW (vertical BLW)

reaction  $\eta_{BLW}$ ), generated within the surf zone.

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Figure 26. Shoreline relation with BLW and BP forcing. (a) Wave group. The vertical blue 763 line gives the BP between its outer (red),  $x_o$ , and inner (green),  $x_i$  location. Grey and red 764 lines give the released BLW and incident BP-forced IG wave, respectively. (b) BP excursion 765 (black) and shoreline response to BP-forced (red) and BLW (grey) IG waves. Dashed line 766 gives path for a shallow water wave from the BP to the shoreline. Horizontal colored lines 767 are BP positions as in Figure 2a. (c) Cross-correlation between BP and shoreline excursion, 768 BLW released (grey) and BP-foced IG wave (red). Adapted from Moura and Baldock, (2017). 769 770 771

Such relation can be illustrated with reference to the schematic of figure 26. When a group arrives at breaking (panel a) the first, smaller waves break at  $x_i$  (green line) and the subsequent, larger waves, more and more seaward, moving the BP to  $x_o$  (red line), the subsequent smaller waves force the BP to move back to  $x_i$ . Hence, the BP position  $x_{br}(t)$  is  $- \text{large}(x_i)$ , small( $x_o$ ), large ( $x_i$ ) – i.e. in phase with the BLW vertical oscillation  $\eta_{BLW}(t)$  (which is large, small, large, i.e. concave upwards). The amplitude of the BP-forced IG wave  $\eta_{BP}(t)$ is proportional to the width of the breaking region. Hence, it increases during the passage from small to large waves ( $x_{br}(t)$  from zero to max) and decreases from large to small waves ( $x_{br}(t)$  from max to zero). Hence,  $\eta_{BP}(t)$  is concave downward or in phase opposition to  $x_{br}(t)$ and  $\eta_{BLW}(t)$ . The released BLW and the incident BP-forced wave propagate with the same speed ( $\sqrt{gh}$ ) and reach the shoreline at the same time (after traveling through the surf zone), but with opposite phase (panel b). This leads to a positive correlation between  $x_{s,BLW}$  and  $x_{br}$ and a negative correlation between  $x_{s,BP}$  and  $x_{br}$  (panel c).

On the basis of the above and using data coming from pressure sensors (water depth, 785 significant wave height and period, etc.) and video observations (shoreline and BP 786 excursion) collected at 3 Australian beaches, correlations between IG waves and shoreline 787 788 excursion were made. The main findings suggest that: the shoreline excursion is a good 789 proxy for infragravity waves in the inner surf and swash zone, IG wave generation by BLW release is stronger for conditions with relatively narrow surf zones and plunging waves while 790 791 BP forcing is dominant for wider surf zones and spilling breaker conditions. This seems in contradicition with the established understanding (Battjes et. al, 2004) that the BP forcing is 792 most efficient on steep beaches and the BLW release is most efficient on mildly-sloping 793 beaches, see also the discussion provided in "Template models" of above. Such apparent 794 795 contradiction can, perhaps, be solved by recalling that the surf zone width is not only function 796 of the beach slope but also of the characteristics of the incoming wave. However, some further research seems needed here. 797

From the morphological point of view, one fundamental link between the surf zone and swash zone dynamics is represented by the feeding of the most inshore sand bar (see also figures 4, 5, 11) by swash zone sediments. This has been recently investigated by Alsina et al. (2012), on the basis of dedicated laboratory experiments. Such experiments have been carried out by using flow conditions (surf zone characterized by plunging breakers,  $\varepsilon$ ~12-13) that, during an initial stage of run of about 3500 waves, led to the generation, from an almostplanar beach, of a nearshore bar that slowing migrated off to sea (see blue-solid to greendashed lines, and vertical red lines in top panel of figure 27). The run was then stopped and
the beach profile manually reshaped to a milder slope only inside the swash zone (pink-solid
line). The same flow conditions were subsequently run, but bar migration stopped.

808 809

Figure 27. Cross-shore distribution of beach profile (top panel) and of cross-shore sediment transport rate (bottom panel) at various times before and after beah reshaping (adapted from Alsina et al., 2012).

813 814

This behavior was attributed to a reduced sediment transport from the swash to the surf zone, because of the beach slope reduction forced in the swash zone. Such a conjecture was also verified by analyzing the sediment transport rate illustrated in the bottom panel of figure 27. This shows that, while before beach reshaping it was  $Q_x < 0$ , i.e. sediment transport rate was seaward, in the surf zone and inner swash zone, the sediment transport rate became vanishing to positive after beach reshaping. This is a clear indication of a major reduction of bar sediment feeding from the swash zone.

The above observations can be interpreted on the basis of a simple mechanistic model: the reduction of the swash zone beach slope ( $\beta_{swash}$  decreasing) forces an increase in the natural swash period  $T_{swash}$  defined as (Baldock and Holmes, 1999):

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$$T_{swash} = \frac{2C\sqrt{gH_b}}{g\sin\beta}, \qquad C = O(1) \qquad (12)$$

and, as a consequence, an increase in the swash-swash interactions, this being measured by the  $T_{swash}/T_i$  ratio (Baldock and Holmes, 1999); such increased swash-swash interaction makes the size of intense backwash events to decrease and, therefore, also the SST to sea, i.e. to a reduced sediment input to the nearshore bar (Alsina et al., 2012).

This section is closed with some promising ongoing research aimed at including the swash zone dynamics into wave-averaged solvers for the nearshore circulation (see also "Generalities on Models" in the Introduction). By definition, wave-averaged models cannot describe the swash zone dynamics associated to the sea waves, this leading to problems in

correctly predicting IG waves radiating out to sea. This is well illustrated by figure 22, whose 834 left panels describe the interaction of groups of 5 sea waves at a rigid wall used in wave-835 averaged solvers to represent the shoreline, while the right panels describe the same 836 interaction at a swash zone reproduced by means of a wave-resolving model (in the specific 837 that of Brocchini et al., 2001). Two main features are very clear: the interaction at a wall, 838 being a mere reflection of the incoming wave groups, leads to outgoing groups with the 839 same structure of the incoming ones; the interaction at a swash zone allows for a significant 840 swash-swash interaction, for example because of large waves catching up and engulfing 841 small waves (see Mase, 1995 and figure 21), which contributes to increasing the intensity 842 843 (S<sup>-</sup>) of the IG waves radiated out to sea (in the specific 20% larger for the interaction within the swash zone). In view of the fundamental importance of wave-wave interaction within the 844 swash zone Brocchini and co-workers have designed some Shoreline Boundary Conditions 845 (SBCs) for the motion of the mean shoreline  $x_i$  and of the flow at at such boundary ( $d(x_i)$ , 846  $u(x_l)$  on the basis of an integral swash zone model (Brocchini and Peregrine, 1996; 847 Brocchini and Bellotti, 2002). Such SBCs have been successfully validated by means of 848 large-scale laboratory experiments (Bellotti et al., 2003) and have recently been 849 implemented in the ROMS wave-averaged solver (Haas and Warner, 2009). The main aim 850 851 of such preliminary implementation was to gauge the capabilities of reproducing swash zone dynamics at a reduced computational cost, the final goal being that of predicting such 852 dynamics by simply running a wave-averaged solution. Figure 28 summarizes the main 853 854 findings of the mentioned implementation (Memmola, 2017; Memmola et al., 2019). The top six panels give the shoreline motion computed by running ROMS alone at the unreasonably-855 high resolution of 120 grid nodes ( $\Delta x$ ) per offshore wavelength  $L_0$  (black line), i.e. using it as 856 a wave-resolving model (benchmark solution), and ROMS coupled with the proposed SBCs 857 at lower and lower resolutions (red line), down to 2 grid nodes per wavelength. It is clear 858 that coupling ROMS with the SBCs allows one to run very cheap calculations that predict 859

reasonably well the benchmark solution by only using 3 grid nodes per wavelength (with  $\Delta x/L_0 \approx 2$  the solution is too deteriorated). The lower two panels of the same figure show that not only the shoreline motion, but the entire flow field is well predicted by using the SBCs. The left and right panels give, respectively, the swash zone free surface elevation and onshore velocity for the benchmark solution (top panels) and ROMS+SBCs solutions for two very coarse meshes (middle and lower panels).

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Figure 28. Top 6 panels: comparison of the ROMS ultra-highly resolved shoreline (black
line) and ROMS+SBCs shorelines for lower and lower grid resolution. Bottom 2 panels: free
surface elevation (left) and onshore velocity (right) for the benchmark ROMS solution (top
panels) and ROMS+SBCs solutions for two very coarse meshes (middle and lower panels).
Adapted from Memmola (2017).

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These preliminary results demonstrate that the SBCs of Brocchini and co-worker can, at least, allow for cheap solutions that also provide the entire structure of the swash zone flow. Work in currently underway to achieve the more ambitious goal of running the ROMS-SBCs system in a prediciting mode (two-way coupled system), i.e. so that the SBCs are actually used to time-step the swash solution to be returned to ROMS.

## 881 Discussion

An important contribution of the present work is the identification of nonlocal forcing/dynamics, i.e. those that influence the entire nearshore as a whole. These are, in a cascading order of mutual control the: beach slope (obvious nonlocal forcing), IG waves and large-scale vortices with vertical axes (macrovortices).

The **beach slope** controls the role of IG waves, generation of macrovortices and the related sediment transport. In particular, BLW are regareded to be more efficient on mildlysloping beaches, while IG waves are more likely generated by the oscillation of the BP on steep beaches (Battjes et al., 2004); although some recent studies by Moura and Baldock

(2017) seem to provide different information. The generation of macrovortices is controlled 890 by the beach slope through the longshore differential breaking of sea waves that leads to 891 generation and reorganization of potential vorticity at the lareral edges of breaking wave 892 fronts (e.g. Kennedy et al. 2006). Although the role of SST at the lower boundary of the 893 swash zone can be regarded as a local effect (see above), changes in the swash zone 894 beach slope can significantly alter the swash-swash interactions and intense backwash 895 events, this either promoting or stopping the sediment transport needed for the formation 896 and migration of nearshore sandbars (Alsina et al., 2012). 897

IG waves provide a nonlocal forcing because the lengths involved in their generation and 898 899 evolution are comparable to the surf zone width. IG are thought to have a fundamental role in the generation of sandbars, though various different models have been proposed like the 900 "standing IG wave mechanism" (e.g. Short, 1975) and the "sea-IG waves correlation 901 902 mechanism" of O'Hare and Huntley (1994) in which IG waves are responsible for the transport of sediments put into suspension by sea waves. Hence, the importance of the 903 correlation between BLW and sea-wave envelope or the IG wave height to sea wave height 904 ratio  $H_{IG}/H_{SW}$ , which is a good proxy for the sediment transport on beaches of all slopes (De 905 Bakker et al., 2016). IG waves are also important for the riverine sediment transport because 906 907 they can propagate upriver (e.g. Uncles et al, 2014), while sea and swell waves are dissipated by breaking at river mouths, which act as "low-pass filters". IG waves are not only 908 generated at the seaward boundary of the surf zone, but also in the very shallow waters of 909 910 the swash zone. This occurs by the wave-wave interaction due to large waves traveling faster than small waves and catching them up in the swash zone (Mase, 1995). 911

Macrovortices are a nonlocal forcing of the nearshore dynamics because they can maintain their coherent over large distances after their generation. Macrovortices contribute to sediment transport both by mobilizing the nearbed sands and, like IG waves, by carrying the sediments put into suspension by sea waves. The differential breaking that generates macrovortices is particularly intense at river mouths characterized by seabed shoals. Hence,
macrovortices, beyond the river jet expansion, provide a significant contribution to both flow
mixing and sediment transport at river mouths (Melito et al., 2018b).

The analysis of the three subsystems of interest and of the related wave-induced dynamics suggests some lines for future research, proposed with reference to each of such subsystems.

Inspection of the state-of-the-art sandbar modeling reveals that we are still in need of 922 suitable stability models for the generation of 2D sandbars. As recalled in the analysis of 923 sandbars, available numerical solutions for the generation of 2D sandbars are characterized 924 925 by longshore instabilities in the form of rip channels (Dronen and Deigaard, 2007) and no stable 2D sandbar systems can actually be reproduced for either normal or oblique wave 926 incidence. It seems, therefore, essential to investigate the mechanisms for the emergence 927 of 3D instabilities, also inspecting the role of the longshore currents, which are believed to 928 contribute to the maintenance of the stability of a 2D pattern (Shand et al., 1999). 929

The role of longshore flows is becoming of growing interest, as believed to significantly control not only the generation, but also the migration of sandbars. In particular, longshore currents due to waves obliquely incindent to the shore may have the potential to increase the shear stress acting on the seabed and responsible for the sediment mobility (Parlagreco et al., 2019). In this perspective longshore currents are not directly responsible for the direction of cross-shore migration of sandbars (onshore vs offshore) but provide an indirect important control through increased sediment mobility.

Observed opposite migration behaviours on beaches of similar steepness and sediment size (Van Enckevort and Ruessink, 2003; Aagard et al., 2004) suggest that other important mechanisms that may characterize conditions for offshore (NOffM model) vs onshore (NOnM model) migration are the steepness and storm sequencing of the incident wave field. In brief, future studies on sandbar generation and evolution should pay great attention to

### 942 wave obliquity, steepness and storm sequencing.

A more detailed inspection of the steepness of the incident wave field and related 943 modalities of breaking is also advisable to try to explain the apparent contradiction between 944 the recent findings of Moura and Baldock, (2017), suggesting that IG wave generation by 945 BLW release is stronger for narrow surf zones and plungers while BP forcing is dominant 946 for wider surf zones and spillers, and the established understanding (Batties et. al, 2004) 947 that the BP forcing is most efficient on steep beaches and the BLW release is most efficient 948 on mildly-sloping beaches. This investigation should also try to encompass an analysis of 949 the different modes of transport of turbulence associated with the two mentioned breaking 950 types (seaward under spilling breakers and landward under plunging breakers - Ting and 951 Kirby, 1994). 952

The implementation of *novel Shoreline Boundary Conditions* into wave averaging models for the nearshore circulation is certainly an important way forward for the inclusion of swash zone dynamics in long-term numerical simulations of the nearshore dynamics. The recent works of Memmola (2017) and Memmola et al. (2019) have shown the potentials of this approach, which will enable accurate and relatively cheap long-term numerical solutions. Work is currently underway for a predictive implementation of such approach.

In relation to the interactions occurring at a river mouth, studies are needed to clarify the roles of the different wave modes acting there. Recent field observations by Melito et al. (2019) confirm that *the tide has an important role of modulating the IG waves* that propagate upstream the river, result already obtained through numerical means by Olabarrieta (2016). This modulating effect, considerable even for microtidal environments (e.g. Misa River), should be better analyzed through field studies carried out in macrotidal environments.

The interactions of a river jet and the incoming sea waves should be better investigated 966 also in relation to the generation of macrovortices. Preliminary evidence shows that they can 967 remove significant amounts of energy from the incident wave field, reducing the opposing 968 action of waves to the river stream (Melito et al., 2018b), and also force 3D seabed 969 morphological features, e.g. mouth bars. Hence, both theoretical studies aimed at 970 integrating the analytical approach of Brocchini (2013) for vorticity and potential vorticity 971 generation with that of Falcini et al. (2014) as well as accurate morphological wave-resolving 972 numerical simulations will likely clarify the role of breaking-wave-induced vorticity on the 973 river mouth morphodynamics. 974

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## 976 Conclusions

The role of waves on the nearshore hydro-morphodynamics has been analyzed with specific focus to three subsystems of the nearshore i.e. sandbar region, river mouths and swash zone.

Each of these is characterized by very specific local dynamics. For sandbar generation and evolution fundamental is the role the nearbed sediment transport, with the correlation between the orbital velocity and sediment concentration in the BBL being of paramount importance for suitably predicting onshore bar migration (e.g. Henderson et al., 2004). It has also been shown that energetics-type models can achieve similarly good results if they reproduce such correlation through an integral approach, i.e. by including the effects of the wave freestream acceleration skewness (e.g. Hoefel and Elgar, 2002).

Local dynamics specific to river mouths are: the wave-current interaction by which the river current steepens the incoming waves, if intense enough to the point of breaking and/or blocking them, and forces a frequency downshifting (Chawla and Kirby, 2002); the related formation of a mouth bar (Fagherazzi et al., 2015) or the formation of a shoal that largely
influences the local dynamics by forcing stronger wave-breaking-induced return currents
(Olabarrieta et al., 2014) and wave breaking/sediment deposit farther from the mouth, i.e. at
the seaward edge of the shoal.

BBL dynamics largely influence the very shallow flows of the swash zone and, like for sandbars, a proper modeling of the swash zone sediment transport can only be achieved if correlation between the orbital velocity and sediment concentration in the BBL is well described. Fundamental is also the description of the SST transport at the lower boundary of the swash zone, which affects the sediment fluxes both from the surf zone, through sediment presuspension at bore collapse (Pritchard and Hogg, 2005), and to the surf zone, in association to intense backwash events.

A closure can be reached by recalling the main connections among the three subsystems 1001 of interest. IG waves provide a fundamental connection because generated both at the 1002 seaward edge of the surf zone (by BLW release, BP oscillation and edge wave interaction) 1003 and at the swash zone (because of wave-wave interaction) can propagate almost unaltered 1004 upstream at river mouths. Another important connection is provided by the evolution of 1005 macrovortices, which generated by differential breaking move over long distances in the surf 1006 1007 zone and may reach the shoreline where they can rebound and alter the near-shoreline 1008 morphology. From the morphological viewpoint, obvious links are those between: the swash zone morphology and the along-river-flank sediment transport that can feed the swash zone 1009 and the swash zone and the nearshore sandbars, which can drive sediments for their 1010 evolution from the swash zone. 1011

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## <sup>1249</sup> Wave-forced dynamics in the nearshore river mouths, and swash

1250 **ZONES** 

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- 1253
- 1254 Abstract

The role of wave forcing on the main hydro-morphological dynamics evolving in the shallow 1255 waters of the nearshore and at river mouths is analyzed. Focus is mainly on the cross-shore 1256 1257 dynamics that evolve over mildly sloping barred, dissipative sandy beaches from the storm up to the yearly time scale, at most. Local mechanisms, nonlocal mechanisms and 1258 connections across three main inter-related subsystems of the nearshore - the region of 1259 1260 generation and evolution of nearshore bars, river mouths and the swash zone - are analyzed. The beach slope is a major controlling parameter for all nearshore dynamics. A 1261 local mechanism that must be properly described for a suitable representation of wave-1262 forced dynamics of all such three subsystems is the proper correlation between orbital 1263 velocity and sediment concentration in the bottom boundary layer; while specific 1264 1265 mechanisms are the wave-current interaction and bar generation at river mouths and the sediment presuspension at the swash zone. Fundamental nonlocal mechanisms are both 1266 Infragravity (IG) waves and large-scale horizontal vortices (i.e. with vertical axes), both 1267 1268 influencing the hydrodynamics, the sediment transport and the seabed morphology across the whole nearshore. Major connections across the three subsystems are the upriver 1269 propagation of IG waves generated by breaking sea waves and swash-swash interactions, 1270 the interplay between the swash zone and along-river-flank sediment transport and the 1271 evolution of nearshore sand bars. 1272

### 1274 Introduction

#### 1275 Focus, motivation and method

This work focuses on wave-forced nearshore and river mouth dynamics, as opposite to tidally-forced dynamics - i.e. such that  $RTR=TR/H_b<3$ , where TR is the tidal range and  $H_b$  is the height of breaking waves (Masselink and Short, 1993).

In more detail, cross-shore (mostly) dynamics evolving from the yearly down to the storm
 time scales are investigated. With reference to coastal and river mouth hydro-morphological
 regimes the present analysis gives attention to the following two regimes.

First, open-coast, mildly sloping, barred ( $\Omega = H_b/w_sT_i > 2$ , where  $\Omega$  is the Dean parameter), 1282  $w_s$  is the sediment fall velocity and  $T_i$  is the period of incoming waves - Wright and Short, 1283 1984) and dissipative ( $\epsilon = A_b \omega^2 / gtan^2 \beta > 20$ , where the surf-scaling parameter  $\epsilon$  depends on 1284 the amplitude of the breaking waves  $A_b$ , on the radian wave frequency  $\omega = 2\pi/T_i$  and on the 1285 beach slope  $\beta$  -Wright and Short, 1984) sandy beaches. Second, wave-dominated or river-1286 1287 dominated and wave-modified (which feed sediments to sea) river mouths (Cooper, 2001). Scopes of the present contribution are the following. First, to provide a fairly complete 1288 (obviously not exhaustive) and clear overall view of what we know and what we do not not 1289 know of wave-forced nearshore and river mouth dynamics. Second, to give the needed 1290 focus to recent progresses made available on specific dynamics. But the main aim is to 1291 1292 highlight links and relations among such dynamics, this by properly highlighting nonlocal agents and relations. 1293

Regarding this problem as a puzzle to be solved (see figure 1), this entailing local and nonlocal relations, this paper tries to: put the analysis in the proper context, with no pretention for a systematic description; move from consolidated knowledge to new results; move from observations to modeling; move from the large to the small scales; inspect different types of models, both in terms of their structure and use.

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Figure 1. Wave-forced dynamics in the nearshore and estuaries: a puzzle to be solved. This photo, taken at the microtidal Misa River estuary (Senigallia, Italy), illustrates through one single view the three subsystems analyzed in the paper.

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1306 The latter issue is analyzed in some detail because of its intrinsic importance and of the

1307 guidance that models provide in exploring the mechanisms of the phenomena at hand.

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#### 1309 <u>Generalities on Models</u>

1310 The hydro-morphodynamics of the nearshore region, including that evolving at river mouths,

is so complex that a number of different methods and models has been proposed and

implemented over the years. However, such abundance of models has also brought to some

1313 major ambiguities in the definition and use of such models.

Hence, some clarification seems useful, which is based on a detailed classification of the

1315 models in terms of their: intrinsic structure and use.

1316

#### 1317 *Model structure*

Although this classification, as many others, is somewhat arbitrary (e.g. as function of the level of closure implemented), its use may help understand what model is actually applied for a specific analysis. The structure of the models at hand can be classed as either "process based" or "empirically based".

"Process-based models" rely on equations derived from fundamental physical principles
and/or conservation laws. In the following three examples of such models (at different levels
of time/space scales and resolution) are described.

First, wave-averaged models: Nonlinear Shallow Water Equation (NSWE)-type (e.g.
Delft3D, <u>https://oss.deltares.nl/web/delft3d/home</u>; Lesser et al., 2004; NHWAVE, Ma et al.,

1327 2012); Oceanographic (e.g. ROMS, <u>https://www.myroms.org/index.php</u>, Haas and Warner,

2009), etc. Second, wave-resolving models: NSWE-type (e.g. Postacchini et al., 2012);
Boussinesq-type (e.g. Brocchini, 2013; Kirby, 2016); etc. Third, models that account for
turbulence through proper closures: Reynolds Averaged Navier-Stokes; Large Eddy
Simulations (Chang and Scotti, 2004; Torres-Freyermuth, Lara and Losada, 2010; Lubin et
al. 2011) etc.

"Empirically-based models" rely on equations constructed from a mixture of conservation laws and observations, with a significant weight of empirical inputs. In fact, though also turbulence models like RANS and LES do require some empirism for the turbulence closure relations (hence the arbitrariness of classing models), their structure heavily relies on conservation laws and less on closures that are based on solid theoretical foundations.

Examples of empirically-based models are: Regression-type models, Machine Learning Approaches (e.g. Pourzangbar et al., 2017), etc. and energetics-type, which solve a sediment mass conservation equation (Exner equation) where the mass fluxes come from empirically-based closure laws (e.g. Bailard, 1981).

1342

#### 1343 Model use

The above models can be, each in its own way, used in terms of either a template/forced approach or a stability approach (at times referred to as "self-organization", see, for example, Coco and Murray, 2007). To clarify the above an example is made with reference to the classical Exner 1D sediment transport equation, which can be formulated as (e.g. Plant et al., 2001):

$$\frac{\partial z_b}{\partial t}(x,t) = I + II + III \tag{1}$$

- 1350 where  $z_b$  is the seabed position and
- 1351 I = is a forcing term due to initial bathymetry and wave field,
- 1352 II = gives the modification of forcing due to externally-forced changes in wave height,

1353 III = is a feedback term due to the rate of change of the seabed position  $z_b$ , which introduces 1354 a feedback behavior in (1).

Template/forced models and stability-type models can be distinguished by the presence 1355 1356 of I, II and III. Template/forced morphological models are such that I≠0, II≠0 and III=0, while stability approaches must have III≠0. With reference to the specific problem of seabed 1357 features generation, while template models may identify compelling mechanisms, i.e. a 1358 template, for the initial stages of formation (e.g. at breakpoint, at nodes/antinodes of 1359 standing waves, etc.) stability models are such that perturbations evolve simultaneously in 1360 the hydrodynamic and bathymetric fields. This is illustrated by the examples given in figures 1361 2 and 3. The initial bathymetry used for the simulations of figure 3 consisted of a longshore-1362 uniform sand bar. 1363

1364 1365

Figure 2. Forced/template mechanism: "synchronous standing edge waves" (left) and flow imprinted on sand giving beach cusps (right). Adapted from Coco and Murray, (2007).

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Figure 3. Stability/Self-organization mechanism: crescentic bars emerge from the feedback between flow and bathymetry (initial longshore-uniform bar bathymetry). Top: waves breaking on shoals force onshore flow, which returns to sea in gaps. Bottom: for suspended sediment decreasing from breaker line to shore, the onshore flow favors deposition (shoals grow) and the offshore flow favors erosion (scour holes grow). Hence, the development of crescentic bars. Adapted from Coco and Murray, (2007).

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1378 The rest of the paper is structured as follows. The next section provides detailed 1379 information specific to 1) the generation and evolution of nearshore bars, 2) river mouth and

1380 3) swash zone dynamics. A short Discussion and then Conclusions close the paper.

1381

# 1382 Wave forced-dynamics for three fundamental subsystems: sand

bars, river mouths and swash zone

1384 Sand bars

1385 The generation and evolution of sand bars in the nearshore is analyzed as a first applicative

topic because of its many implications, which help set the scene for all the rest of the paper.

1387 Consolidated observations and models are first illustrated, then followed by more recent 1388 findings.

Starting from the observations, sand bars that evolve in the nearshore are usually classed 1389 in terms of their planview shape, i.e. longshore uniform (2D) and rhythmic (3D). Different 1390 evolution mechanisms lead to different evolution time scales (e.g. Wijnberg and Kroon, 1391 2002). We can distinguish between: 2D sand bars (left panel of figure 4), which emerge as 1392 the outcome of wave-induced, cross-shore sediment transport and evolve over scales of 1393 1394 days to months (e.g. Ruessink and Kroon, 1994); 3D sand bars (right panel of figure 4), which emerge as the outcome of horizontal circulation patterns and, because of this stronger 1395 forcing, evolve on shorter time scales, i.e. hours to days (e.g. Caballeria et al., 2002). 1396

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Figure 4. Left panel: planview image of two shore-parallel sand bars at Noordwijk beach
(The Netherlands, 19th November 2000). Right panel: planview image of surf zone complex
topography at Duck (U.S.A. Atlantic coast, 10th January 1994). Adapted from Ribas Prats
(2003).

1404

1405 Three main interpretative models exist for the overall generation and evolution 1406 mechanisms of nearshore sandbars.

The most known is the Net Offshore Migration (NOffM) model, which suggests that multiple nearshore 2D bars have multi-annual lifetimes and pass through 3 stages (figure 5). Generation occurs close to shore because of the interaction of undertow, wave orbital velocity asymmetry and group-forced IG orbital motion (Roelvink and Stive, 1989). This is followed by a net seaward migration, which is the outcome of an alternation of gradual onshore movements during calms and episodic strong offshore movements during storms (Van Enckevort and Ruessink, 2003, see also the right panel of figure 5). Finally, 1414 degeneration occurs at the outer surf zone when the bar moves offshore of the breaker line

1415 where weakly or non-breaking waves induce a net onshore sediment transport. This step

1416 governs the entire cycle and only when the offshore bar degenerates at the breaker line the

- nearshore bar can start its offshore migration (Wijnberg and Terwindt, 1995).
- 1418
- 1419

Figure 5. Left panel: seabed cross-shore profiles at Noordwijk, The Netherlands, from 1979 to 1987, showing cyclic bar behavior with a recurrence interval of about 4-5 years. Adapted from van Enckvort, (2001). Right panel: seabed cross-shore profiles at Duck (U.S.A. Atlantic coast, September-October 1994). Thick curves with red dates are the beginning of storms, resulting in offshore bar migration (red arrows). Thin curves are the subsequent daily profiles. The sandbar migrated onshore (blue arrows) during calm periods between the storms. Courtesy of Dr. S. Elgar.

1427 1428

The second model is the Net Onshore Migration (NOnM) model, which suggests that sand 1429 1430 bars are generated inshore of the breakpoint and migrate towards the swash zone (Aagard et al., 2004). The observations made on the Danish North Sea coast suggest that a net 1431 onshore bar migration occurs and the onshore bar movement in the mid-outer surf zone is 1432 due to a dominance of the wave-induced sediment transport during storm condition. The 1433 onshore-directed sediment transport is caused by incident wave skewnesses and enhanced 1434 1435 phase couplings between orbital velocity and sediment concentration at times of high energy 1436 and water levels.

Alternative to both NOffM and NOnM, the Oscillation around a Position of Equilibrium 1437 (OPE, Certain and Barusseau, 2005) proposes a cyclic oscillation of the bar position due to 1438 seaward (storms) and shoreward (calms) migration. The mechanisms of this model are 1439 essentially similar to those of the NOffM, i.e. seaward migration during storms because of 1440 1441 the dominance of the undertow and recovery during calms due to the wave-induced onshore sediment transport, but equilibrium is achieved when the local climate cannot not force storm 1442 strong or long enough (e.g. Mediterranean vs. North Sea, see also Armaroli and Ciavola, 1443 2011). Very recent observations (Parlagreco et al., 2019) show that Mediterranean storms 1444

1445 can indeed induce a NOffM rather than an OPE behavior and that the longshore flow can 1446 sensibly contribute to increase the potential of sediment suspension.

Both NOffM and NOnM are preferential for longshore-uniform 2D bars, which evolve for  $\Omega \approx 5$  (see in the following), and seem to require large waves ( $\Omega$  is directly proportional to  $H_b$ ) and longshore flows (likely contributing to longshore uniformity, Shand et al., 1999). Moreover, since both NOffM and NOnM are observed to evolve over beaches with similar slopes (1:200-1:150) and sediment sizes ( $d_{50}\approx 150\mu$ m), the different behaviours are probably to be searched into the different incident wave field (steepness and storm sequencing) and possible physiographic constraints.

The above observations motivate a detailed analysis, using the mentioned classification, of the models currently available to predict the generation and evolution of 2D sand bars. These are typically distinguished between models for single but non-interacting bars and interacting sand bars.

Among the models for single and non-interacting multiple bars we find the "empirical models", the "template/forced" models and the "stability models".

The "empirical models" are based on use of the Dean parameter  $\Omega$  (Wright and Short, 1460 1984) and of the the  $B^*=xs/g \tan\beta T_i^2$  parameter (Short and Aagard, 1993), where  $x_s$  is the 1461 1462 nearshore width (offhore distance to the point where  $\beta \approx 0$ ). Single bar formation is possible for 20<B\*<50 and 2<  $\Omega$  <6, while  $\Omega$ <1 characterizes reflective beaches and  $\Omega$  >6 dissipative 1463 beachs. For  $\Omega$  increasing from 2 to 6 the following features emerge in sequence (Wijnberg 1464 and Kroon, 2002): shore-attached bars, 3D bars and 2D bars (the latter ones for  $\Omega \approx 5$ ). 1465 Formation of multiple bars requires larger values of B<sup>\*</sup> (e.g. 2 bars for  $50 < B^* < 100$ , 3 bars 1466 for 100< B\* < 300, etc.); 1467

The "template/forced models" not only give synthetic information on conditions, but also clarify some of the mechanisms for bar formation. Most of them propose bar formation via sediment accumulation at specific spatial locations. Such locations can be (see also figure 6): the anti/nodes of standing waves - be they induced by incoming/outgoing free IG waves (Short, 1975) or edge waves from opposite directions (Holman and Bowen, 1982), this is known as the "standing IG wave mechanism"; the breakpoint location, where flow/sediment convergence is due to the seaward-flowing undertow and the shoreward bottom boundary layer (BBL) streaming, this is known as the "breakpoint-bar mechanism".

1476 1477

Figure 6. Bar formation because of sediment convergence at: anti/nodes of standing IG
waves (left panel), breakpoint (right panel).

Another forced model, by O'Hare and Huntley (1994), suggests bar formation is induced by sediment stirring from sea/short waves and transport by free IG, hence we call this "sea-IG waves correlation mechanism". Erosion/deposition is function of a fixed phase shift between the stirring given by short-wave envelope and IG waves. If IG are Bound Long Waves (BLW, more efficient on dissipative/mild beaches, Longuet-Higgins and Stewart, 1964), bar formation is inhibited, while if IG are generated by BreakPoint (BP, more efficient on reflective/steep beaches, Symonds et al., 1982) oscillation, bar formation is fostered.

Forced models represent a major improvement over empirical models but are affected by some significant weaknesses. The most important is their intrinsic inability to give accont of the morphology-on-flow- feedback (term III of equation 1 is automatically set to zero). Moreover, standing IG waves and breakpoint-bar mechanisms: prescribe the simultaneous emergence of all bars across the surf zone (this is only observed for rythmic 3D bars, but not for 2D bars); require very specific wave conditions (e.g. narrow-banded spectra, mode selection, etc.), which are not frequent in nature.

Finally, "stability models" have been succesfully used to describe the generation of crescentic or lunate 3D bars (Vittori et al., 1999) and the evolution of a double system of crescentic 3D bars (Klein and Schuttelaars, 2006). Crescentic bars emerge because of steady currents caused by the interaction of the incoming sea/short waves with synchronous edge waves excited by the incoming waves moving over the wavy seabed. Klein and Schuttelaars (2006) studied a similar problem using a numerical, wave-averaged model (NSWE+Exner). Starting from an equilibrium state and numerically added perturbations the fastest-growing perturbation was computed (see also the left panel of figure 7).

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Figure 7. Left panel: nonlinear stability solution for a double sand bar system giving asymmetric crescentic patterns on inner and outer bars (adapted from Klein and Schuttelaars, 2006). Right panel: Evolution of longshore uniform sand bar after 30 days of model run with normal wave incidence. Longshore instability in the form of rip channels (adapted from Dronen and Deigaard, 2007).

1511 1512

However, no study seems available on the emergence of 2D sandbars by means of stability models. Using a wave-averaged model Dronen and Deigaard, (2007) have shown

that longshore instabilities of an initially 2D bar emerge for both normal and oblique wave

incidence (see also the right panel of figure 7). This inadequacy of stability models in

reproducing the emergence and evolution of 2D sandbars calls for intensive investigation,

- 1518 which should also take into proper account the role of longshore currents, which are thought
- 1519 to be fundamental to give longshore uniformity.

1520 With reference to models for interacting multiple bars, Castelle et al. (2010) used a

- numerical, wave-averaged circulation model (with wave action equation for wave driver) plus
- 1522 Exner equation to investigate the evolution of double crescentic sandbar systems.
- 1523 1524

Figure 8. Computed (top-right and bottom panels) 180° out of-phase coupled patterns with an outer-bar horn facing an inner-bar bay, similar to observations (top-left panel) on a mesomacro-tidal, high-energy, double-barred beach (adapted from Castelle et al., 2010).

1530 Simulations were run by providing a template in the morphology (inner bar longshore 1531 uniform and outer-bar with crescentic patterns). The computed feedback between flow, 1532 sediment transport and the evolving morphology allowed to reproduce morphologies similar 1533 to those of observed double crescentic sandbar systems (see figure 8). The Authors advanced that a novel mechanism ("morphological coupling") was introduced that "blurs the distinction between self-organization and template mechanisms". However, inspection of equation (1) suggests that such a novel mechanism is a complete model that retains all terms on the right hand side. In other words, a template of crescentic outer bar is forced (term I of equation 1) in a self-interating model (term III of equation 1).

The above consolidated knowledge has opened the way to some recent findings on: sediment transport due to IG waves, sandbar migration and seabed moulding due to largescale vortices.

Recent results on IG wave-induced sediment transport come from De Bakker et al. (2016) 1542 1543 who have used field data collected in the Netherlands at the gently sloping ( $\beta \approx 1.80$ ) Ballum beach and at the moderately sloping ( $\beta \approx 1.35$ ) SandMotor beach to investigate the role of 1544 the beach slope on IG wave-induced cross-shore sediment transport ( $q_{IG}$ ). Based on the 1545 fundamental idea that sediment is put into suspension by sea/short waves and transported 1546 by IG waves (see also the "sea-IG waves correlation mechanism" by O'Hare and Huntley, 1547 1994), the study compares the performances of two proxies for sediment transport in the 1548 nearshore. The first proxy is the correlation between the group bound IG wave (BLW) and 1549 1550 the sea-wave envelope (see also Baldock, 2006):

1551 
$$R_{Uu_{IG}}(\tau) = \frac{\langle U(t)u_{IG}(t+\tau)\rangle}{\sigma_U \sigma_{u_{IG}}}$$
(2)

where  $u_{IG}$  is the IG wave cross-shore velocity, U is the sea-wave envelope cross-shore velocity,  $\sigma_s$  give the related variances and <> denotes time averaging. The second proxy, proposed by De Bakker et al. (2016), is the IG wave height to sea wave height ratio  $H_{IG}/H_{SW}$ . De Bakker et al. (2016) suggest that  $R_{Uu_{IG}}$  is a good proxy for the nearshore sediment transport only on moderately-sloping beaches while  $H_{IG}/H_{SW}$  well represents the sediment transport on all beaches. In fact while over moderate slopes  $R_{Uu_{IG}} \neq 0$ , it is  $R_{Uu_{IG}} = 0$  on

mild slopes. On the other hand,  $H_{IG}/H_{SW}$  is always positive and increasing for mild slopes, 1558 because IG waves receive more energy from sea waves breaking on wide surf zones. 1559 In the shoaling region it is  $R_{Uu_{IG}} < 0$  because the setdown (negative BLW) is associated 1560 with the peak of the sea wave envelope and the sediment flux is negative, i.e. seaward (see 1561 also figure 9). In the inner surf zone of moderately-sloping beaches the correlation becomes 1562 positive because breaking-induced wave setup (positive BLW and shoreward sediment flux) 1563 gives deeper waters and allows the faster sea waves to ride the crest of the IG wave (see 1564 figure 9). However, in the inner-surf zone of mildly-sloping beaches it is  $R_{Uu_{IC}} = 0$  because 1565 the sea waves have been almost completely dissipated and  $q_{IG}<0$  because the peaks of 1566 suspended sediment transport (SST) correlate with  $u_{IG} < 0$  and the undertow  $\bar{u}$ . 1567

1568 1569

Figure 9. Sketch of IG-sea wave interaction and cross-shore sediment transport in the nearshore. From left to right: shoaling zone, inner-surf zone of moderately-sloping beaches, inner-surf zone of mildly-sloping beaches.

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Other recent results are on the mechanisms for onshore bar migration, fundamental for all bar migration models of above. Early energetics-type models could only reproduce offshore migration due to strong offshore currents (undertow) that are maximum at the bar crest (Gallagher et al.,1998). Observations suggest that onshore migration occurs for weak currents and significant waves. Hence, the role of waves is fundamental for the onshore bar migration, and, as a consequence, it is fundamental to understand what are the model representations of the main wave mechanisms for onshore bar migration.

With reference to the models analyzed in the Introduction we first analyze the energeticstype models. They base their ability to reproduce onshore bar migration on use of the wave acceleration skewness ( $Sk_a$ , proportional to the third order acceleration moment) in the sediment transport closure, so that the sediment transport rate is written as (Hoefel and Elgar, 2003):

1587 
$$Q_A = K_a \left( a_{spike} - \text{sgn} \left( a_{spike} \right) a_{crit} \right), \text{ for } \left| a_{spike} \right| \ge a_{crit} \text{ with } a_{spike} = \frac{\langle a^3 \rangle}{\langle a^2 \rangle} = \langle a^2 \rangle^{1/2} S k_a$$
(3)

This description is such to properly give account of the rapid onshore flow acceleration under steep wave fronts, which, in turn, generates strong horizontal pressure gradients on the seabed sediment.

On the other hand, process based, wave-resolving models (e.g. Henderson et al., 2004; Hsu et al., 2006) can properly predict onshore bar migration if they accurately describe the correlation between the orbital velocity (*u*) and the sediment concentration (*c*) in the BBL ( $q=\langle uc \rangle$ ).

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Figure 10. From left to right: vertical profile of mean cross-shore sediment transport due to waves ( $q_w$ ) and currents ( $q_c$ ), total cross-shore sediment transport as function of near-bed (middle) and freestream (right) velocity moments, for the Duck94 migration event. Adapted

from Henderson et al. (2004).

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Figure 10 shows that onshore migration occurs when the total sediment transport (qt) is essentially due to waves (qw) and correlates well with the third-order moment of the nearbed velocity  $\langle u_h^3 \rangle$ .

The apparent distance between the two models can be reconciled, showing that energetics-1606 type models are successful if they reproduce, at the integral level, the proper sediment flux-1607 nearbed velocity moments correlation given by advective BBL models. The proof can be 1608 summarized as follows. First, it is shown that onshore bar migration occurs when q1609 correlates well with  $\langle u_b^3 \rangle$  in BBL, i.e. with the nearbed wave velocity skweness  $Sk_{u_b} =$ 1610  $\langle u_b^3 \rangle / \langle u_b^2 \rangle^{3/2}$ . Then, using a Fourier decomposition of the velocity field, it is shown that  $Sk_{u_b} \propto$ 1611  $\sin(\phi_b)A_{u_f}$ , where  $A_{u_f}$  is the wave freestream velocity asymmetry and  $\phi_b$  is the nearbed-1612 over-freestream velocity phase lead (Henderson et al., 2004; Berni et al., 2013). Finally, for 1613 sawtooth bores, i.e. setting  $\phi = \pi/2$  in the modal solution  $u_f \sim \sum_n \frac{1}{2^n} \sin[(n+1)\omega t + n\phi]$ 1614

1615 gives  $A_{u_f} \sim Sk_{a_f}$ , with  $Sk_{a_f}$  wave freestream acceleration skewness (Drake and Calantoni, 1616 2001) of energetics-type models.

Very recently Fernandez-Mora et al., (2015) used an energetics-type model to show the ability of such models to predict onshore/offshore bar migration and assess the role of both  $Sk_{u_h}$  and  $Sk_{a_f}$ . A complex model was built such that:

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$$(1-n)\frac{\partial z_b(x,t)}{\partial t} = -\frac{\partial Q(x,t)}{\partial x}$$
(4)

1621 with 
$$Q = \alpha_c Q_c (Sk_{u_c}) + \alpha_u Q_u (Sk_{u_f}) + \alpha_a Q_a (Sk_{a_f}) + \alpha_D Q_D (\frac{dz_b}{dx})$$

and where  $Sk_{u_c}$  is the skewness of the currents velocity vector and  $dz_b/dx$  is the, stabilizing, seabed slope term. Hence, if  $\alpha_u$  is set to zero the SkA model of Hoefel and Elgar (2002) is obtained, if  $\alpha_a$  is set to zero the SkV model of Hsu et al. (2006), while the complete model is the MiX model. The model performances are illustrated in figure 11, always referring to the Duck94 onshore migration event.

1627

1628

Figure 11. Modeling (red line) of the morphological evolution of the Duck94 onshore migration event for: (A) the SkV model, (B) the SkA model, and (C) the MiX model. The initial and final measured profiles are shown by dashed and solid lines, respectively. Adapted from Fernandez-Mora et al. (2015).

1633 1634

All models reproduce well the chosen event, the SkV model better in the shoaling zone

and the SkA model better in inner surf zone. However, they all perform poorly in the swash

1637 zone, this suggesting further investigation is needed for such region.

Among the most recent results on seabed evolution and bar generation are those dedicated to the roles of macrovortices. Breakers of finite longshore width are known to introduce vertical vorticity  $\zeta$  that rolls up to form large-scale vortices with vertical axes, also called macrovortices (Peregrine, 1999). Important dynamics characterized by finite longshore breakers are those giving rise to rip currents (e.g. Kennedy et al., 2006) and crosssea induced breakers (e.g. Postacchini et al., 2014). The latter condition is seen to be a potentially important cause of seabed morphology alteration with the generation of complex 3D sandbars. The example of figure 12 has been obtained by computing, using the NSWE morphodynamic model of Postacchini et al. (2012), the morphological evolution of a planar beach under the combined action of waves and macrovortices generated by finite longshore breakers due to regular waves (Hi=1m, Ti=10s,  $\beta=1/30$ ) crossing at an angle of 15° to the coastline.

1650

1651

Figure 12. Seabed evolution forced by sea waves and macrovortices over a planar beach. Red thin lines give contours of the free surface elevation, while black and blue solid lines are contours of clockwise and anticlockwise vorticity, respectively. Yellow gives sand deposition and green seabed erosion.

1656 1657

Sandbars of 3D shape (yellow colours in figure 12) arise due to the interaction of cross-sea
 waves and the breaking-induced macrovortices.

1660

1661 <u>River mouths</u>

1662 The hydro-morphodynamics evolving at river mouths, significantly related with the evolution

of sandbars, is analyzed, as a second applicative topic, with the same approach used for

sandbars: consolidated observations and models first, followed by more recent findings.

1665 In view of the main aim of the paper, i.e. to highlight interactions amongst the subsystems 1666 of interest, fundamentals of river mouth dynamics are kept at the minimum, with a preference

1667 for those of wave-dominated rivers debouching into sandy coasts.

Analysis of the literature on river mouths dynamics is fairly complex because of the different perspectives taken by the various communities that study river mouths, i.e. engineers, geologists, ecologists, etc. Also, the vocabulary is influenced by such different views and much effort is being spent to provide a systematic description of river mouth dynamics.

The most important efforts have been made in the classification of river deltas (Galloway, 1673 1975), where delta is here generically used as "sedimentary deposit due to river-sea 1674 interaction and protruding into the sea". The triangular classification of deltaic depositional 1675 systems by Galloway (1975) illustrates how the relative importance of sediment input, wave 1676 energy flux and tidal energy determines both morphology and stratigraphy of the delta. 1677 Indices have also been introduced to classify deltas. Among them we find the discharge 1678 index  $I_q$  of very consolidated use (Wright et al., 1974) and the more recent fluvial dominance 1679 ratio R (Nienhuis et al., 2015): 1680

1681 
$$I_q = discharge \ index = \frac{river \ liquid \ discharge \ per \ unit \ width \ of \ mouth}{wave \ power \ per \ unit \ width \ of \ wave \ crest}$$
(5a)

1682  $R = fluvial \ dominance \ ratio = \frac{Q_r}{Q_{s,max}} = \frac{river \ sand \ flux}{max \ longshore \ sediment \ flux \ at \ river \ mouth}$ 

(5b)

1683

The former is a dimensional index (units of m<sup>3</sup>/Watts/s) and such to increase of orders of magnitude from wave-dominated ( $I_q \approx 10^{-5}$  m<sup>3</sup>/Watts/s) to river-dominated ( $I_q \approx 1$  m<sup>3</sup>/Watts/s) systems, while the latter is a dimensionless index, also increasing from *R*<1 for wavedominated to *R*>1 for river dominated systems (see also figure 13).

1688

1689

Figure 13. Classification of river delta morphologies by means of the fluvial dominance ratio.
 Adapted from Nienhuis (2016).

1693

Reference classifications of river deltas (Galloway, 1975) also clarify use of the word "estuary", this being attributed to tide-dominated deltas, though at times more broadly (improperly?) used for semi-enclosed regions where river fresh water meets the sea. A number of schemes to classify estuaries is available in the literature, a recent one being that of Valle-Levinson (2011), which provides different classifications based on geomorphology, salinity structure and hydrodynamics.

Because of the ambiguities in using "estuary" and of the present focus on river systems 1700 1701 weakly influenced by tides, "river mouth" is here used as a clear and unambiguous definition of the region where the river meets the sea. Analyses of wave-dominated river mouths are 1702 also available (e.g. Cooper, 2001), and the very comprehensive, recent, work by Anthony 1703 1704 (2015) provides a nice overview of the role of waves at river mouths: waves remove fine sediments at the river mouth and flatten the delta by moving the sediment alongshore. 1705 1706 Hence, deltas, placed along smooth coasts with shore-parallel ridges (see figure 14), are shaped from arcuate to cuspate. Waves that approach the coast obliquely generate: littoral 1707 (i.e. longshore) sediment transport, maximum for 45° wave attack; an important difference 1708 1709 between updrift/downdrift flank transport and sediments (updrift flank made by beach sediments, downdrift flank made by river sediments); an asymmetrical delta. A recent 1710 guantitative analysis on delta progradation/migration is that of Nienhuis et al. (2016), which 1711 can be summarized as follows. The delta undergoes: a symmetric progradation, for small 1712 river sand flux and all longshore transported sediment bypassing the mouth; a downdrift 1713 migration, for small river sand flux and vanishing sediment bypassing at mouth; an updrift 1714 migration, for large river sand flux, independently of sediment bypassing at mouth. 1715

1716 1717

Figure 14. River delta geomorphic units and schematic shoreline morphology (wavedominated morphology circled in red). Adapted from Anthony (2015).

1720 1721

Being the main aim of the present work that of clarifying the interactions - through nonlocal agents - between the sandbar, river mouth and swash zone subsystems, most of the attention is here given to local and nonlocal wave-forced dynamics.

Fundamental wave-forced, river mouth dynamics are the interaction of river current with the sea waves and the related sediment transport.

Seaward flowing currents interact with incoming waves (Chawla and Kirby, 2002) to: slow

them down and even block them if the depth-uniform current velocity is equal and opposite

to the wave group velocity, this is known as "wave blocking"; steepen and even lead to breaking; decrease the high-frequency content by wave breaking and transfer part of the current energy to lower wave frequencies, this leading to frequency downshift of the incoming waves;

The bedload accumulation related to the above dynamics typically leads to the formation 1733 of a mouth sandbar. The intensity of the wave field significantly influences the bar formation 1734 process. With no waves, a triangular mouth bar is generated just seaward of the mouth, at 1735 a distance of about twice the mouth width. The generation process is initiated by the river 1736 jet expansion in the sea, which, by continuity arguments, leads to a flow reduction and a 1737 1738 consequent sediment deposition (e.g. Fagherazzi et al., 2015). On the other hand, small waves promote mouth bar formation by a process similar to the above: they promote the 1739 river jet expansion and flow reduction, with a consequent more intense deposition close to 1740 the river mouth. Finally, large waves suppress mouth bar formation because of their intense 1741 seabed erosion and longshore transport (Fagherazzi et al., 2015). 1742

Analysis of the above-mentioned wave-current interactions reveals that a river mouth acts as a low-pass filter by removing (by breaking) sea and swell waves and letting long waves pass. Recent studies have been dedicated to the propagation and evolution of IG waves at inlets. Observations at the Ría de Santiuste (Spain, see Uncles et al., 2014) reveal how IG waves (with periods of 4-5minutes), believed to be the outcome of estuary-amplified edge waves, propagate upriver. This is well illustrated by the top panel of figure 15, which shows a positive correlation between the water level (*WL*) and the flow velocity (*U*' in the figure).

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1751

Figure 15. IG wave propagation at river mouths. Top: positive water level-flow velocity correlation at the Ría de Santiuste (Spain). Adapted from Uncles et al. (2014). Bottom: tidemodulated IG wave propagation in the Albufeira Inlet (Portugal). No IG waves propagate landward of the mouth at ebbs. Adapted from Bertin and Olabarrieta (2016).

The bottom panel of the same figure nicely illustrates the role of tide on the propagation 1758 of IG waves at inlets. Observations and wave-averaged modeling of the Albufeira Inlet 1759 (Portugal, see Bertin and Olabarrieta, 2016) reveal that upriver-propagation (from transect 1760 0 to transect 18 of images C-Run 3 and D-Run 4) of IG-waves only occurs during tidal flood. 1761 Inspection of the same images reveals that: no propagation is possible at ebb (white areas 1762 in corrrespondence of the ebbs). IG waves are equally generated by BLW (image C-Run 3) 1763 1764 and BP (image D-Run 4) mechanisms. Other recent field observation support the role of river mouths and the upriver propagation 1765

of IG waves. Among these, notable are the EsCoSed and MORSE project studies (Brocchini et al., 2017; Melito et al., 2018a, 2019) performed at the mouth of the Misa River (Italy, see figures 1 and 17), located at a microtidal site (*RTR*<3) with a dissipative-to-intermediate beach ( $5 < \Omega < 20$ ).

1770 1771

Figure 16. The Misa River estuary in Senigallia (Italy) depicting locations of measuring
stations within the final river reach (TGdown, QR1, QR2, QR3, TGup) and in the sea (QS1,
QS2, QS3). Adapted from Melito et al. (2018a).

1776

On the basis of water elevation measurements collected at various locations both in the sea (QS in figure 17) and in the river (TG and QR in figure 17), the energy flux density  $dE_f$ and the energy flux  $E_f$ :

1780

$$dE_f = S(f)C_g \qquad \qquad dE_f = \int S(f)C_g df \qquad (6)$$

have been computed - where S(f) is the power spectral density,  $C_g$  the wave group velocity and *f* the frequency. The fundamental result is that while in the sea (QS1, QS2) most of the energy content is due to swell and sea waves, the IG band becomes dominant within the river (e.g. QR2 of the left panel of figure 17) with a weak upriver decay (TGup of the right panel of figure 17).

1787 1788

- Figure 17. Energy density flux (left) and energy flux (right) at the Misa River during storm 1789 conditions. Adapted from Melito et al. (2018a). 1790 1791 1792 The EsCoSed field campaign has also provided useful information on the seabed 1793 morphology at the river mouth, highlighting the formation of large deposits/bars (blue in the 1794 right panel of figure 18) and scours holes (red in the right panel of figure 18). The sand 1795 deposits are found to be at a typical location, i.e. about twice the mouth width seaward of 1796 1797 the mouth itself (Fagherazzi et al., 2015), but characterized by a very complex planview pattern. 1798 1799 1800 1801 Figure 18. Seabed variation between May-September 2013 (left) and between September 2013-February 2014 (right) at the Misa River. Adapted from Brocchini et al. (2017). 1802 1803 1804 The above observations have motivated important efforts in the modeling of the dynamics 1805 evolving at a river mouth characterized by a complex morphology, with a specific focus on 1806 the role of waves. 1807
- 1808

1809

- Figure 19. Velocity maps for a strong river outflow opposed to three different wave regimes (from top to bottom:  $H_s = 0.0$ m, 0.5 m, 1.5 m). Left column: results from Olabarrieta et al. (2014). Right column: results from the wave-resolving solver of Brocchini et al. (2001), averaged over 10 wave periods. Adapted from Melito et al. (2018b).
- 1814 1815

Olabarrieta et al. (2014) used the wave-averaged 3D Regional Ocean Modeling System (ROMS) and an idealized river mouth shoal bathymetry to explore the wave-river current interactions. The increased, with respect to a planar beach, steepening and breaking of waves over the shoal leads to stronger seaward-flowing currents (undertow). Moreover,

- 1820 waves are seen to increase both the lateral spreading of the river current and the longshore
- 1821 transport.

In the attempt to better describe the dynamics forced by the sea waves, Melito et al. 1822 1823 (2018b) run the same cases studied by Olabarrieta et al. (2014) using the intra-wave NSWE model of Brocchini et al. (2001), this neglecting vertical flow gradients, but improving the 1824 time resolution of the wave forcing. The comparison between the two different numerical 1825 solutions, illustrated in figure 19 for the case of a strong river outflow (1.1 m/s river current), 1826 suggests that the main features of the wave-current interactions are captured, i.e. the river 1827 current intensity and instability (e.g. Canestrelli et al., 2014). However, some significant 1828 differences are also evident, among which: a weaker longshore spreading of the river jet, 1829 the appearence of a number of large-scale eddies. Both differences seem to be caused by 1830 1831 the stronger wave breaking predicted by the NSWE, which removes significant amounts of momentum and energy from the wave field, thus the reduced capacity of pushing shoreward 1832 the river jet, in favour of the generation by differential wave breaking of large-scale eddies 1833 or macrovortices (see previous subsection and Kennedy et al., 2006). 1834

Such macrovortices not only contribute to the near-mouth flow mixing (right panels of 1835 figure 19), but, as seen at the end of the previous subsection, also induce significant seabed 1836 morphological changes. Falcini et al. (2014) recently sought for a mechanistic relationship 1837 between potential vorticity  $\Pi$  ( $\Pi$ = $\zeta$ /d in a shallow-water framework) and sediment transport 1838 1839 at a river mouth. Lateral advection and diffusion of suspended sediment were found to be directly proportional to the river jet vorticity, so that leeves building is observed in 1840 correspondence of regions of large vorticity and mouth bar deposition in regions of low 1841 1842 vorticity.

In view of the large similarities between the analyses of vorticity-induced dynamics within the nearshore by Kennedy et al. (2006) and Brocchini (2013) and at river mouths by Falcini et al. (2014), an integration of the two analytical approaches seems useful to clarify the role of breaking-wave-induced vorticity on the river mouth morphodynamics.

In the meantime, some numerical experiments are being carried out, by means of the 1847 hydro-morphodynamic NSWE solver of Postacchini et al. (2012), to describe the main 1848 morphological features that evolve at a simplified (e.g. shoal of Olabarrieta et al., 2014) and 1849 natural (e.g. Misa River) river mouth bathymetry. Preliminary numerical results are illustrated 1850 in figure 20, describing both a significant sediment deposition at the seaward edge of the 1851 idealized shoal and a weaker deposition at the typical location of a mouth bar (left panel) 1852 and a complex erosion deposition pattern at the mouth of the Misa River (right panel). 1853 1854 1855 Figure 20. Estimated morphological evolution at the simplified river mouth bathymetry of 1856 Olabarieta et al. (2014), left panel and at the Misa River, right panel. 1857

1858 1859

1860 Comparison of the right panels of figures 18 and 20 reveals that these preliminary 1861 numerical solution can qualitatively capture the main characteristics of the complex 1862 erosion/deposition pattern that evolves just seaward of the river mouth.

1863

#### 1864 <u>Swash zone</u>

The swash zone is that region of the beach that is alternatively covered and uncovered by water because of the action of sea waves (Brocchini and Baldock, 2008). Because of such process, fundamental characteristics of the swash zone are: the flow intermittency; the infiltration/exfiltration of water at the seabed; the very large sediment transport within the swash, which can be quantified, for example, in terms of the cross-shore sediment mass per beach longshore length transported by each swash event (Blenkisopp et al., 2011)

1871 
$$-40 Kg/m < \hat{q}_x < 40 Kg/m, \quad \hat{q}_x \equiv \int_{T_{swash}}^{10} qdt$$
 (7)

1872 or in terms of the longshore mass flux (Ribeiro et al., 2012).

1873 
$$Q_y \sim (1-10) Kg/s$$
 (8)

1874 The swash zone is also a locus for the generation of IG waves by two main mechanisms.

The first is the seaward reflection of BLW released by breaking (e.g., Schäffer, 1993). This is well illustrated in the left panel of figure 21, where a group of sea waves releases through breaking its BLW that propagates to the shoreline and it is there reflected to propagate out to sea as a Free Long Wave (FLW). The process of faster sea waves climbing the crest of the BLW is evident, as also illustrated in figure 9. The second mechanism is the frequency downshifting of the sea waves that interact in

the swash. This interaction occurs because waves in shallow water propagate with a velocity

that is proportional to their height. Therefore, large waves travel faster than small waves and

catch them up near or inside the swash zone (Mase, 1995). The assimilation of small waves

1884 by large waves leads to a reduced number of waves emerging from the swash zone, i.e. to

a frequency downshifting, as illustrated in the right panel of figure 21.

1886

Figure 21. Left: example of BLW release on a beach because of sea wave breaking. The shoreward-propagating (from bottom to top) wave group releases its BLW that is reflected at the shoreline and propagated (from top to bottom) out to sea as a FLW (adapted from Watson, Barnes and Peregrine, 1995). Right: example of frequency downshifting within the swash zone. Bichromatic waves propagate from seaward to the shoreline (from top to bottom) over a steep beach and interact in the swash zone giving wave of doubled period (adapted from Mase, 1995).

1895 1896

Most of the modelling of swash zone hydrodynamics, essentially forced by sea waves, has been done by using the NSWE. For an horizontally-1D flow evolving in the crosshore

direction *x*, these read (e.g. Brocchini et al., 2001):

1900 
$$\begin{cases} d_{,t} + (ud)_{,x} = 0 \\ \vdots \vdots \vdots \\ u_{,t} + uu_{,x} + gd_{,x} = gh_{,x} + c_f \frac{u|u|}{d} \end{cases}$$
(9)

where commas gives partial differentiation, *d* and *h* are the total and still-water depths, respectively, *u* is the depth-averaged flow velocity, *g* is gravity acceleration and  $c_f$  a dimensionless coefficient for seabed friction. Solution of such equations not only provides a quantitative means for studying the nearshore hydrodynamics, but can also be of help to illustrate some of the phenomena above described, like, for example, the interaction of sea waves within the swash zone. An example is provided by figure 22 for the case of a group of 5 sea waves.

- 1908
- 1909

Figure 22. Waves interacting at a wall (left) and at a swash zone (right). Top: incoming and outgoing characteristic curves at the shoreline. Bottom: a group of 5 sea waves (thin line) incoming to shore and outgoing IG waves (S-, thick line) resulting from the interaction of sea waves (adapted from Brocchini, 2006).

1914 1915

On the basis of the NSWE a number of analytical solutions has been made available over 1916 the years for both non-breaking and breaking waves. The pioneering work of Carrier and 1917 1918 Greenspan (1958), who used the method of hodograph transformation, opened the way to some solutions that are currently used as reference for both analytical and numerical studies 1919 for the evolution of non-breaking sea waves on a beach. In particular, Brocchini and 1920 Peregrine (1996) extended the solution by Carrier and Greenspan (1958) to compute for the 1921 longshore flow due to waves propagating almost orthogonally to the beach (see figure 23). 1922 1923 This solution can be used to provide estimates of the longshore mass flux to be compared with field measurements, like that of equation (8). More recent studies, e.g. Antuono and 1924 Brocchini (2010), suggest that analytical solutions for both non-breaking and breaking waves 1925 can be achieved also in the physical space, i.e. avoiding use of the hodograph 1926 transformation. 1927

1928

1929

Figure 23. Left: maps, in the (*x*,*t*) plane of hodograph coordinates, free surface elevation  $\eta$ crosshore (*u*) and longshore (*v*) velocities for two sea waves interacting onto a beach. Right: mean longshore mass flux near and inside the swash zone for waves of different amplitude (adapted from Brocchini and Peregrine, 1996).

- 1934
- 1935

1936 Cornerstone of analytical solutions for breaking sea waves, i.e. bores, on a beach is that of 1937 Shen and Meyer (1963), in the following SM63. A bore of velocity  $u_0$  collapsing onto a beach 1938 of slope  $\beta$  forces a swash that is shoreward bounded by a parabolic shoreline  $x_s$ :

1939

$$x_s = u_0 t - \frac{1}{2}g \tan\beta t^2 \tag{10}$$

i.e. similar to the trajectory of a massive point under the action of gravity. This very neat
solution, which has been used for long times, has, however, the fundamental weakness of
predicting runup lenses much thinner than those observed on a natural beach.

Very recently Guard and Baldock (2007), in the following GB07, analyzed this issue and 1943 suggested that the problem is caused by the assumption of regarding the collapsing bore 1944 1945 as a dam-break flow, where part of the fluid accelerates seaward. This is illustrated in the sketch of the top panel of figure 24, whose left part illustrates the evolution of the SM63 1946 solution in similarity with a dam-break flow (dashed line) that leads to both shoreward- and 1947 1948 seaward-accelerating fluid. The right image of the same panel illustrates how the GB07 solution, achieved by means of a linearly-time-varying (rather than constant) flow forcing at 1949 collapse, predicts only shoreward-accelerating fluid after bore collapse. The bottom panel 1950 1951 of the same figure shows the fundamental differences in the water depths predicted by the two solutions over a swash period. The SM63 solutions (thick lines) predicts more 1952 asymmetric (downrush much longer than uprush) and much thinner (about a half) swash 1953 lenses than those predicted by the GB07 solution (thin lines) that well compares with the 1954 experimental data given by the symbols. 1955

Recent remote sensing data, collected at 6 different beaches in USA and Australia, have been used to assess the value and validity of the assumptions at the basis of the GB07 solutions (Power et al., 2011). Such observations confirm that: natural swash lenses are deeper and less asymmetric than those predicted by SM63; a linearly-time-varying forcing of the swash flow is better suited than a time-independent forcing to describe the evolution of natural swashes.
1970

1971

The fact that natural swash are fairly symmetric (still asymmetric in favour of the 1972 downrush) in time and characterized by fairly deep water lenses has important 1973 consequences on the understanding and modeling of the swash zone sediment transport 1974 and related morphodynamics. Thicker swash lenses lead to increased sediment pickup 1975 1976 areas, because the sediment transport rate is directly proportional to the flow depth for a uniform sediment concentration (Pritchard and Hogg, 2005), and increased seaward 1977 sediment transport, because of the still existing swash asymmetry in favour of the rundown 1978 phase. However, such seaward sediment transport is counter-balanced by two main 1979 mechanisms: the presuspension of sediments due to the bore collapse onto the beach 1980 (which allows for a significant transport from the surf zone into the swash zone) and the 1981 settling lag effects due to the inertia of suspended sediment particles advected into the 1982 swash zone (Pritchard and Hogg, 2005). The above competing mechanisms, i.e. reduced 1983 1984 swash asymmetry with increased thickness (promoting seaward transport) and sediment presuspension with settling lag effects (promoting shoreward transport) force a very complex 1985 swash zone morphology. This has been well described by Kelly and Dodd (2010), who 1986 implemented one of the most advanced and physically complete models for the swash zone 1987 hydro-moprhodynamics. Some of their results reveal the complexities in beach profile 1988 changes due to a swash event (see figure 25). 1989

Figure 24. Top: sketches of the flow by bore collapse. The left sketch shows the evolution of the SM63 solution in comparison to a dam-break flow (dashed lines), the right sketch suggests that only shoreward-accelerating fluid motion is predicted by the GB07 solution. Bottom: swash water thickness predicted by the SM63 solution (thick lines) and by the GB07 solution (thin lines) also compared with experimental data (symbols). Bottom panel adapted from GB07.

Figure 25. Left: space-time map of the changes in beach profile due a swash event. The thick dashed lines give the zero contour. Right: snapshots of the swash lens cross-shore sections (adapted from Kelly and Dodd, 2010).

While beach degradation (light tones of grey) occurs virtually over all of the seaward 1997 boundary of the swash zone, the most inshore portion of the swash zone undergoes 1998 significant, though non-uniform, aggradation (dark tones of grey). Bed discontinuities, typical 1999 2000 of the evolution of an erosive bore, are seen to characterize both the uprush and the downrush stages. 2001

The increasing awareness of the fundamental role of the swash zone for the entire 2002 nearshore hydro-morphodynamics stimulates always new research focused on links 2003

between the surf zone and swash zone dynamics. 2004

2005 From the hydrodynamic viewpoint, one of the most interesting recent studies is that by Moura and Baldock (2017), who focused on the relation between the shoreline  $(x_s)$  motion 2006 and the IG waves, both BP forced (horizontal BP excursion  $x_{br}$ ) and BLW (vertical BLW 2007

excursion  $\eta_{BLW}$ ), generated within the surf zone. 2008

2009 2010

Figure 26. Shoreline relation with BLW and BP forcing. (a) Wave group. The vertical blue 2011 line gives the BP between its outer (red),  $x_o$ , and inner (green),  $x_i$  location. Grey and red 2012 lines give the released BLW and incident BP-forced IG wave, respectively. (b) BP excursion 2013 (black) and shoreline response to BP-forced (red) and BLW (grey) IG waves. Dashed line 2014 gives path for a shallow water wave from the BP to the shoreline. Horizontal colored lines 2015 are BP positions as in Figure 2a. (c) Cross-correlation between BP and shoreline excursion, 2016 BLW released (grey) and BP-foced IG wave (red). Adapted from Moura and Baldock, (2017). 2017 2018 2019

2020 Such relation can be illustrated with reference to the schematic of figure 26. When a group arrives at breaking (panel a) the first, smaller waves break at  $x_i$  (green line) and the 2021 subsequent, larger waves, more and more seaward, moving the BP to  $x_0$  (red line), the 2022 2023 subsequent smaller waves force the BP to move back to  $x_i$ . Hence, the BP position  $x_{br}(t)$  is - large  $(x_i)$ , small $(x_0)$ , large  $(x_i)$  - i.e. in phase with the BLW vertical oscillation  $\eta_{BLW}(t)$  (which 2024 is large, small, large, i.e. concave upwards). The amplitude of the BP-forced IG wave  $\eta_{BP}(t)$ 2025 is proportional to the width of the breaking region. Hence, it increases during the passage 2026

from small to large waves ( $x_{br}(t)$  from zero to max) and decreases from large to small waves ( $x_{br}(t)$  from max to zero). Hence,  $\eta_{BP}(t)$  is concave downward or in phase opposition to  $x_{br}(t)$ and  $\eta_{BLW}(t)$ . The released BLW and the incident BP-forced wave propagate with the same speed ( $\sqrt{gh}$ ) and reach the shoreline at the same time (after traveling through the surf zone), but with opposite phase (panel b). This leads to a positive correlation between  $x_{s,BLW}$  and  $x_{br}$ and a negative correlation between  $x_{s,BP}$  and  $x_{br}$  (panel c).

2033 On the basis of the above and using data coming from pressure sensors (water depth, significant wave height and period, etc.) and video observations (shoreline and BP 2034 excursion) collected at 3 Australian beaches, correlations between IG waves and shoreline 2035 2036 excursion were made. The main findings suggest that: the shoreline excursion is a good 2037 proxy for infragravity waves in the inner surf and swash zone, IG wave generation by BLW release is stronger for conditions with relatively narrow surf zones and plunging waves while 2038 2039 BP forcing is dominant for wider surf zones and spilling breaker conditions. This seems in contradicition with the established understanding (Battjes et. al, 2004) that the BP forcing is 2040 most efficient on steep beaches and the BLW release is most efficient on mildly-sloping 2041 beaches, see also the discussion provided in "Template models" of above. Such apparent 2042 2043 contradiction can, perhaps, be solved by recalling that the surf zone width is not only function 2044 of the beach slope but also of the characteristics of the incoming wave. However, some further research seems needed here. 2045

From the morphological point of view, one fundamental link between the surf zone and swash zone dynamics is represented by the feeding of the most inshore sand bar (see also figures 4, 5, 11) by swash zone sediments. This has been recently investigated by Alsina et al. (2012), on the basis of dedicated laboratory experiments. Such experiments have been carried out by using flow conditions (surf zone characterized by plunging breakers,  $\varepsilon$ ~12-13) that, during an initial stage of run of about 3500 waves, led to the generation, from an almostplanar beach, of a nearshore bar that slowing migrated off to sea (see blue-solid to green-

- dashed lines, and vertical red lines in top panel of figure 27). The run was then stopped and
  the beach profile manually reshaped to a milder slope only inside the swash zone (pink-solid
  line). The same flow conditions were subsequently run, but bar migration stopped.
- 2056 2057

Figure 27. Cross-shore distribution of beach profile (top panel) and of cross-shore sediment transport rate (bottom panel) at various times before and after beah reshaping (adapted from Alsina et al., 2012).

2061 2062

This behavior was attributed to a reduced sediment transport from the swash to the surf zone, because of the beach slope reduction forced in the swash zone. Such a conjecture was also verified by analyzing the sediment transport rate illustrated in the bottom panel of figure 27. This shows that, while before beach reshaping it was  $Q_x < 0$ , i.e. sediment transport rate was seaward, in the surf zone and inner swash zone, the sediment transport rate became vanishing to positive after beach reshaping. This is a clear indication of a major reduction of bar sediment feeding from the swash zone.

The above observations can be interpreted on the basis of a simple mechanistic model: the reduction of the swash zone beach slope ( $\beta_{swash}$  decreasing) forces an increase in the natural swash period  $T_{swash}$  defined as (Baldock and Holmes, 1999):

2073 
$$T_{swash} = \frac{2C\sqrt{gH_b}}{g\sin\beta}, \qquad C = O(1)$$
(12)

and, as a consequence, an increase in the swash-swash interactions, this being measured by the  $T_{swash}/T_i$  ratio (Baldock and Holmes, 1999); such increased swash-swash interaction makes the size of intense backwash events to decrease and, therefore, also the SST to sea, i.e. to a reduced sediment input to the nearshore bar (Alsina et al., 2012).

This section is closed with some promising ongoing research aimed at including the swash zone dynamics into wave-averaged solvers for the nearshore circulation (see also "Generalities on Models" in the Introduction). By definition, wave-averaged models cannot describe the swash zone dynamics associated to the sea waves, this leading to problems in

correctly predicting IG waves radiating out to sea. This is well illustrated by figure 22, whose 2082 2083 left panels describe the interaction of groups of 5 sea waves at a rigid wall used in wave-2084 averaged solvers to represent the shoreline, while the right panels describe the same interaction at a swash zone reproduced by means of a wave-resolving model (in the specific 2085 that of Brocchini et al., 2001). Two main features are very clear: the interaction at a wall, 2086 being a mere reflection of the incoming wave groups, leads to outgoing groups with the 2087 2088 same structure of the incoming ones; the interaction at a swash zone allows for a significant swash-swash interaction, for example because of large waves catching up and engulfing 2089 small waves (see Mase, 1995 and figure 21), which contributes to increasing the intensity 2090 2091 (S<sup>-</sup>) of the IG waves radiated out to sea (in the specific 20% larger for the interaction within the swash zone). In view of the fundamental importance of wave-wave interaction within the 2092 2093 swash zone Brocchini and co-workers have designed some Shoreline Boundary Conditions 2094 (SBCs) for the motion of the mean shoreline  $x_i$  and of the flow at at such boundary ( $d(x_i)$ ,  $u(x_l)$  on the basis of an integral swash zone model (Brocchini and Peregrine, 1996; 2095 2096 Brocchini and Bellotti, 2002). Such SBCs have been successfully validated by means of large-scale laboratory experiments (Bellotti et al., 2003) and have recently been 2097 implemented in the ROMS wave-averaged solver (Haas and Warner, 2009). The main aim 2098 2099 of such preliminary implementation was to gauge the capabilities of reproducing swash zone dynamics at a reduced computational cost, the final goal being that of predicting such 2100 dynamics by simply running a wave-averaged solution. Figure 28 summarizes the main 2101 2102 findings of the mentioned implementation (Memmola, 2017; Memmola et al., 2019). The top six panels give the shoreline motion computed by running ROMS alone at the unreasonably-2103 2104 high resolution of 120 grid nodes ( $\Delta x$ ) per offshore wavelength  $L_0$  (black line), i.e. using it as a wave-resolving model (benchmark solution), and ROMS coupled with the proposed SBCs 2105 at lower and lower resolutions (red line), down to 2 grid nodes per wavelength. It is clear 2106 that coupling ROMS with the SBCs allows one to run very cheap calculations that predict 2107

reasonably well the benchmark solution by only using 3 grid nodes per wavelength (with  $\Delta x/L_0 \approx 2$  the solution is too deteriorated). The lower two panels of the same figure show that not only the shoreline motion, but the entire flow field is well predicted by using the SBCs. The left and right panels give, respectively, the swash zone free surface elevation and onshore velocity for the benchmark solution (top panels) and ROMS+SBCs solutions for two very coarse meshes (middle and lower panels).

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Figure 28. Top 6 panels: comparison of the ROMS ultra-highly resolved shoreline (black line) and ROMS+SBCs shorelines for lower and lower grid resolution. Bottom 2 panels: free surface elevation (left) and onshore velocity (right) for the benchmark ROMS solution (top panels) and ROMS+SBCs solutions for two very coarse meshes (middle and lower panels). Adapted from Memmola (2017).

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These preliminary results demonstrate that the SBCs of Brocchini and co-worker can, at least, allow for cheap solutions that also provide the entire structure of the swash zone flow. Work in currently underway to achieve the more ambitious goal of running the ROMS-SBCs system in a prediciting mode (two-way coupled system), i.e. so that the SBCs are actually used to time-step the swash solution to be returned to ROMS.

### 2129 Discussion

An important contribution of the present work is the identification of nonlocal forcing/dynamics, i.e. those that influence the entire nearshore as a whole. These are, in a cascading order of mutual control the: beach slope (obvious nonlocal forcing), IG waves and large-scale vortices with vertical axes (macrovortices).

The **beach slope** controls the role of IG waves, generation of macrovortices and the related sediment transport. In particular, BLW are regareded to be more efficient on mildlysloping beaches, while IG waves are more likely generated by the oscillation of the BP on steep beaches (Battjes et al., 2004); although some recent studies by Moura and Baldock

(2017) seem to provide different information. The generation of macrovortices is controlled 2138 2139 by the beach slope through the longshore differential breaking of sea waves that leads to generation and reorganization of potential vorticity at the lareral edges of breaking wave 2140 fronts (e.g. Kennedy et al. 2006). Although the role of SST at the lower boundary of the 2141 swash zone can be regarded as a local effect (see above), changes in the swash zone 2142 beach slope can significantly alter the swash-swash interactions and intense backwash 2143 2144 events, this either promoting or stopping the sediment transport needed for the formation and migration of nearshore sandbars (Alsina et al., 2012). 2145

IG waves provide a nonlocal forcing because the lengths involved in their generation and 2146 2147 evolution are comparable to the surf zone width. IG are thought to have a fundamental role in the generation of sandbars, though various different models have been proposed like the 2148 "standing IG wave mechanism" (e.g. Short, 1975) and the "sea-IG waves correlation 2149 2150 mechanism" of O'Hare and Huntley (1994) in which IG waves are responsible for the transport of sediments put into suspension by sea waves. Hence, the importance of the 2151 correlation between BLW and sea-wave envelope or the IG wave height to sea wave height 2152 ratio  $H_{IG}/H_{SW}$ , which is a good proxy for the sediment transport on beaches of all slopes (De 2153 Bakker et al., 2016). IG waves are also important for the riverine sediment transport because 2154 2155 they can propagate upriver (e.g. Uncles et al, 2014), while sea and swell waves are dissipated by breaking at river mouths, which act as "low-pass filters". IG waves are not only 2156 generated at the seaward boundary of the surf zone, but also in the very shallow waters of 2157 2158 the swash zone. This occurs by the wave-wave interaction due to large waves traveling faster than small waves and catching them up in the swash zone (Mase, 1995). 2159

Macrovortices are a nonlocal forcing of the nearshore dynamics because they can maintain their coherent over large distances after their generation. Macrovortices contribute to sediment transport both by mobilizing the nearbed sands and, like IG waves, by carrying the sediments put into suspension by sea waves. The differential breaking that generates 2164 macrovortices is particularly intense at river mouths characterized by seabed shoals. Hence, 2165 macrovortices, beyond the river jet expansion, provide a significant contribution to both flow 2166 mixing and sediment transport at river mouths (Melito et al., 2018b).

The analysis of the three subsystems of interest and of the related wave-induced dynamics suggests some lines for future research, proposed with reference to each of such subsystems.

2170 Inspection of the state-of-the-art sandbar modeling reveals that we are still in need of suitable stability models for the generation of 2D sandbars. As recalled in the analysis of 2171 sandbars, available numerical solutions for the generation of 2D sandbars are characterized 2172 2173 by longshore instabilities in the form of rip channels (Dronen and Deigaard, 2007) and no stable 2D sandbar systems can actually be reproduced for either normal or oblique wave 2174 incidence. It seems, therefore, essential to investigate the mechanisms for the emergence 2175 2176 of 3D instabilities, also inspecting the role of the longshore currents, which are believed to contribute to the maintenance of the stability of a 2D pattern (Shand et al., 1999). 2177

The role of longshore flows is becoming of growing interest, as believed to significantly control not only the generation, but also the migration of sandbars. In particular, longshore currents due to waves obliquely incindent to the shore may have the potential to increase the shear stress acting on the seabed and responsible for the sediment mobility (Parlagreco et al., 2019). In this perspective longshore currents are not directly responsible for the direction of cross-shore migration of sandbars (onshore vs offshore) but provide an indirect important control through increased sediment mobility.

Observed opposite migration behaviours on beaches of similar steepness and sediment size (Van Enckevort and Ruessink, 2003; Aagard et al., 2004) suggest that other important mechanisms that may characterize conditions for offshore (NOffM model) vs onshore (NOnM model) migration are the steepness and storm sequencing of the incident wave field. In brief, future studies on sandbar generation and evolution should pay great attention to

#### 2190 wave obliquity, steepness and storm sequencing.

A more detailed inspection of the steepness of the incident wave field and related 2191 modalities of breaking is also advisable to try to explain the apparent contradiction between 2192 the recent findings of Moura and Baldock, (2017), suggesting that IG wave generation by 2193 BLW release is stronger for narrow surf zones and plungers while BP forcing is dominant 2194 2195 for wider surf zones and spillers, and the established understanding (Battjes et. al, 2004) that the BP forcing is most efficient on steep beaches and the BLW release is most efficient 2196 on mildly-sloping beaches. This investigation should also try to encompass an analysis of 2197 2198 the different modes of transport of turbulence associated with the two mentioned breaking types (seaward under spilling breakers and landward under plunging breakers - Ting and 2199 Kirby, 1994). 2200

The implementation of *novel Shoreline Boundary Conditions* into wave averaging models for the nearshore circulation is certainly an important way forward for the inclusion of swash zone dynamics in long-term numerical simulations of the nearshore dynamics. The recent works of Memmola (2017) and Memmola et al. (2019) have shown the potentials of this approach, which will enable accurate and relatively cheap long-term numerical solutions. Work is currently underway for a predictive implementation of such approach.

In relation to the interactions occurring at a river mouth, studies are needed to clarify the roles of the different wave modes acting there. Recent field observations by Melito et al. (2019) confirm that *the tide has an important role of modulating the IG waves* that propagate upstream the river, result already obtained through numerical means by Olabarrieta (2016). This modulating effect, considerable even for microtidal environments (e.g. Misa River), should be better analyzed through field studies carried out in macrotidal environments.

The interactions of a river jet and the incoming sea waves should be better investigated 2214 2215 also in relation to the generation of macrovortices. Preliminary evidence shows that they can remove significant amounts of energy from the incident wave field, reducing the opposing 2216 action of waves to the river stream (Melito et al., 2018b), and also force 3D seabed 2217 morphological features, e.g. mouth bars. Hence, both theoretical studies aimed at 2218 integrating the analytical approach of Brocchini (2013) for vorticity and potential vorticity 2219 2220 generation with that of Falcini et al. (2014) as well as accurate morphological wave-resolving numerical simulations will likely clarify the role of *breaking-wave-induced vorticity on the* 2221 river mouth morphodynamics. 2222

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#### 2224 Conclusions

The role of waves on the nearshore hydro-morphodynamics has been analyzed with specific focus to three subsystems of the nearshore i.e. sandbar region, river mouths and swash zone.

Each of these is characterized by very specific local dynamics. For sandbar generation and evolution fundamental is the role the nearbed sediment transport, with the correlation between the orbital velocity and sediment concentration in the BBL being of paramount importance for suitably predicting onshore bar migration (e.g. Henderson et al., 2004). It has also been shown that energetics-type models can achieve similarly good results if they reproduce such correlation through an integral approach, i.e. by including the effects of the wave freestream acceleration skewness (e.g. Hoefel and Elgar, 2002).

Local dynamics specific to river mouths are: the wave-current interaction by which the river current steepens the incoming waves, if intense enough to the point of breaking and/or blocking them, and forces a frequency downshifting (Chawla and Kirby, 2002); the related formation of a mouth bar (Fagherazzi et al., 2015) or the formation of a shoal that largely influences the local dynamics by forcing stronger wave-breaking-induced return currents
(Olabarrieta et al., 2014) and wave breaking/sediment deposit farther from the mouth, i.e. at
the seaward edge of the shoal.

BBL dynamics largely influence the very shallow flows of the swash zone and, like for sandbars, a proper modeling of the swash zone sediment transport can only be achieved if correlation between the orbital velocity and sediment concentration in the BBL is well described. Fundamental is also the description of the SST transport at the lower boundary of the swash zone, which affects the sediment fluxes both from the surf zone, through sediment presuspension at bore collapse (Pritchard and Hogg, 2005), and to the surf zone, in association to intense backwash events.

A closure can be reached by recalling the main connections among the three subsystems 2249 of interest. IG waves provide a fundamental connection because generated both at the 2250 2251 seaward edge of the surf zone (by BLW release, BP oscillation and edge wave interaction) and at the swash zone (because of wave-wave interaction) can propagate almost unaltered 2252 upstream at river mouths. Another important connection is provided by the evolution of 2253 macrovortices, which generated by differential breaking move over long distances in the surf 2254 2255 zone and may reach the shoreline where they can rebound and alter the near-shoreline 2256 morphology. From the morphological viewpoint, obvious links are those between: the swash zone morphology and the along-river-flank sediment transport that can feed the swash zone 2257 and the swash zone and the nearshore sandbars, which can drive sediments for their 2258 2259 evolution from the swash zone.

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Figure 1. Wave-forced dynamics in the nearshore and estuaries: a puzzle to be solved.

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Figure 2. Forced/template mechanism: "synchronous standing edge waves" (left) and flow imprinted on sand giving beach cusps (right). Adapted from Coco and Murray, (2007).

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Figure 3. Stability/Self-organization mechanism: crescentic bars emerge from the feedback between flow and bathymetry (initial longshore-uniform bar bathymetry). Top: waves breaking on shoals force onshore flow, which returns to sea in gaps. Bottom: for suspended sediment decreasing from breaker line to shore, the onshore flow favors deposition (shoals grow) and the offshore flow favors erosion (scour holes grow). Hence, the development of crescentic bars. Adapted from Coco and Murray, (2007).



Figure 4. Left panel: planview image of two shore-parallel sand bars at Noordwijk beach (The Netherlands, 19th November 2000). Right panel: Planview image of surf zone complex topography at Duck (U.S.A. Atlantic coast, 10th January 1994). Adapted from Ribas Prats (2003).



Figure 5. Left panel: seabed cross-shore profiles at Noordwijk, The Netherlands, from 1979
to 1987, showing cyclic bar behavior with a recurrence interval of about 4-5 years.
Adapted from van Enckvort, (2001). Right panel: seabed cross-shore profiles at
Duck (U.S.A. Atlantic coast, September-October 1994). Thick curves with red
dates are the beginning of storms, resulting in offshore bar migration (red arrows).
Thin curves are the subsequent daily profiles. The sandbar migrated onshore (blue
arrows) during calm periods between the storms. Courtesy of Dr. S. Elgar.



Figure 6. Bar formation because of sediment convergence at: anti/nodes of standing IF waves (left panel), breakpoint (right panel).

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Figure 7. Left panel: non-linear stability solution for a double sand bar system giving asymmetric crescentic patterns on inner and outer bars (adapted from Klein and Schuttelaars, 2006). Right panel: Evolution of longshore uniform sand bar after 30 days of model run with normal wave incidence. Longshore instability in the form of rip channels (adapted from Dronen and Deigaard, 2007).



2537 Inguice of computed (top-night and bottom panels) for out of-phase coupled patterns with 2538 an outer-bar horn facing an inner-bar bay, similar to observations (top-left panel) 2539 on a meso-macro-tidal, high-energy, double-barred beach (adapted from Castelle 2540 et al., 2010).



Figure 9. Sketch of IG-sea wave interaction and cross-shore sediment transport in the nearshore. From left to right: shoaling zone, inner-surf zone of moderately-sloping beaches, inner-surf zone of mildly-sloping beaches.



Figure 10. Top: observed (lines) and predicted (symbols) seabed profiles at the beginning (dashed line) and end (solid line and symbols) of the Duck94 onshore bar migration event. Bottom, from left to right: vertical profile of mean cross-shore sediment transport due to waves ( $q_w$ ) and currents ( $q_c$ ), total cross-shore sediment transport as function of near-bed (middle) and freestream (right) velocity moments. Adapted from Henderson et al. (2004).

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Figure 11. Modeling (red line) of the morphological evolution of the Duck94 onshore migration event for (A) the SkV model, (B) the SkA model, and (C) the MiX model. The initial and final measured profiles are shown by dashed and solid lines, respectively. Adapted from Fernandez-Mora et al. (2015).



Figure 12. Seabed evolution forced by sea waves and macrovortices over a planar beach. Red thin lines give contours of the free surface elevation, while black and blue solid lines are contours of clockwise and anticlockwise vorticity, respectively. Yellow gives sand deposition and green seabed erosion.

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- Figure 13. Classification of river delta morphologies by means of the fluvial dominance ratio.
- Adapted from Nienhuis (2016).



- 2573 Figure 14. Left: river delta geomorphic units and schematic shoreline morphology (wave-
- dominated morphology circled in red). Adapted from Anthony (2015).



Figure 15. IG wave propagation at river mouths. Top: positive water level-flow velocity correlation at the Ría de Santiuste (Spain). Adapted from Uncles et al. (2014). Bottom: tide-modulated IG wave propagation in the Albufeira Inlet (Portugal). No IG waves propagate landward of the mouth at ebbs. Adapted from Bertin and Olabarrieta (2016).



Figure 16. The Misa River estuary in Senigallia (Italy) depicting locations of measuring stations within the final river reach (TGdown, QR1, QR2, QR3, TGup) and in the sea (QS1, QS2, QS3). Adapted from Melito et al. (2018a).

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Figure 17. Energy density flux (left) and energy flux (right) at the Misa River during storm conditions. Adapted from Melito et al. (2018a).

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Figure 18. Seabed variation between May-September 2013 (left) and between September

2013-February 2014 (right) at the Misa River. Adapted from Brocchini et al. (2017).

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Figure 19. Velocity maps for a strong river outflow opposed to three different wave regimes (from top to bottom:  $H_s = 0.0m$ , 0.5 m, 1.5 m). Left column: results from Olabarrieta et al. (2014). Right column: results from the wave-resolving solver of Brocchini et al. (2001), averaged over 10 wave periods. Adapted from Melito et al. (2018b).


Figure 20. Estimated morphological evolution at the simplified river mouth bathymetry of Olabarieta et al. (2014), left panel and at the Misa River, right panel.



Figure 21. Left: example of BLW release on a beach because of sea wave breaking. The shoreward-propagating (from bottom to top) wave group releases its BLW that is reflected at the shoreline and propagated (from top to bottom) out to sea as a FLW (adapted from Watson, Barnes & Peregrine 1995). Right: example of frequency downshifting within the swash zone. Bichromatic waves propagate from seaward to the shoreline (from top to bottom) over a steep beach and interact in the swash zone giving wave of doubled period (adapted from Mase, 1995).



Figure 22. Waves interacting at a wall (left) and at a swash zone (right). Top: incoming and outgoing characteristic curves at the shoreline. Bottom: a group of 5 sea waves (thin line) incoming to shore and outgoing IG waves (*S*<sup>-</sup>, thick line) resulting from the interaction of sea waves (adapted from Brocchini, 2006).



Figure 23. Left: maps, in the (*x*,*t*) plane of hodograph coordinates, free surface elevation  $\eta$ crosshore (*u*) and longshore (*v*) velocities for two sea waves interacting onto a beach. Right: mean longshore mass flux near and inside the swash zone for waves of different amplitude (adapted from Brocchini & Peregrine, 1996).



Figure 24. Top: sketches of the flow by bore collapse. The left sketch shows the evolution of the SM63 solution in comparison to a dam-break flow (dashed lines), the right sketch suggests that only shoreward-accelerating fluid motion is predicted by the GB07 solution. Bottom: swash water thickness predicted by the SM63 solution (thick lines) and by the GB07 solution (thin lines) also compared with experimental data (symbols). Bottom panel adapted from GB07.



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Figure 25. Left: space-time map of the changes in beach profile due a swash event. The thick dashed lines give the zero contour. Right: snapshots of the swash lens crossshore sections (adapted from Kelly & Dodd, 2010).



Figure 26. Shoreline relation with BLW and BP forcing. (a) Wave group. The vertical blue 2640 line gives the BP between its outer (red),  $x_o$ , and inner (green),  $x_i$  location. Grey 2641 and red lines give the released BLW and incident BP-forced IG wave, respectively. 2642 (b) BP excursion (black) and shoreline response to BP-forced (red) and BLW 2643 (grey) IG waves. Dashed line gives path for a shallow water wave from the BP to 2644 the shoreline. Horizontal colored lines are BP positions as in Figure 2a. (c) Cross-2645 correlation between BP and shoreline excursion, BLW released (grey) and BP-2646 foced IG wave (red). Adapted from Moura & Baldock, (2017). 2647





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Figure 28. Top 6 panels: comparison of the ROMS ultra-highly resolved shoreline (black line) and ROMS+SBCs shorelines for lower and lower grid resolution. Bottom 2 panels: free surface elevation (left) and onshore velocity (right) for the benchmark ROMS solution (top panels) and ROMS+SBCs solutions for two very coarse meshes (middle and lower panels). Adapted from Memmola (2017).