

Toward carbon neutral cities: A comparative analysis between Sentinel 2 and WorldView 3 satellite image processing for tree carbon stock mapping in Brussels

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ABSTRACT

Because of the high costs associated with data sources, urban policymakers struggle to employ cost-effective remote sensing methods for evaluating trees and their potential contributions to atmospheric Carbon Stock (CS). While free data sources like Copernicus Sentinel satellite data could be explored, there are a few studies illustrating its potential for mapping urban tree C. Here, the Sentinel 2 (S2)-derived Normalized Difference Vegetation Index (NDVI) was used to model CS for street trees in Brussels. In parallel, the WorldView 3 (WV3)-derived NDVI layer was also used for a similar study area to compare the CS mapping outcomes regarding dominant tree species. The accuracy level was around 90 % ($R^2=0.89$, $r=0.94$, and $RMSE=97$ kg) in the case of WV3 data, whereas it was about 60 % ($R^2=0.60$, $r=0.79$, and $RMSE=189.6$ kg), even with a coarse resolution regarding the S2 data. This study also shows the strength and scope of using S2 data over WV3 data, illustrating the convenience in terms of accuracy and cost-effectiveness compared to existing methods. The applied methodology could be utilized to monitor urban trees and predict the level of possible carbon sequestration, even considering a larger city like Brussels with a complex agglomeration. It could be a solid additional support for the authorities of European towns and developing countries, especially in terms of being cost-efficient and readily embraced by users.

1. Introduction

Urban green networks and trees have become well-recognized as a practical tool for mitigating the worsening impacts of ceaseless carbon emissions. Big cities, covering only 1 % of the planet, are responsible for exhaling about 80 % of the globally emitted CO₂ (Lechtenböhrer et al., 2009; OPUS 4). Trees could undoubtedly play a considerable role by trapping and stocking atmospheric carbon, in other ways known as carbon sequestration. For instance, it has been suggested that the total yearly reduction in carbon emission can be up to 18 kg/tree in urban areas (Kanniah et al., 2014; Rosenfeld et al., 1998). It is evident that urban trees can provide a solid way to reduce carbon emissions (Sahle

et al., 2018; Gülçin and Konijnendijk Van Den Bosch, 2021; Qin et al., 2022; Choudhury et al., 2021, 2020), which also recognizes trees and their contribution to a city's climate towards the goal of carbon neutrality. When quantifying the possible tree-stand Carbon Stock (CS), existing tree species information and imputations of the inventory-based analysis are essential to city planners. Tree-stand aerial CS is one of the critical features of the urban ecosystem, either for enriching air quality or concerning a carbon-neutral environment. It is essential to understand the applicability of the tree inventory-based CS imputation approaches, especially to decelerate the impacts of disappearing green spaces due to rapid urbanization. Over the last few decades, urban trees and their possible CS potentialities have been recognized and assessed in

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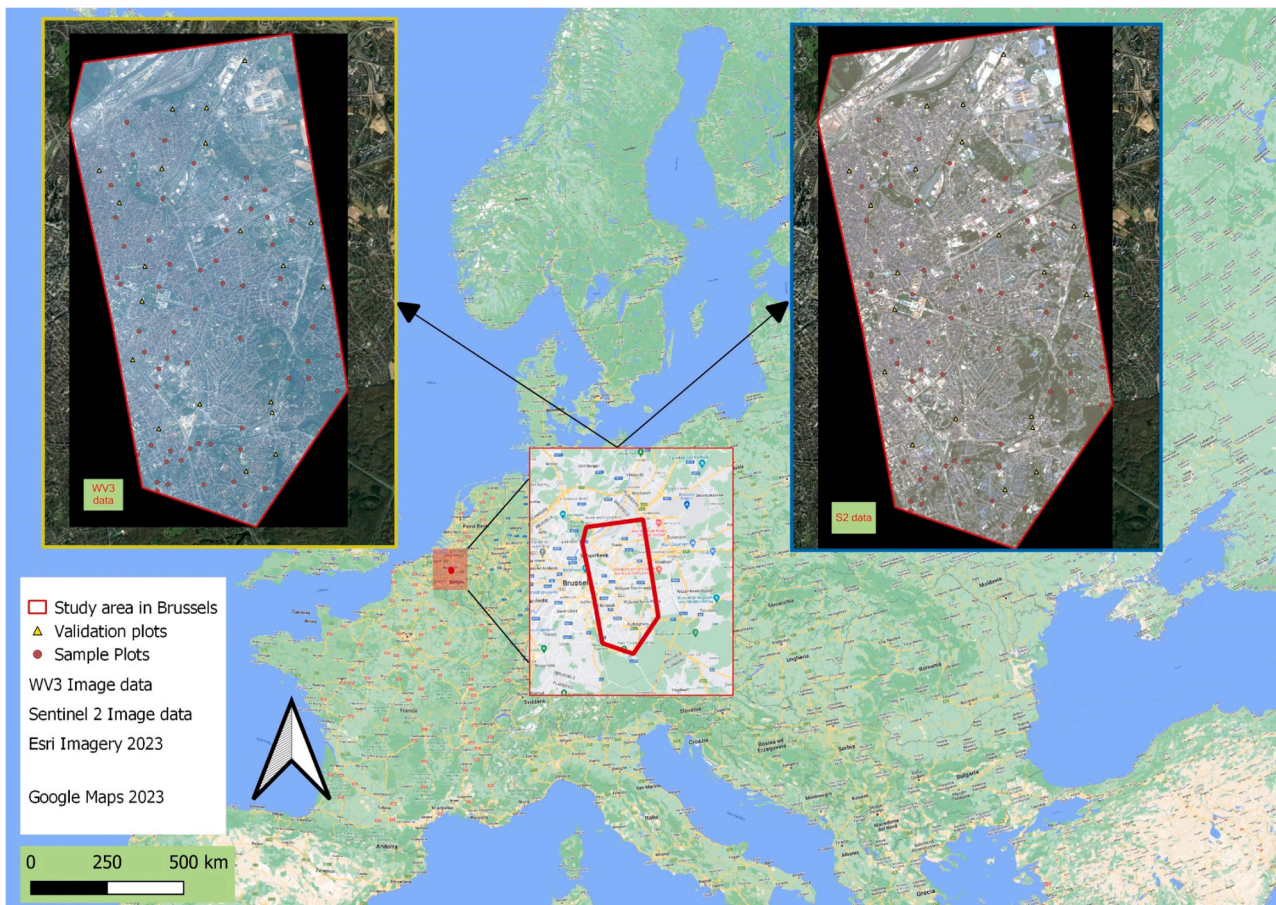


Fig. 1. The Sentinel-2 (S2) and WorldView-3 (WV3) data of the study area, including the sample and validation plots in Brussels.

many studies, from manual tree by tree to the most updated remote-sensing approaches (Li et al., 2019; Song et al., 2016; Myeong et al., 2006; Feng et al., 2016; Wei et al., 2022). However, most approaches are hardly accessible to the city authorities because of the overpaid data sources and their postprocessing complications. Several studies also used different approaches to quantify tree CS or dry biomass utilizing LiDAR data or other commercially available data integrated with LiDAR for better accuracy (Qin et al., 2022; Wilkes et al., 2018; Mariappan et al., 2012; Zhang and Shao, 2021). These studies have mostly been done for an urban park or a smaller study area, which does not recognize their applicability either regarding a more extensive study area or concerning cost-effective prospects.

To track and monitor tree-stand CS in city areas, updating accurate information on tree inventories is crucial to the city authorities. Traditionally, urban tree studies have commonly relied on methods based on random field sampling and interpreting aerial photographs, which tend to be costly, require a significant amount of labor, and are time-consuming interest (Li et al., 2019; Song et al., 2016; Myeong et al., 2006; Pu, 2011). However, research on urban tree characteristics, including their identification, categorization, and the mapping of CS, encounters difficulties due to the adoption of complicated and multifaceted techniques and a scarcity of pertinent data information (Li et al., 2019; Tigges and Lakes, 2017). Lately, remote-sensing approaches based on field surveys have been applied to calibrate the tree dendrometry, i. e., Diameter at Breast Height (DBH) and Height (H) in urban areas (Panagiotidis et al., 2016a; Yao et al., 2012; Panagiotidis et al., 2016b). However, species-specific Above Ground Biomass (AGB) estimation methods for urban trees remain limited due to the intricate nature of urban agglomerations, including variations in land cover, frequent shaded areas caused by buildings and trees, and the intricate

composition of urban forests in contrast to natural and planted forests. LiDAR data are being highly recognized, either terrestrial or airborne, to estimate biomass and carbon storage in urban vegetation (Mitchell et al., 2018; Shrestha and Wynne, 2012; Raciti et al., 2014; Alonzo et al., 2016), but it is still expensive and hardly cost-effective for the public authorities. Exploring potential multispectral data, i.e., Sentinel-2 (S2) and WorldView-3 (WV3) data, could alter the solution, especially in terms of convenience and applicability concerning a typical urban surface. Previous studies showed the diversified applications of commercially available data sources like WV3 (He et al., 2020; Fassnacht et al., 2016; Johnson and Jozdani, 2018; Degerickx et al., 2020; Puissant et al., 2014; Pu and Landry, 2020, 2012; Solano et al., 2019), freely accessible S2 data could be utilized more to understand its applicability than other data sources.

There is now a growing need to introduce a cost-effective and convenient approach, particularly for urban policymakers. Concerning the existing issues, the main goal of this study was to recommend a cost-effective species-based urban tree CS estimation and mapping approach comparing the commercial WV3 image data with the free S2 data. This approach has been applied considering three specific steps: (1) Estimation of the CS/plot utilizing tree allometry; (2) utilizing tree information shapefile for the species-based CS mapping; (3) comparing the WV3 data with the S2 data concerning the city-wide CS imputations. This study also illustrates the convenience of this mapping approach even for updating tree inventory-based information in regular intervals, which could be strongly applicable for urban planners towards a sustainable strategy focusing on the goal of carbon neutrality.

Table 1

The table shows the details of the remote sensing data utilized in this study.

| Data | Description |
|---|--|
| WorldView 3 (WV3) [8 multispectral bands+1 panchromatic band] | <ul style="list-style-type: none"> Acquisition date: April 2017 Resolution: 1.2 m Available on: http://worldview3.digitalglobe.com/ |
| Sentinel 2 [13 Spectral bands] | <ul style="list-style-type: none"> Acquisition date: January to August 2022 Resolution: 10–60 m Available on: https://dataspace.copernicus.eu/ |

2. Materials and methods

2.1. Dataset

The tree data were collected in Brussels, the European capital region situated precisely in the Northwestern part of the continent (50°50'N 4°00'E) (Fig. 1). Earlier in February 2022, the field plots were randomly selected, covering a study area of around 49 km², where a total number of 80 plots has been surveyed. Tree species information and the DBH, the H, and plot-level coordinates have been recorded for each plot. Each plot covered an area of (10 m×10 m) 100 m², where 20 out of 80 plots were used as the reference plots for validation. Three different types of species, i.e., *Acer spp.*, *Tilia spp.*, and *Aesculus hippocastanum*, have been identified, while the number of trees occupying each plot was precisely more than 2 (in an average of 2–4) of the same species. An allometric model (Tabacchi et al., 2011a) has been utilized to estimate AGB per plot, leading to the imputations of the species-based CS for the whole

study area.

S2 and the WV3 image data have been utilized to predict and map the species-based CS in Brussels [Fig. 1]. Starting from January to August 2022, 20 different S2 images were downloaded with a least possible cloud cover of around 15 % using the Semi-Automatic Classification Plugin (SCP) (Congedo Luca) in QGIS (Table 1). The S2 data comprises 13 spectral bands covering the visible and near- to short-wave infrared spectrum, with pixel sizes ranging from 10 to 60 m, freely available on the Copernicus hub, generated by the European Space Agency (ESA) since 2014. The WV3 is a high-resolution commercial Earth observation satellite operated by DigitalGlobe (Table 1), launched on August 13, 2014. The WV3 imagery is well known for its higher geolocation accuracy, with a panchromatic band of up to 0.3 m ground sample distance (GSD) and eight other multispectral bands with 1.2 m GSD. To compare the results with the S2 data, a WV3 image acquired in April 2017 was utilized from a previous study (Choudhury et al., 2021).

2.2. Methods

Concerning the species-based CS estimation, tree dendrometric information, i.e., DBH and H, were collected for all trees within each plot during the field survey. This study measured tree H using the Nikon Forestry 550, a laser rangefinder designed explicitly for forestry use, equipped with angle compensation technology (Nikon). A tap measure has taken the stem circumference at 1.3 m from the ground. Later, DBH was calibrated based on the circumference of each of the trees. An allometric model for the European species developed by Tabacchi et al. in 2011 (Tabacchi et al., 2011b) has been used to estimate the AGB for each tree species. This model utilizes H and DBH information to estimate the tree stand AGB (Tabacchi et al., 2011a). Here, the estimated H and

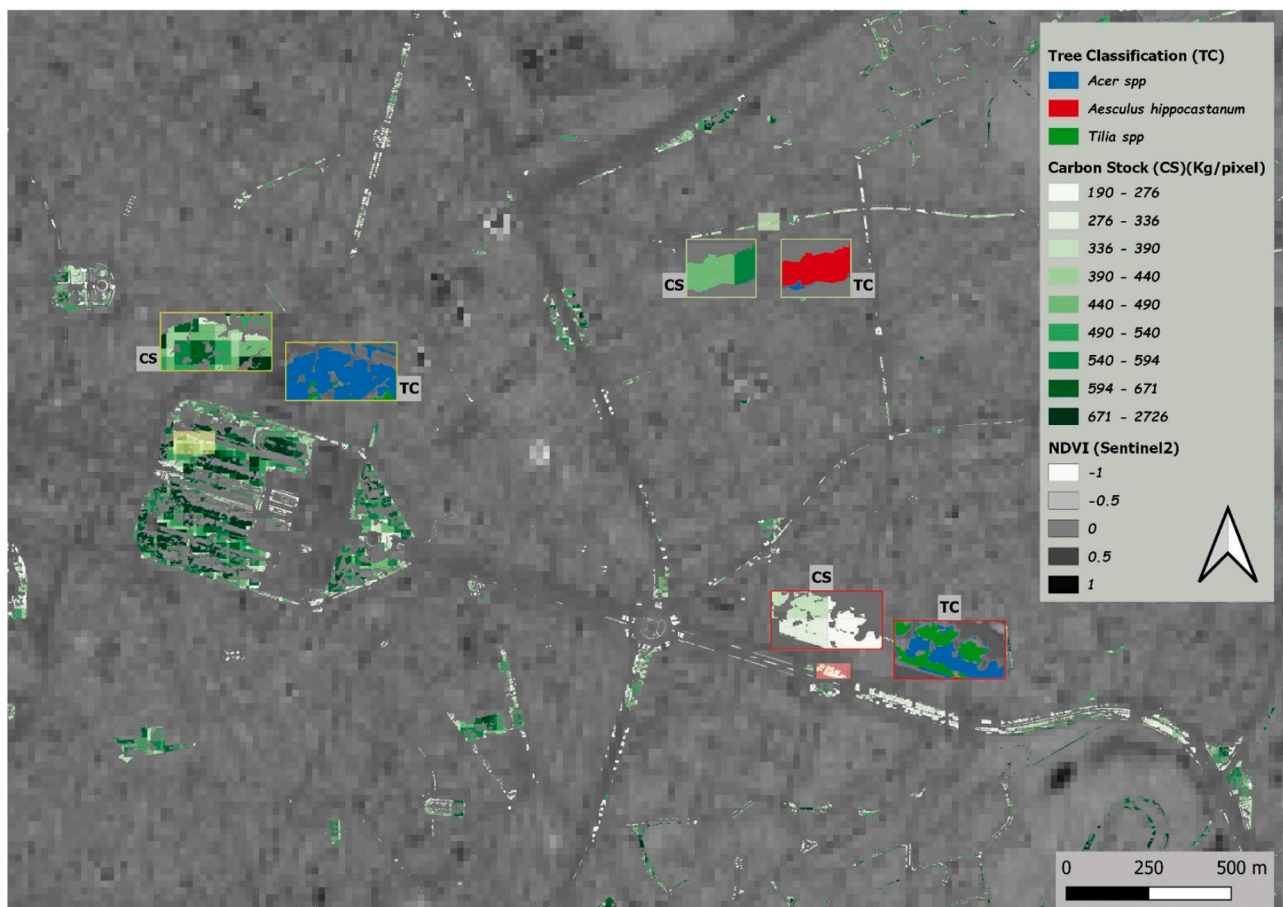


Fig. 2. The species-based Carbon Stock (CS) distribution on Sentinel 2 (S2) image data (NDVI) across the study area in Brussels.

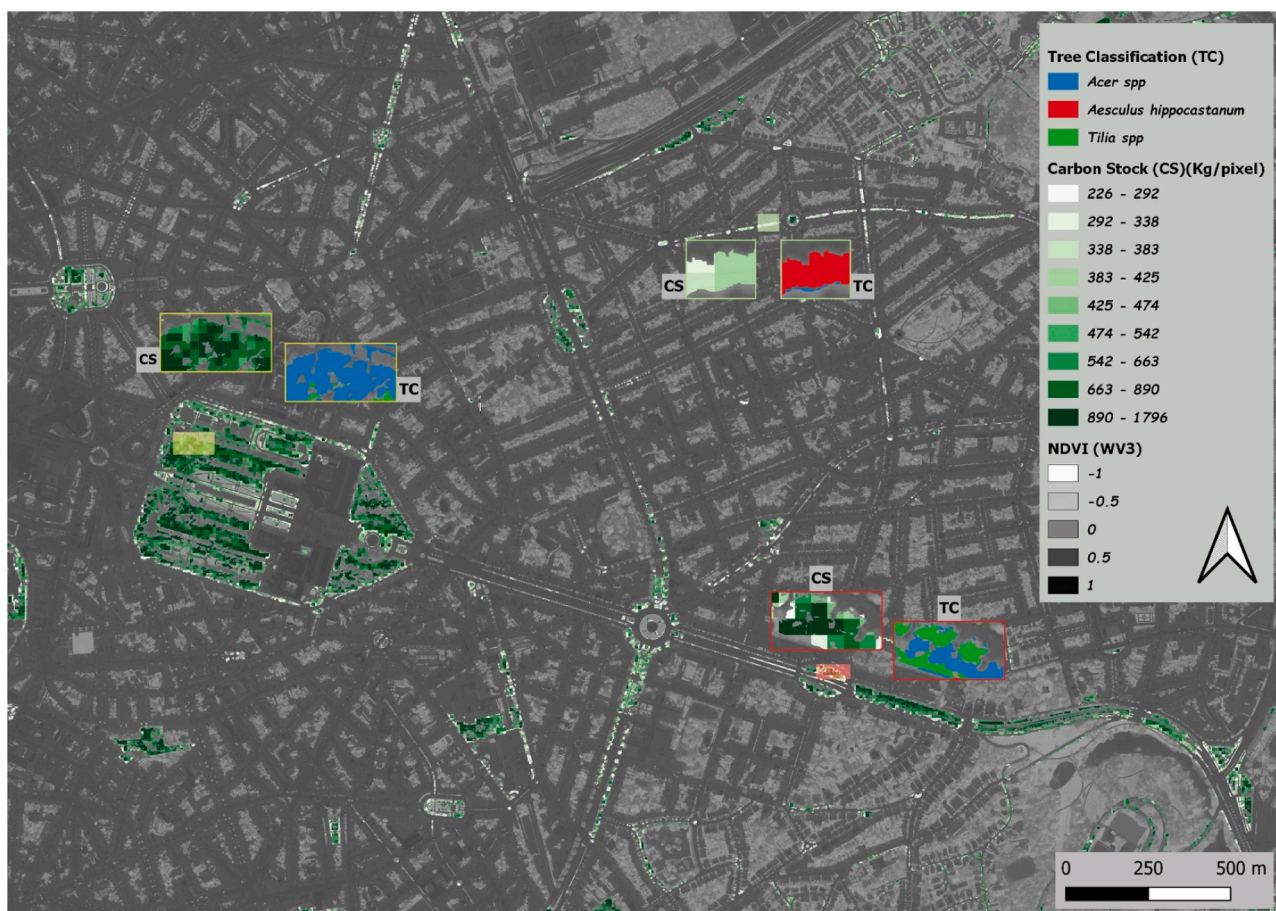


Fig. 3. The species-based Carbon Stock (CS) distribution on WV3 image data (NDVI) across the study area in Brussels.

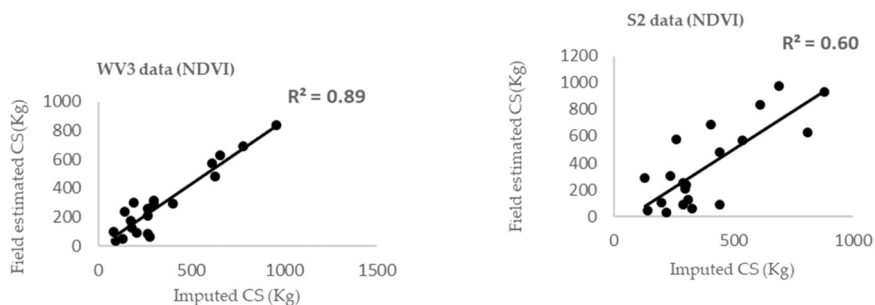


Fig. 4. Linear correlation of NDVI-based CS (kg per plot) versus estimated CS (kg per plot) on the validation plots.

DBH have been considered to calibrate the mean AGB per plot based on the allometric model (Tabacchi et al., 2011b). As the tree above ground CS has been recommended to be 50 % of the total AGB (Goslee et al., 2010; \$author1\$ et al., 1986; Losi et al., 2003; Vashum and Jayakumar, 2012; Whittaker and GE., 1973), the mean CS per plot was calibrated by multiplying the mean AGB per plot by 0.5 as a conversion factor (Statistics, 2010; Vicharnakorn et al., 2014a; Panel, 2007).

Later, the S2 images were preprocessed in the SCP plugin (Congedo), using the ‘band stacking’ module (Congedo, 2016, 2021) within the QGIS environment. The resultant image data, as shown in Fig. 1, were then saved as raster data to introduce the computation of the normalized vegetation difference index (NDVI) (Choudhury et al., 2021, 2020; Shafizadeh-Moghadam et al., 2022; Hird and McDermid, 2009; Baniya et al., 2018). Following this, the ‘Zonal statistics’ (Jung, 2013) plugin has been utilized to extract CS values for each pixel across the entire study area (Choudhury et al., 2021, 2020).

In the case of WV3 image data, the NDVI layer was computed and used in ‘Zonal statistics’ to extract pixel-based CS values for each identified species. In both cases of S2 and WV3 data, 60 out of the 80 sample plots were considered, excluding the validation plots.

A shapefile of the identified tree species was required to map the computed CS for the tree species in the study area (Choudhury et al., 2021, 2020). The WV3 image data has been utilized to run the tree classification following the recommended Object-Based Image Analysis (OBIA) procedure on the Trimble eCognition Developer® 10.2 platform (Trimble, Munich, Germany) (AG., 2005) (more details are reported in previous articles of the research group (Choudhury et al., 2021, 2020)). The classified tree shapefile of all three identified tree species, i.e., *Acer spp.*, *Tilia spp.*, and *Aesculus hippocastanum*, was then exported to QGIS for further illustrations. Based on the tree species classification shapefile, a fishnet with a 100 m² (10 m × 10 m) resolution was constructed to illustrate the CS zones with minimum to maximum values. This

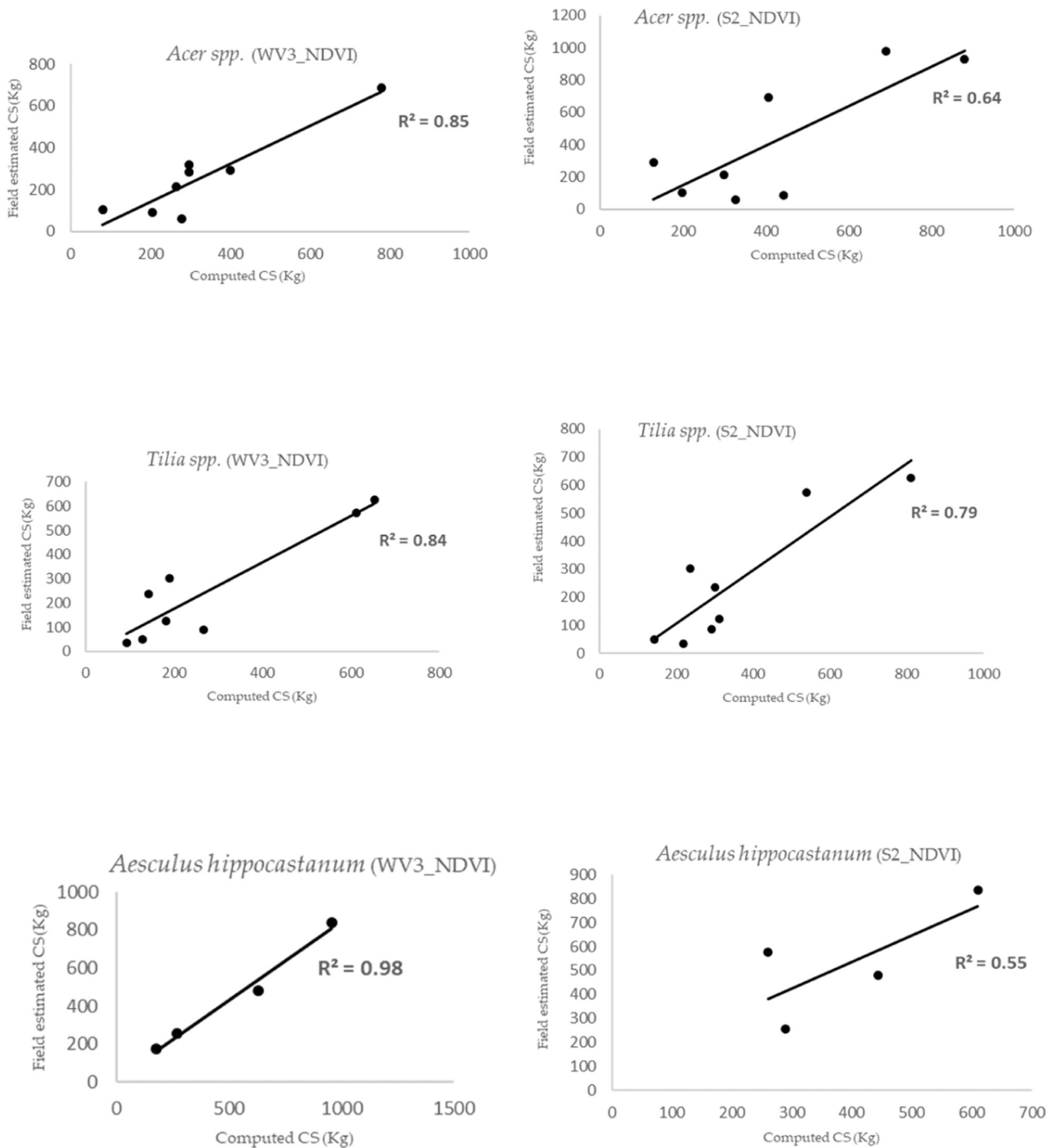


Fig. 5. Correlation among the identified species of the validation plots during the validation.

carbon-neutral city ambient to adapt to the unavoidable effects of climate change. From the late 90s to the present, many approaches have shown a heightened reliance on remote sensing (RS) technologies (Qin et al., 2022; Vicharnakorn et al., 2014a; Strohbach and Haase, 2012; Yao et al., 2015; Vicharnakorn et al., 2014b; Hutyra et al., 2011; Omasa et al., 2003; Bindu et al., 2020; Jahani and Saffariha, 2022). Yet a convenient method for the city authority, which is easily adaptable concerning the expenses or the availability of remote sensing data, processing skills, or tools, is hardly available. While high-priced data, i. e., LiDAR data, have been widely utilized to improve the accuracy (Calders et al., 2020; Mitchell et al., 2018; Shrestha and Wynne, 2012; Raciti et al., 2014; Alonzo et al., 2016), this study does show strong

agreement among the field estimated and imputed CS values (Figs. 4 and 5). The resulting species-based CS imputation has established a strong positive correlation (60 %) with the field estimated values (Fig. 4) even with the Sentinel2 data, while Pearson’s r was 0.79 (Table 1). Csillik et al., 2019 proposed a combined Random Forest (RF) machine learning approach (airborne LiDAR data and Planet Dove satellite images) illustrating the $R^2 = 0.70$ (Csillik et al., 2019), while Georgios et al., 2023 reported more than 90 % agreement between the observed and computed values utilizing the terrestrial laser scanning (TLS) (Arseniou et al., 2023). The RMSE for Csillik et al., 2019 was 25.38 Mg C ha⁻¹ while for Georgios et al., 2023, the RMSE was 199 kg calibrated across all over the urban trees (Csillik et al., 2019; Arseniou et al., 2023). This

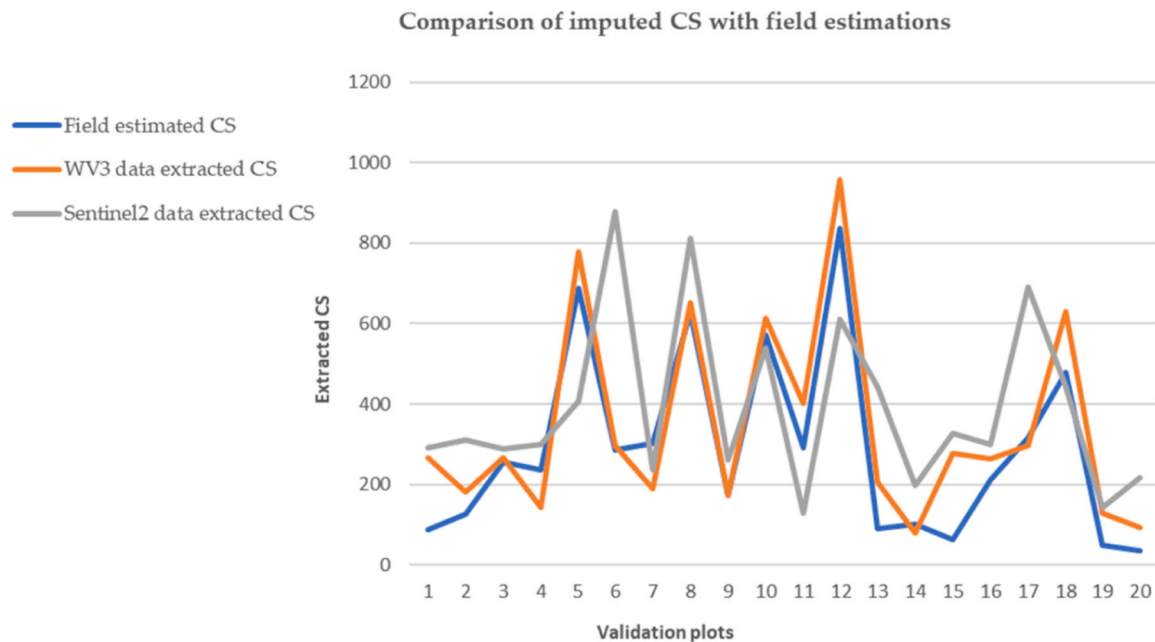


Fig. 6. A line diagram illustrating the correlation among the total CS computations with field estimations.

study shows the RMSE of 189.6 kg for all the validation plots during the CS mapping utilizing the Sentinel2 data, which could be compared with those LiDAR data-based studies. Even in the case of this study, commercial WV3 image data-derived computed CS values have shown a relatively stronger correlation (89 %) with Pearson's r of 0.94 compared with the values derived from the Sentinel2 data. Previous studies have shown lower RMSE, with a Pearson's r / R^2 of around 0.80 (Jucker et al., 2017; Mascaro et al., 2014; Liang et al., 2016a; Calders et al., 2015; Liang et al., 2016b; Kankare et al., 2013; Stovall et al., 2017).

In contrast, this study shows comparable CS imputation outcomes with Sentinel2 data, where those studies were based on LiDAR data or a combination of LiDAR with other data sources. Concerning the data quality and expenses, this study also illustrates better utilization of WV3 data, which is affordable either in the case of mapping or predicting possible CS for the urban trees (Choudhury et al., 2021, 2020). However, there are a few studies of total AGB/CS estimations where the computations have been done combining the available national tree data with satellite images (Ploton et al., 2020; Cuni-Sanchez et al., 2021; Mugabowindekwe et al., 2023), which could hardly be available with better accuracy, particularly for the complex urban ambient. A methodology could be considered convenient regarding the expenses, but feasibility is also crucial during its application, especially for the public authorities. This study is one of the few available ones where the tree stands CS has been computed and predicted, illustrating a species-specific map for the urban dominant tree species utilizing a free (S2) or comparatively cheaper data (WV3) and at the same time drawing a comparative analysis among the outcomes.

This NDVI-based CS imputation approach could also be easily applied to different smaller study areas (Choudhury et al., 2020). Concerning overall accuracy, especially for the S2 data-derived CS imputations, the higher RMSE (189.6 kg) with a lower agreement (60 %) could be because of the respective NDVI layer. The NDVI layer is well-known for vegetation analysis (Baniya et al., 2018; Atkinson et al., 2012; Pandapotan Situmorang and Sugianto, 2016; Goswami et al., 2015) study also computes CS-based NDVI-extracted metrics. However, NDVI calibration could be affected by cloud contamination, illumination intensity, and sun-target-sensor geometry, which might have unavoidable effects on the pixel-wise parameter outcomes (Hird and McDermid, 2009; Baniya et al., 2018; Van Leeuwen et al., 2013) (Figs. 2 and 3). For instance, for a few plots (7 and 17), S2 data extracted CS showed more

significant (Fig. 6) differences than those for the case of the WV3 data. This is clearly because of the top-of-atmosphere (TOA) NDVI reduction, which is highly responsible for misinterpretation of the vegetation information due to the clouds (Hird and McDermid, 2009; Chen et al., 2004; Yang et al., 2019). For the WV3 data, the effects were way less, resulting in the mapping outcomes way better than the Sentinel2 data. A precise and accurate urban tree classification map is essential for appropriate species-based mapping, which could be a challenge for city planners. The details about the tree species classification and identification approach have been discussed in previous studies (Choudhury et al., 2021, 2020), which could be easily adapted, especially for the complex urban landscape and green space classification, for a better management and monitoring system. Also, previously it has been shown that the LiDAR data could cost a lot (USD 62–240 per km^2) (Choudhury et al., 2021; Ørka and Hauglin, 2016; Wang et al., 2018), WV3 image data is comparatively cheaper (USD 19 per km^2) (Choudhury et al., 2021) concerning the tree species-based mapping and imputations. This study shows the efficiency and potential of the Sentinel2 data, which is freely available and accessible from anywhere all over the planet. Moreover, this Copernicus free data is being updated, acquiring images every five days (Shafizadeh-Moghadam et al., 2022; Cai et al., 2019; Castaldi et al., 2019; Zhou et al., 2020), which could be an additional benefit for ensuring a continuous urban monitoring system. Most European cities have the updated tree data (<https://karta.kartta.fi/>); this study could be a solid prospect to go through an efficient urban green monitoring and management system utilizing the S2 data.

A sustainable urban tree monitoring and management system corresponds to the goal of carbon offsetting through afforestation or reforestation, which hugely depends on the potential evaluation of the existing dominant species. This study could be an initiative to adopt an efficient monitoring system for the city authorities towards achieving the goal of net-zero carbon emission. While cities worldwide are increasingly adopting carbon-neutral plans insisting on ensuring the maximum utilization of green spaces (Solano et al., 2021), this study on Brussels could be an essential addition to the strategies for policymakers. Concerning convenience, this approach could be tested for developing countries where remote sensing data access and processing is hardly convenient.

5. Conclusions

The carbon capture and storage potentiality of trees and their beneficial impacts on an urban environment have been continuously explored for the last few decades. Regarding approaches concerning urban trees, it is always sprawled with several issues due to the complex city agglomeration. That is why different remote-sensing methods are utilized where high-priced data, skills, and expenses regarding their processing and applications hugely limit the severe adaptations for different situations. This study illustrates CS imputations by potentially mapping species-specific outcomes by comparing two other data sources. Certainly, coarse resolution, along with NDVI computations, will have unavoidable limitations, but it is essential to consider the prospects concerning the possibility of adaptations or availability for the public authorities. This approach does require going through a few more assessments, especially for developing countries, where carbon-neutral or climate-friendly environments towards carbon offsetting through urban trees should be introduced as an efficient solution to combat the extremity of the relentless effects of global warming. Nevertheless, this study also shows lower differences concerning the error (RMSE 97 kg and 189.6 kg) and a strong correlation with the imputed CS values (89 % and 60 %), which could be comparable to the findings based on the approaches with the high-priced data sources. It could be essential to recommend a possible way out concerning an adaptive urban tree planning and monitoring system toward a carbon-neutral city environment for the city authorities.

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CRedit authorship contribution statement

MD Abdul Mueed Choudhury: Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Ernesto Marcheggiani:** Writing – review & editing, Writing – original draft, Supervision, Methodology, Conceptualization. **Giuseppe Modica:** Writing – review & editing, Writing – original draft, Visualization, Supervision, Resources, Methodology, Conceptualization. **Salvatore Praticò:** Visualization, Resources, Data curation. **Ben Somers:** Writing – review & editing, Writing – original draft, Supervision, Resources, Conceptualization.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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