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(Article begins on next page)

# 1 COVID-19 IMPACT ON UNIVERSITY 2 BUILDINGS MANAGEMENT: A DATA-DRIVEN 3 APPROACH TO MAINTENANCE ISSUES

4

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6

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9

## 10 Abstract

11 Emergency conditions due to the COVID-19 pandemic altered the buildings use, thus affecting their  
12 planned management. Several public buildings were often left empty or occupied by a limited  
13 occupants' number, impacting maintenance needs and activities. This research adopts a data-driven  
14 approach to evaluate the COVID-19 pandemic impact on maintenance activities of a set of university  
15 buildings. Experimental data about occupants' presence and maintenance work orders (WOs) before  
16 and during the pandemic phases were collected. Results show how the reduction of occupants' number  
17 in the lock-down phase impacted the number, but not the typologies, of WOs. Then, WOs number grew  
18 back and reached pre-COVID-19 levels despite the limited occupants' number. The pandemic also  
19 seemed to alter the end-users' urgency perception of the necessity of maintenance activities, moving  
20 towards more negative sentiment scores. A model for occupants' density-WOs number correlation is  
21 also provided to support maintenance needs assessment by building decision-makers.

22

## 23 Keywords

24 COVID-19, Pandemic, Facility management, urgency perception, maintenance, data-driven approach

25

## 26 1. Introduction

27 When the World Health Organization (WHO) declared COVID-19 as a pandemic, citizens around the  
28 globe were asked to remain home to support social distancing measures, and then to reduce the fast  
29 spreading of the contagion [1,2]. During lock-down periods, several public spaces such as restaurants  
30 and places of worship were closed [3], while the regular access to public and private offices, industries  
31 and schools was not allowed or significantly limited [4], requiring a quick transition towards different  
32 organization models and consequently stress on private and public organizations [1].

33 The practice of smart working increased, extending, to a large amount of population, flexible and  
34 remote-access work models [5]. Schools and Universities also reduced (or even suspended) didactic

35 activities, implementing parallel in-situ and remote lessons to grant enough flexibility for the students  
36 in respect to the pandemic evolution and measures adopted in each country [6]. A tangible effect of  
37 these changes was the lowering and the shift of energy consumptions [7–9]. For instance, recent  
38 research shows that the mean energy demand decreased in a range of 14.3 to 18.7% in a Swedish district  
39 comprising residential buildings, offices, schools and retail shops [10]. On the contrary, COVID-19  
40 lock-down measures caused an increase in domestic energy consumption [11].  
41 Nevertheless, despite the very limited number of occupants, especially during strict lock-down phases,  
42 each public and private organization adopted specific countermeasures and safety protocols in their own  
43 buildings still open to the public to grant the effectiveness of measures suggested by WHO [1].  
44 Management strategies based on individual safety measures and working protocols (e.g. social  
45 distancing, wearing a facemask, team arrangement and crowd density control) [12] were combined to  
46 building operation solutions, such as those concerning thermal control and proper management of the  
47 building equipment and services such as Heating, Ventilation and Air Conditioning (HVAC) systems,  
48 and elevators.  
49 ASHRAE published specific Guidelines on March 2020, arguing the necessity to increase the amount  
50 of outdoor air in ventilation systems, disable demand-controlled ventilation (DCV), improve the level  
51 of the central air filter, keep the system running longer, and if possible, 24 hours a day, 7 days a week  
52 [13]. The consequence was the necessity to adopt variable operational plans for HVAC systems and  
53 services, depending on the allowed occupants' number during the different period of the pandemic, with  
54 a strong effect on facility management activities. Meanwhile, elevators were recognized to be  
55 significant closed environments for the contagion spreading because of their dimension and recurring  
56 use by occupants. The normal elevator operation was then altered, and the alternative use of stairs was  
57 promoted. Car capacity limitations were introduced in several countries [14].  
58 As a consequence, pandemic obliged buildings owners and managers to change operational and  
59 maintenance plans, mainly in view of the increase of HVAC requirements and the reduction of other  
60 types of services, with possible impact on building Operation and Maintenance (O&M) cost and  
61 maintenance strategies [13].  
62 It is important to consider that already today, O&M cost impacts about 75% of the overall buildings'  
63 costs during their life cycle [15–17] and that the pandemic could cause structural changes in future  
64 maintenance needs with a possible crisis of already adopted maintenance strategies [18–20]. Methods  
65 proposed along the time to increase the efficiency of the maintenance plans could then require  
66 improvements [21–23], bearing in mind the lesson learned with the pandemic event.  
67 Despite the drama caused by the pandemic, with over two million deaths over the world to date,  
68 COVID-19 then can become an important occasion to understand how a pandemic event can affect the  
69 use of buildings and the related maintenance plans. Data-driven approaches can be used to estimate the  
70 effects of such conditions on the building management and maintenance issues, and then to share  
71 analyzed data with facilities and building decision-makers and contractors, thus promoting them to

72 implement informed and optimized strategies [2,24,25]. Existing methods to collect information on  
73 maintenance, and to analyze and improve the effectiveness of the related strategies, could be used for  
74 this purpose [25–30]. These methods comprise automated inspection [31–36], intelligent control  
75 [17,24,37–39], natural language processing methods [40–48] and sentiment analysis methodologies  
76 [16,49,50]. However, to the authors’ knowledge, to date, no information is available in the literature on  
77 the effect of the COVID-19 pandemic on buildings’ O&M procedures.

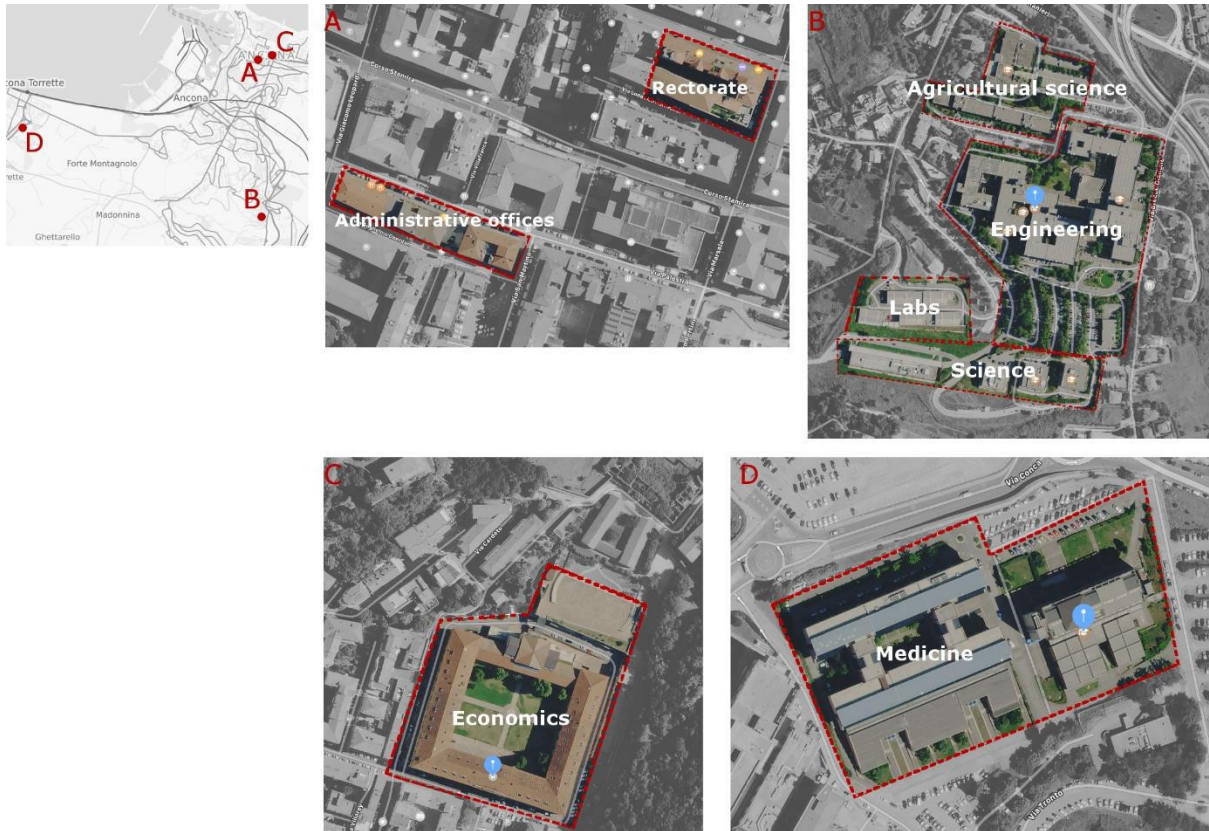
78 In order to provide one of the first contributions in this field, this research analyzes the impact of the  
79 COVID-19 pandemic on the maintenance activities of a set of university buildings, thanking to data  
80 analysis on a real case study. These buildings host both offices and educational spaces and represent a  
81 significant case-study in the public buildings’ context. Work Orders (WOs), that are the maintenance  
82 requests from end-users (i.e. occupants) solved by maintenance staffs, were analyzed comparing their  
83 trends before and during the COVID-19 pandemic, basing on text and data mining approaches [16].  
84 Experimental data on daily effective occupants’ presence and WO were collected for 34 months. Data  
85 about the perception of maintenance activity by occupants were also analysed [16,49,55]. Finally,  
86 according to the pursued data-driven approach, WO in the two different periods (before and during the  
87 pandemic) were compared to evaluate changes in the O&M actions and to define correlations between  
88 the WO and the occupants’ presence, thus providing the bases for automated tools for maintenance  
89 needs assessment and prediction.

## 90 **2. Materials and methods**

### 91 **2.1. Building classification and occupants’ presence**

92 The analyzed building stock comprises 23 buildings of the Polytechnic University of Marche, placed in  
93 Ancona (Italy), and was selected as a significant case study because it includes offices, educational  
94 spaces, and laboratories. The Gross Floors Area (GFA) of the overall building stock is about 152000  
95 m<sup>2</sup>. These buildings normally (i.e. in no-pandemic contexts) host a population of about 16.000 students  
96 and about 1600 workers (permanent and non-permanent staff: teaching staff, researchers including PhD  
97 and post-doc fellows, technicians, administrative workers).

98 Figure 1 shows the localization in the urban context and the aerial view of the main university campuses  
99 and faculties, while Table 1 summarizes the main characteristics of each related building (i.e. year of  
100 construction/rehabilitation, GFA [m<sup>2</sup>], number of floors, overall number of seats in classrooms for  
101 educational buildings), also classifying the buildings according to their main intended use.



102

103 *Figure 1 Building stock of the Politechnical University of Marche considered in this work: general map*  
 104 *of Ancona, Italy (left, background from Open street maps) with the position of each campus/faculty*  
 105 *shown in Table 1, and related aerial views (right, background from Google maps).*  
 106

107 Data on daily occupants' presence in each building of the university have been collected for 34 months,  
 108 from January 2018 to October 2020.

109 During the whole monitored period, the workers' access was allowed through a personal badge, thus  
 110 ensuring the direct collection of the workers' number for each building. Restrictions to the workers'  
 111 access have been provided during the COVID-19 period, but the use of the personal badge always  
 112 allowed to precisely monitor the number of workers inside the buildings.

113 Data on students' number have been collected differently before and during the pandemic. Before the  
 114 COVID-19 pandemic (from January 2018 to February 2020), educational buildings were attended by  
 115 students depending on the hosted didactic activities. During the lesson's periods (September-December  
 116 and March-June), the number of on-site students depended on the lessons timetable organization. For  
 117 each course, the effective number of enrolled students in each course, weighted by the mean percentage  
 118 of non-frequenting students, was calculated. During the exam periods (July-August and January-  
 119 February), data from the exam University database, which collects the daily presence of each enrolled  
 120 student at each course exam, were considered to calculate the number of on-site students.

121 During the first phase of COVID-19 pandemic (from the 3<sup>rd</sup> of March to the 31<sup>st</sup> of August 2020),  
 122 students did not have access to the university buildings, because of Italian national regulations for  
 123 contagion limitation supporting the full "lock-down" strategies. Full remote access to didactic activities

124 and exams were provided using digital platforms. Therefore, the number of on-site students was very  
 125 limited, considering that only few of them obtained specific authorizations to reach university, i.e. to  
 126 conclude thesis work. Thus, the on-site students' number was calculated basing on the authorization  
 127 process data.

128 During the second (second half of June to August 2020, as a "partial lock-down" phase) and third  
 129 (September and October 2020, as a "partial reopening" phase) COVID-19 phases, the university  
 130 buildings partially reopened to students attending exams and lessons. The student's presence was  
 131 monitored through a specific APP, named *UnivpmAgenda*, introduced to track the effective presence of  
 132 students as imposed by national regulations. Each student had to book in advance, generate a QR-code  
 133 and register the presence with this code on tablets at the entrance of the buildings. Thus, during the  
 134 COVID-19 pandemic, the students' number was calculated thanks to booking systems data.

135 Basing on the sum between the workers' and students' number, the daily occupants' number was  
 136 calculated for each building of the campus. Then the occupants' number was averaged on a monthly  
 137 basis and considering the reference periods ("mean daily occupant's number"): before COVID-19;  
 138 during COVID-19; and during each COVID-19 phase ("lock-down", "partial lock-down" and "partial  
 139 reopening"). Finally, the occupants' density (people/m<sup>2</sup>) was calculated as the ratio between the mean  
 140 daily occupants' number (excluding holidays) and the GFA.

141

Main building intended use	Campus/faculty	Building	Year Construction / Rehabilitation	GFA [m <sup>2</sup> ]	Number of floors	Classroom Seats
Administration	RECTORATE	RECT	1976	1560	6	-
	ADMINISTRATIVE OFFICES	ADM_O B12	1976	1200	4	-
		ADM_O B8	1976	1620	4	-
Educational Research &	SCIENCE FACULTY	S1	1997	2700	3	458
		S2	2004	2700	3	45
		S3	2008	2700	3	144
	MEDICINE FACULTY	EUS	1995	16400	7	1214
		MUR	2008	7400	6	1410
	ENGINEERING FACULTY AND LABS	AMA	1990	2750	1	670
		B1	1990	8505	6	610
		B1Bis	1990	2916	3	360
		B2	1990	10206	3	220
		B3A	1990	5103	3	1710
		B3B	1990	5670	4	868
		B4	1990	8748	3	331
		B5	1990	11664	3	410
		BAS	2005	5052	3	1508
		PMS	1990	2592	4	212
TOW	1990	3564	11	140		
ECONOMICS FACULTY	EC	1996	20400	4	2761	
	AB1	1982	3000	4	-	

	AGRICULTURAL SCIENCE FACULTY	AB2	1982	1470	2	1025
		AB3	2002	350	1	262

Table 1 Main characteristics of the analysed buildings.

142  
143

## 144 2.2. Maintenance work orders analysis

145 The maintenance Work Orders (WOs) produced for 34 months (from January 2018 to October 2020,  
146 hence before and during the COVID-19 pandemic) have been collected in collaboration with the facility  
147 management contractor (ANTAS). They were then analyzed, obtaining the temporal distribution of  
148 anomalies and faults in the buildings' components and systems and the related maintenance (including  
149 repairing and replacement) interventions.

150 WO's from the end-users are organized into 7 types of interventions depending on the  
151 equipment/system/component to be maintained:

- 152 1. "electrical", including lighting, power systems, local area networks and internet accesses;
- 153 2. "building components", referring to building construction components, such as walls, doors,  
154 windows;
- 155 3. "HVAC", referring to Heating, Ventilation, Air Conditioning and Cooling units;
- 156 4. "plumbing", including sanitary systems;
- 157 5. "fire", including all the fire safety equipment (fixed and moveable) and building components;
- 158 6. "dialer alarm", including all the alarm systems (e.g. security, fire, control of all the building  
159 systems);
- 160 7. "elevator", including all the related parts, such as cabins, motors and their equipment.

161 A total number of 10281 WO's was processed considering the whole 34 months-long period. As for  
162 occupants' presence, WO's data were divided into two main blocks to compare trends before (January  
163 2018 to February 2020) and during (march-October 2020) the COVID-19 pandemic. Furthermore, a  
164 random sample of each data-block (and of each sub-block referred to each COVID-19 phase) has been  
165 considered to obtain data frames characterized by the same length and the same proportion of end-users'  
166 requests by type.

167 WO's were essentially exchanged by e-mail from the end-users to the technicians involved in the  
168 maintenance activity. Since each WO process begins with the reporting of anomalies or faults by non-  
169 technical personnel, the information provided consists of unstructured textual data including the  
170 personal perceptions about the importance and urgency of the reported anomaly. Thus, text mining and  
171 sentiment analysis [55–57] on the WO's sentences have been performed through the "Orange" machine  
172 learning and data visualization python tool (version: 3.26; <https://orangedatamining.com/>). Sentences  
173 were translated into English language and preliminary treatment of the textual information has been  
174 performed [55,58–60]. For each sentence, VADER (Valence Aware Dictionary for  
175 sEntiment Reasoning) scores were calculated. VADER methodology comprises the calculation of four



176 “sentiment” scores (positive, negative, neutral, compound). The compound score is a synthetic score  
177 computed by summing the valence scores of each word in the lexicon, adjusted according to the rules,  
178 and then normalized to be between -1 (most extreme negative) and +1 (most extreme positive) [61,62].  
179 WOs were also analyzed in terms of three levels of severity (low, medium and high) [16], depending  
180 on the presence of a list of the most frequent related words in textual communication. High severity  
181 words are typically used when an immediate repair or action is required (e.g. urgent, safety, emergency,  
182 alarm, fire). On the contrary, the words related to low severity are the ones used when a repair or action  
183 can be postponed and planned (e.g. have a look, change, verify, clean, paint). Finally, requests not  
184 classified in any of the previous categories are defined as of medium severity [16].

185 Correlations between building characteristics, occupants’ density and number and type of WOs, were  
186 performed using both parametric and non-parametric tests through the statistics language “R” (version:  
187 4.0.3) [63] and the “stats” package (<https://cran.r-project.org/package=STAT>). Analyses were carried  
188 out on data relating to the whole analysis period, and to the pandemic phases. The Shapiro–Wilk  
189 normality test was first used to test the normality hypothesis of the related distributions [64]. The  
190 Pearson’s coefficient has been considered for normally-distributed samples to measure the presence of  
191 a linear correlation between the occupants’ density and the number of WOs per square meter. This  
192 correlation has been mainly considered to make these two data comparable in terms of the building  
193 dimension, expressed by the GFA. Furthermore, the Spearman’s rank has been adopted, to investigate  
194 the association between all the paired data, thus including also those that could be not considered as  
195 normally distributed.

196 Finally, a regression model has been developed between monthly WOs and occupants’ density through  
197 a Matlab routine (version: 2020a), to provide maintenance prediction rules depending on the occupants’  
198 presence.

199

### 200 **3. Results and discussion**

#### 201 **3.1. Occupants’ presence before and during COVID-19 pandemic**

202 According to the experimental data on the occupants’ presences,  
203 Figure 2 shows the occupants’ density in the whole buildings stock for the whole 34 months-long period.  
204 Until February 2020 (included), the occupants’ density is mainly influenced by the didactic activities.  
205 We can observe a sinusoidal trend depending on the alternate lessons and exams periods.  
206 As expected, from March to June 2020, the drastic density values reduction is due to the full lock-down  
207 period in Italy. After a localized lock-down in some areas of Lombardia and Veneto regions, a  
208 generalized lock-down approach was introduced on the 5<sup>th</sup> of March. All schools and universities were  
209 closed, and didactic activities were remotely performed using digital platforms. On the 22<sup>nd</sup> of March,  
210 industries were stopped, while public and private offices were closed. Only at the end of April, when



211 the Rt index fell below 0.5 some activities were reopened. The occupants' density in this period was  
 212 mainly due to the workers' presence, although most of them could have restricted access because of  
 213 national lock-down rules.  
 214

Year	Month	Occupation density (People/m <sup>2</sup> )	Period	Opening type
2018	1	0.042	pre-covid	FULL OPENING
	2	0.035		
	3	0.064		
	4	0.059		
	5	0.079		
	6	0.043		
	7	0.031		
	8	0.013		
	9	0.049		
	10	0.073		
	11	0.077		
	12	0.066		
2019	1	0.039	pre-covid	FULL OPENING
	2	0.044		
	3	0.076		
	4	0.067		
	5	0.072		
	6	0.036		
	7	0.033		
	8	0.012		
	9	0.057		
	10	0.092		
	11	0.090		
	12	0.086		
2020	1	0.043	covid	LOCK-DOWN
	2	0.043		
	3	0.004		PARTIAL LOCK-DOWN
	4	0.004		
	5	0.004		PARTIAL REOPENING
	6	0.002		
	7	0.006		
	8	0.001		
	9	0.016		
	10	0.020		

215  
 216 *Figure 2 Occupation density averaged for each month, during the 34 months-long considered period*  
 217 *(January 2018 to October 2020), by also distinguishing the opening phases with respect to COVID-19*  
 218 *pandemic.*  
 219

220 In June 2020, national regulations suspended the strict lock-down, but overcrowding limits were  
 221 maintained to grant WHO measures application, including remote-access strategies for didactic

222 activities. In July 2020, density values increased again due to the on-site exams' activities. The  
 223 occupants' density in August 2020 was quite low as in the previous years, due to summer holidays.  
 224 Finally, in September 2020, density values grow up in comparisons to the previous months, since  
 225 national regulations allowed educational organizations to start on-site activities limiting the number of  
 226 students for each classroom. Anyway, the occupants' number remained lower than in 2018 and 2019,  
 227 as students were able to choose whether to attend on-site classes or follow them by digital platforms.  
 228 The overall data trend relating to COVID-19 period reflects the timing of contagion spreading and  
 229 safety strategies implementation, such as that detected in the Asia region [65] or other areas [7,66–72].  
 230

Campus	Building	GFA [m <sup>2</sup> ]	Mean daily occupants' number [persons]		
			FULL OPENING (January 2018 – February 2020)	During COVID19 (March-October 2020)	Variation %
AGR	AB1	3000	375	44	-88%
	AB2	1470	807	124	-85%
	AB3	350	211	14	-93%
ECO	EC	20400	2071	297	-86%
ENG	AMA	2750	491	43	-91%
	B1	8505	428	54	-87%
	B1Bis	2916	245	34	-86%
	B2	10206	157	26	-83%
	B3A	5103	1,315	154	-88%
	B3B	5670	655	71	-89%
	B4	8748	238	31	-87%
	B5	11664	299	38	-87%
	BAS	5052	1,137	142	-88%
	PMS	2592	156	16	-89%
	TOW	3564	102	20	-81%
MED	EUS	16400	891	97	-89%
	MUR	7400	1,016	129	-87%
SCI	S1	2700	371	51	-86%
	S2	2700	157	21	-86%
	S3	2700	169	23	-86%

231 *Table 2 Mean daily occupants' number on the whole period before (FULL OPENING) and during the*  
 232 *COVID-19 pandemic for each building.*  
 233

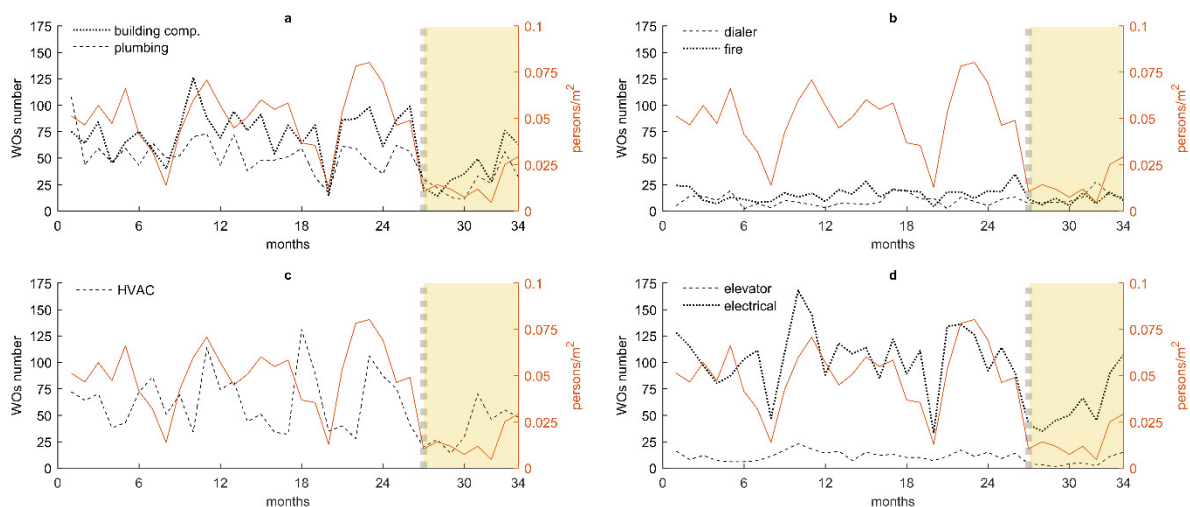
234 Table 2 shows the mean daily occupants' number of students and workers for each educational  
 235 and research building, before and during the pandemic (whole period from March to October 2020).  
 236 These buildings are shown because of occupants' presence differences are maximized with respect to  
 237 the administrative ones, due to the prevalence of educational spaces in the GFA and students' number  
 238 and use, as discussed above. Table 3 resumes the related values for each campus of the university, and  
 239 for the whole university, by distinguishing the values for the three COVID-19 emergency phases as in  
 240 Figure 2 and their variations in respect to full opening conditions. Table 2 and Table 3 Table 2 confirm  
 241 the abovementioned trends, by stressing how small differences in building use existed between strict  
 242 and partial lockdown (ranging from about -95 to -70% of occupants' number depending on the  
 243 COVID19 emergency phase and on the considered campus).  
 244

Campus	FULL OPENING	LOCK-DOWN	PARTIAL LOCK-DOWN	LOCK-DOWN	PARTIAL REOPENING
AGR	1393	72 (-95%)	125 (-91%)		432 (-69%)
ECO	2071	133 (-94%)	198 (-90%)		692 (-67%)
ENG	5552	274 (-95%)	465 (-92%)		1411 (-75%)
MED	1908	119 (-94%)	187 (-90%)		446 (-77%)
SCI	697	42 (-94%)	75 (-89%)		208 (-70%)
<b>TOTAL</b>	<b>11621</b>	<b>640 (-94%)</b>	<b>1050 (-91%)</b>		<b>3189 (-73%)</b>

245 Table 3 Mean daily occupants' number in pre- (FULL OPENING) and during-COVID-19 phases for  
 246 each campus and related percentage variations in respect to the full opening scenario.

### 247 3.2. Work orders before and during COVID-19 pandemic

248 Figure 3 shows the monthly number of WOs from the end-users and the contextual occupants' density  
 249 during the monitoring period of 34 months (from January 2018 to October 2020), for each type of WO  
 250 and considering the whole building stock.  
 251



252 Figure 3 Monthly WOs number (black line, left y-axis) in comparison with occupants' density (red  
 253 continuous lines, right y-axis) before and during the pandemic (month 1 is January 2018; COVID-19-  
 254 related period stressed by the yellow area), for the whole building stock, considering the following WO  
 255

256 typologies: a) building components and plumbing; b) dialer alarm and fire; c) HVAC; d) elevator and  
 257 electrical.  
 258

259 The comparison of the monthly WOs number before and during the pandemic reveals a general WOs  
 260 reduction due to the starting of strict lock-down measures on March 2020 (month 27). The maximum  
 261 reduction ranges from -5 to -70%, depending on the WOs type. This reduction is associated with the  
 262 occupants' density decrease of about -94% (see Table 3). The most important reduction characterizes  
 263 Elevator WOs (-70%), probably due to both a limited use as high-exposure closed environments [14]  
 264 and to the lowest occupants' density [54].

265 However, differences between the campus of the university exist, considering the percentage variations  
 266 in the mean monthly number of WOs before and during COVID-19, as shown in Table 4.

267 The analysis of these data underlines the different activities carried out in the university campus/faculty.  
 268 It is worth noticing that WOs concerning "Fire" and "Dialer alarm" in the Agriculture Science Faculty  
 269 grew because of interventions performed during the pandemic period. Such interventions concerned  
 270 fire equipment and related building components (e.g. restoring extinguishers and fire doors), and other  
 271 building systems (leading to requests due to their control alarms activation).  
 272

	<b>AGR</b>	<b>ECO</b>	<b>ENG</b>	<b>MED</b>	<b>SCI</b>
<b>Building components</b>	-35%	-63%	-66%	-61%	-40%
<b>Dialer alarm</b>	1580%	0%	-28%	-26%	0%
<b>Electrical</b>	-40%	-64%	-65%	-56%	-52%
<b>Elevator</b>	-100%	-87%	-70%	-53%	-38%
<b>Fire</b>	168%	-94%	-65%	-49%	13%
<b>HVAC</b>	-63%	-37%	-65%	-46%	-49%
<b>Plumbing</b>	100%	-60%	-66%	-70%	-44%

273 *Table 4. Percentage variation of the mean monthly number of WOs for each campus during COVID-19*  
 274 *with respect to pre-COVID-19 period.*  
 275

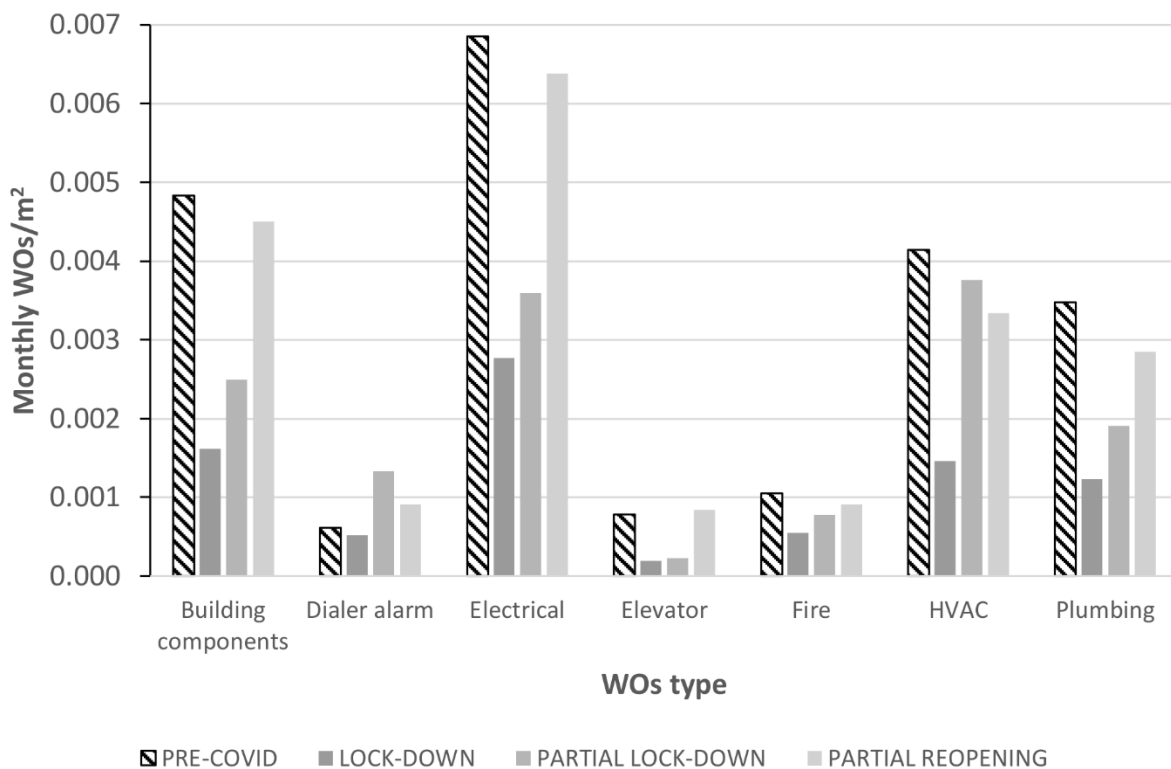
276 Table 5 shows the proportion of WOs before and during the pandemic, among the considered  
 277 typologies.

<b>Type</b>	<b>PRE-COVID-19</b>	<b>DURING-COVID-19</b>
<b>Building components</b>	22.27%	20.60%
<b>Dialer alarm</b>	3.07%	6.53%
<b>Electrical</b>	31.87%	30.80%
<b>Elevator</b>	3.00%	2.80%
<b>Fire</b>	4.80%	5.60%
<b>HVAC</b>	18.13%	19.33%
<b>Plumbing</b>	16.87%	14.33%
<b>Total</b>	100%	100%

278 *Table 5. Proportion of WOs in pre- and during-COVID-19.*

279  
 280  
 281  
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“Electrical” and “building components” typologies have the greater WOs number, both before and during the pandemic, mainly because of their largest and widespread number of installed appliances/elements in respect to the GFA. On the contrary, a reduced number of WOs is performed in the category “elevator”, because numerically less relevant. However, it is noteworthy that a minor WOs number does not imply minor importance of the maintenance operation: for instance, an elevator’s fault has a relevant impact on building operation, compared with a lamp’s fault, even if this could be more frequent. The proportion relating to “building components”, “electrical”, “plumbing” and “elevator” WOs decreased during the pandemic. Occupants directly interact with these building components and systems, thus increasing their maintenance needs. The reduction of occupants’ number could have decreased the related proportion of WOs. On the contrary, WOs on “HVAC” slightly grew, essentially because of the increased operational and maintenance requirements during the pandemic and of the increased risk perception of end-users relating to contagion spreading in case of limited indoor ventilation (Guo et al., 2021; Shin & Kang, 2020). Data on “Fire” and “Dialer alarm” WOs were mainly affected by the aforementioned issues in the Agricultural Science Faculty buildings interventions. Finally, important differences in WOs from end-users exist considering the different COVID-19 phases previously defined in Figure 2. Figure 4 shows the monthly mean of WOs number in respect to the global GFA before COVID-19 and during the three pandemic phases. This visualization aims to show the aforementioned impact of the WOs types in respect to the dimension of the buildings.



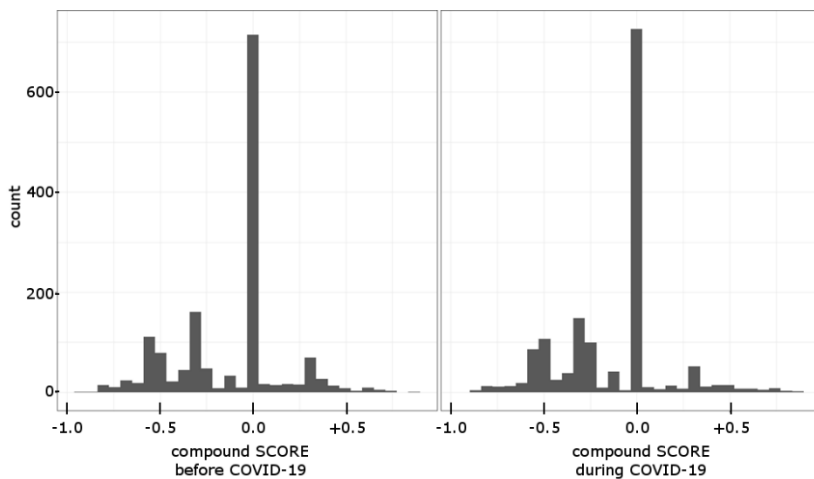
299

300 *Figure 4 Monthly mean of WO number per GFA (m<sup>2</sup>) during each analyzed period and considering the*  
 301 *whole building stock.*  
 302

303 During the first phase (strict lock-down), the number of WOs similarly falls by -62% for each WOs  
 304 type (mean value for all the types). During the second phase (partial lock-down), we observe an upswing  
 305 in the WOs number, according to the less strict limitations in the buildings access, as discussed above.  
 306 The mean reduction is about -35% compared to the pre-COVID-19 period. Finally, during the third  
 307 period, the number of WOs increases, thanking to the partial reopening of university buildings for exams  
 308 and lessons, thus reaching a mean reduction of only -9% in comparison with the pre-COVID-19  
 309 situation.  
 310

311 **3.3. Work orders severity level**

312 Sentiment analysis has been performed to understand how the end-users’ perception of the maintenance  
 313 activities represented by WOs changed during the COVID-19 pandemic, through VADER sentiment  
 314 polarity scores (compound) as shown in Figure 5 and Table 6.  
 315



316  
 317 *Figure 5 Sentiment polarity scores provided by VADER: before (left) and during (right) COVID*  
 318

	<b>Min</b>	<b>25<sup>th</sup> perc.</b>	<b>Mean</b>	<b>50<sup>th</sup> perc.</b>	<b>75<sup>th</sup> perc.</b>	<b>Max</b>
<b>PRE-COVID19</b>	-0.880	-0.318	-0.125	0.000	0.000	0.896
<b>DURING-COVID19</b>	-0.900	-0.340	-0.122	0.000	0.000	0.855

319 *Table 6. Statistical description of compound score in VADER before and during COVID-19.*  
 320

321 A slight shift of the “compound” score towards negative values, which is mainly shown by minimum,  
 322 25<sup>th</sup> percentile-related and maximum values in Table 6

323 Differences in perceived severity exist considering the three COVID-19 phases. Before the pandemic,  
 324 the percentage of “high severity problems” is 27.6% of the total, regardless of the WOs type. During

325 the first strict lock-down phase, this percentage slightly increases up to 29.1%, probably due to the  
 326 combination of two opposite factors. The lock-down phase caused a limited use of buildings and  
 327 equipment, and therefore a reduction in the level of severity of WOs would have been expected. At the  
 328 same time, however, the particular stressful situation may have created a more general increase in risk  
 329 perception among occupants in building use.

330 This percentage additionally grows up to 34.4% in the second and third phases of the COVID-19  
 331 pandemic, characterized by partial lock-down and partial reopening, as in Figure 2. This reduction of  
 332 restriction could have boosted a full awareness of the urgency of the problems to be solved thus  
 333 justifying the sharp increase of WOs classified as “high severity” WOs. In general terms, this trend  
 334 could be supported by the increased adoption of protective behaviours during the COVID-19 phases,  
 335 which was associated with higher values of perceived severity and negative emotions [73].

336 However, the perceived severity varies with the WOs type. In general terms, similar trends are noticed  
 337 before and during the pandemic in terms of “high severity” WOs types, as shown by Table 7. The  
 338 increase of “*dialer alarm*” and “*fire*” values are mainly due to the impact of works in the Agricultural  
 339 Science Faculty, as discussed above (see Table 5). As remarked above, “*HVAC*” WOs show a slight  
 340 increase of “high severity” WOs during the pandemic, thus suggesting how comfort issues in building  
 341 use, generally associated to HVAC functioning, were summed to the the known correlation between  
 342 these systems and the risk of contagion from the end-users’ standpoint [13,74].

343

Type	BEFORE	DURING
Building components	22.90%	18.46%
Dialer alarm	9.88%	20.08%
Electrical	26.72%	20.08%
Elevator	1.29%	1.01%
Fire	12.16%	16.23%
HVAC	16.02%	16.23%
Plumbing	11.04%	7.91%
<b>Total</b>	<b>100.00%</b>	<b>100.00%</b>

344 *Table 7. Proportion of “high severity” WOs by type, before and during COVID-19 pandemic.*  
 345 Table 7.

### 346 3.4. Correlation between WOs and COVID phase

347 A preliminary Shapiro-Wilk test revealed that all the WOs typology data are normally distributed (p-  
 348 value > 0.05) apart Dialer Alarm type, as shown by Table 8. To understand how occupants’ density  
 349 variation affected the quantity of WOs, a Pearson correlation test between monthly WOs and occupants’  
 350 density was performed for each WOs type, and considering the whole 34-month long period. The dialer  
 351 Alarm category was excluded due to the rejection of the null hypothesis of Shapiro-Wilk test.



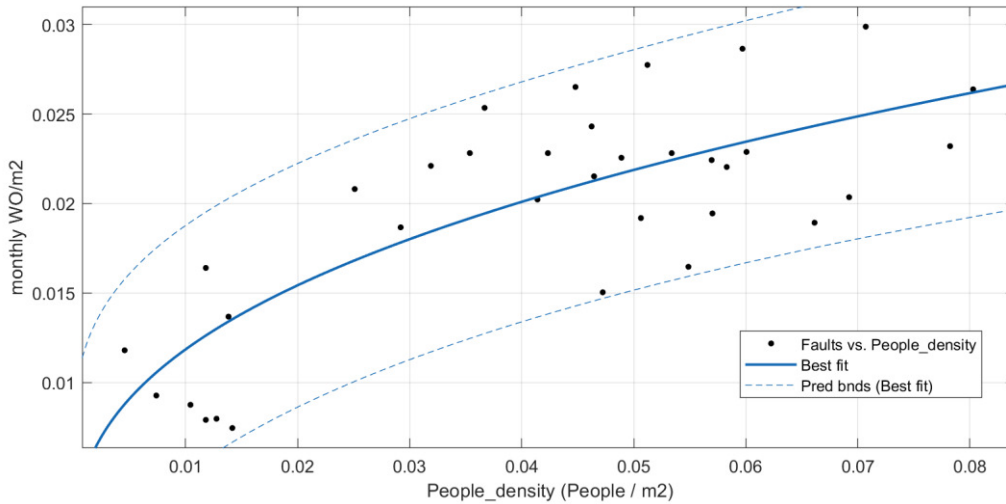
	<b>Building components</b>	<b>Dialer alarm</b>	<b>Electrical</b>	<b>Elevator</b>	<b>Fire</b>	<b>HVAC</b>	<b>Plumbing</b>	<b>Occupants' density</b>
<b>W</b>	0.96	0.93	0.95	0.98	0.95	0.95	0.96	0.95
<b>p-value</b>	0.31	0.03*	0.17	0.79	0.12	0.13	0.26	0.11
<b>r</b>	0.75	n.a.*	0.78	0.66	0.43	0.32	0.57	-

353 *Table 8. Shapiro-Wilk test results and Pearson's correlation tests (r value as order coefficients)*  
354 *performed in respect of occupants' density. Values lower than the threshold p-value (0.05) and thus not*  
355 *assessed (n.a.) by the Pearson's correlation test are marked by \*.*  
356

357 Table 8 also shows the results of the Pearson correlation tests by means of the related r values,  
358 considering the mean monthly number of WOs per m<sup>2</sup>, for each WOs type, and the related occupants'  
359 density (people/m<sup>2</sup>).

360 The highest r values characterize the correlation between occupants' density and the following types:  
361 "Building components", "Electrical", "Elevator", "Plumbing". In this sense, the occupants mainly and  
362 directly interact with these building components and systems. On the contrary, a weak correlation  
363 appears for the "Fire" and "HVAC" WOs. In particular, for the "HVAC" WO, it should be noted that,  
364 during the lock-down phases, it was necessary to change the functioning of the ventilation systems,  
365 removing internal air recirculation and increasing airflow rates, as a precautionary measure in the WHO  
366 strategies context.

367 The Shapiro-Wilk test was repeated on the subset of data comprising only the pandemic period, thus  
368 demonstrating that data were non-normally distributed. Consequently, the Spearman's test was  
369 performed on these data. According to the test, a positive association between "Elevator" WOs and  
370 occupants' density (r=0.66) and between "Plumbing" WOs and occupants' density (r=0.57) was shown  
371 during the COVID-19 phase. Such a result confirms the previous discussion on the general correlation  
372 trends of Table 4, Table 5 and Table 7. On the contrary, the Spearman's r-values for "Building  
373 components" and "Electrical" are lower, probably due to the necessity to perform a continuous  
374 maintenance activity not depending on the number of people on-site.  
375



376

377 *Figure 6 Fitting of Monthly WO/m2 and People density (People /m2)*

378

379 Finally, Figure 6 shows the result of the fitting process performed to find a relationship between the  
 380 occupants' density and the mean monthly WOs per m<sup>2</sup>. Dotted lines are the confidence 90% bounds.  
 381 According to a power-law approach (R = 0.61) as described in equation 1:

382  $y = a^x + b$  [1]

383 Where

384  $y =$  mean monthly WO per m<sup>2</sup>

385  $x =$  occupants' density (People/m<sup>2</sup>)

386  $a = 0.0685$

387  $b = 0.3811$

388

389 Considering that Table 5 shows that the shares of WOs typologies generated before and during the  
 390 COVID-19 pandemic were almost the same (with percentage differences on average about 1.5%), the  
 391 number of expected WOs for each WOs type could be derived by multiplying  $y$  (as in equation 1) for  
 392 the expected percentages characterizing each WOs type (as in Table 5).

393

394 **4. Conclusion**

395 This research has shown the impact of the COVID-19 pandemic on maintenance activities in an  
 396 educational context, by considering a university building stock composed of 23 buildings at the  
 397 Polytechnic University of Marche, Ancona, Italy. Important building operation issues that characterized  
 398 the different pandemic phases in terms of occupants' presence (students; teaching, technical and  
 399 administrative staff) and maintenance work orders (WOs) were analyzed for this purpose. The  
 400 occupants' density was calculated considering the effective building use and with respect to the total  
 401 gross floor areas of the buildings stock. According to a data-driven approach, the effect of occupants'

402 density on the WOs number and types was assessed, thus moving towards a predictive model to support  
403 building decision-makers in maintenance needs assessment.

404 From March until June 2020, we found a drastic reduction of the occupants' density, due to the strict  
405 lock-down strategy adopted in Italy. The mean occupants' density reduced in few days from 0.0547 to  
406 0.0035 People/m<sup>2</sup>. After this strict lock-down period, people density maintained low values if compared  
407 with the pre-COVID-19 situation, reaching 0.0123 people/m<sup>2</sup> in September-October 2020 (about 1/5 of  
408 the pre-COVID-19 value), when the university reopened and on-site lessons were allowed again, and  
409 just before the second infection wave in Italy.

410 WOs generated during these periods did not follow the same trend. Only in the first phase of the  
411 pandemic (strict lock-down period), we observed a relevant reduction, likewise to the reduction of in-  
412 situ occupants. Elevators are a significant example, since results show a significant correlation between  
413 occupants' density and WOs number. During the COVID-19 pandemic, suggested the occupants'  
414 limitation and the WHO-suggested restrictions on their use (as high-exposure closed environment)  
415 decreased their operation, and so the WOs number. On the contrary, other building systems needed a  
416 change in their functioning due to the WHO safety strategies, as for HVAC, thus pointing out a lower  
417 impact and correlation of occupants' density to the WOs number.

418 However, WOs reduced only in the first phase of the pandemic, when the lock-down measures actually  
419 stopped all the main activities at university. After these first months, WOs increased reaching almost  
420 the original values in October 2020 (-9% in respect to the pre-COVID-19 phase).

421 During the pandemic event, the severity perception of generated WOs also slightly changed. VADER  
422 sentiment analysis shows a shift towards negative scores, especially during the strict lock-down phase  
423 while the percentage of "high severity"-classified WOs increased too. This trend could be also due to a  
424 general increase in individuals' negative emotions and risk perception due to COVID-19 contexts.  
425 Anyway, further activities to evaluate such perception issues are needed.

426 Finally, the correlation between occupants' density and the mean monthly WO per m<sup>2</sup> has been  
427 performed, relying on experimental data, to derive a model able to describe the effect of the occupants'  
428 presence on the WOs needs, also in view of future variations in the on-site end-users' number due to  
429 emergency events. The cost of each maintenance intervention could be also included in the model, thus  
430 being useful also to estimate the expected maintenance costs and supporting decision-makers in both  
431 maintenance needs and cost assessment activities. In view of the above, this data-driven approach and  
432 the proposed predictive model could be extended to other kinds of buildings, as those open to the public,  
433 which use and functioning was and will be affected by closures due to COVID-19 contexts, as well as  
434 to other future pandemics.

435

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