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1 2

Measurement of multimodal physiological signals for stimulation detection by wearable devices

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|----------------------------|---|
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| 10 | Highlights |
| 11 | • Acoustic stimulation detection useful in many application fields (e.g. assistive technologies) |
| 12 | • Signals generated by wearable devices can be used to detect human reactions |
| 13 | • Multimodal physiological signals are more effective and reliable respect to single assessment |
| 14 | • Machine learning algorithms effective in the stimulus presence identification through arousal |
| 15 | |
| 16 | Abstract: The presence of stimuli and the consequent reactions undoubtedly reflect in experience-related |
| l / 10 | changes of physiological parameters, which can be monitored by wearable devices. Generally, reactions |
| 10 | related to the sympathetic nervous system activity are assessed through heart rate variability analysis. |
| 20 | to identify the elicitation of acoustic stimulation through a wearable device: physiological signals including |
| 20 | electrodermal activity and skin temperature, were measured on a test population wearing a wrist-worn medical |
| 22 | device. Eight machine learning algorithms were evaluated in a binary classification (presence/absence of |
| 23 | stimuli), using 22 meaningful metrics from the collected data. The experimental results showed that Linear |
| 24 | Regression (LR) algorithm, followed by Support Vector Machine (SVM), performed satisfactorily across all |

the evaluation metrics, achieving 75.00% and 72.62% of accuracy rate, respectively. Finally, the trained LR
 and SVM algorithms have been validated on a publicly available dataset (WESAD).

Keywords: Acoustic stimulation detection; wearable devices; measurement systems; multimodal physiological signals; features selection; machine learning.

29

30 **1. Introduction**

31 Human emotions can be usefully classified on a discrete scale according to Plutchick, who defined a 32 taxonomy based on eight distinct emotions: joy, trust, fear, surprise, sadness, disgust, anger, and anticipation 33 [1]. Later on, given that an emotion can have different intensities, multi-dimensional space-models have been 34 developed, taking into account both valence (pleasant/unpleasant) and arousal (high/low) [2]; subsequently, 35 also dominance (submissive/dominant, reflecting the control ability of people) has been added [3]. The research 36 on emotions, their recognition, and their elicitation through specific stimuli is an active field of research, where 37 affective computing plays a pivotal role [4], even if there are also different application contexts, such as safe 38 driving, health care, and social security [5]. Emotions can be thought as the subject's reaction to a stimulus, and 39 this reaction undoubtedly reflects in unconscious changes in the subject's physiological state. Therefore, the 40 monitoring of physiological signals could provide useful information on the presence/absence of stimuli 41 influencing the subject's state. To this aim, different physiological signals can be considered [6], given that 42 human psycho-physiological mental state is always correlated with physical and physiological reactions to 43 internal/external stimuli [7]. In fact, if it is true that a subject can mask or pretend her/his facial and/or 44 behavioural appearance (e.g. face expressions [8] or body gestures [9]), on the other hand it is undoubtable that 45 the fluctuations of physiological signals are under the control of the sympathetic nervous system (SNS), thus 46 cannot be controlled voluntarily [10]. For this reason, physiological sensors should be preferred to systems like 47 cameras, which can push someone towards hiding emotional reactions, also depending on her/his own cultural 48 habits [11]). To this aim, several signals have been used in the literature, such as the electrocardiogram (ECG) 49 [12], the electroencephalogram (EEG) [13], the electromiogram (EMG) [14], the photoplethysmogram (PPG) 50 [15], the electro-dermal activity signal (EDA) [16], and the skin temperature (SKT) signal [17]. All these signals 51 can be obviously measured in ambulatory conditions by means of medical devices, but current healthcare 52 provisioning paradigm is shifting towards remote monitoring and telemedicine [18], [19]. In particular, in the 53 latest years wearable devices have gained more and more popularity, not only for activity tracking or fitness 54 applications, but also in telemedicine and with clinical purposes [20]. Examples consist in their use in Ambient 55 Assisted Living (AAL) domain [21], [22] or for promoting healthy and active ageing [23], [24], up to possible 56 applications during a pandemic emergency [25]. However, the accuracy requirements should be always taken 57 into account [20] in order to give a valuable contribution to decision-making processes [26], depending on the 58 purpose of the measurement itself. Wearable devices allow to easily collect a great amount of data also in non-59 controlled environments [27], [28], representing a double-edge sword: on the one hand, they enable continuous 60 (remote) monitoring, on the other hand the "big data" represent a challenge both for their accuracy and the 61 computational requirements for their analysis. In the recent years, data coming from wearable devices have met 62 the potentiality of Artificial Intelligence (AI) and Machine Learning (ML) approaches [29], empowering the 63 capability of these devices to analyse physiological data for deriving significant parameters describing different 64 human spheres, from physiological, through behavioural, to psychological ones, also for applications in Industry 65 4.0 context [30]. Furthermore, in the present COVID-19 pandemics, the combination of AI and big data is 66 giving a valuable contribution in tracking people and trying to limit the contagion [31], [32].

67 Multimodal recordings of physiological signals can provide a broader fingerprint with respect to a single 68 signal [33]. For example, Zhao et al. [34] used a wearable device to measure Blood Pressure Volume (BVP), 69 EDA and SKT for emotion recognition through Support-Vector Machine (SVM) classifier; also Gjoreski et al. 70 used the same model of wearable (Empatica E4), considering the same three signals plus accelerometer for 71 stress monitoring [35]. It is worth underlining the high subjectivity of emotions, since their perception depends 72 on many factors, among which we can mention experience, gender, age and culture [36]. Fear, surprise and 73 stress were classified by Park et al. using SKT, EDA, ECG and PPG and different ML algorithms, namely linear 74 discriminant analysis, classification and regression tree, self-organizing map, and Naïve Bayes, with 10-fold 75 cross-validation [37]. In [38], after selecting the optimal set of features, joy, anger, sadness and pleasure were 76 correctly discriminated, reaching a high recognition performance (i.e. 100% for joy and anger). Multimodal 77 acquisition systems can also improve the motion artefacts identification, largely affecting the data quality, and 78 consequently the analysis. Indeed, the reduction of noise and the increase of measurement accuracy are 79 generally outcomes of a data fusion algorithm, including both physiological signals and a 3-axis accelerometer 80 used as reference sensor to detect and estimate the movements [39], [40].

81 In this context, this article aims at investigating the possibility to correctly identify the presence or the absence 82 of an acoustic stimulus by the use of signals measured by a wrist-worn wearable device, and exploring different 83 ML approaches (namely Random Forest, Decision Tree, Naïve Bayes, K-nearest neighbour, Bagging, Boosting, 84 Support Vector Machine, and Linear Regression), using features extracted from the measured data, whose 85 effectiveness has been evaluated by means of the correlation-based feature selection method [41]. In our study, 86 a wrist-worn, multimodal, medical device (Empatica E4) has been used to acquire a collection of physiological 87 data (hereinafter referred as "Lab dataset") from which features have been extracted to feed ML algorithms 88 aiming at the detection of an acoustic stimulation. The overall workflow of the proposed work is described in

- 89 Fig. 1.
- 90



91 92

Fig. 1 Overall workflow of the proposed study.

93 In our study, we have considered the following physiological signals: Inter-Beat Intervals (IBI), BVP, EDA 94 and SKT. In particular, the BVP signal is obtained through a PPG sensor and permits to derive Heart Rate 95 Variability (HRV, i.e. the physiological variability in time intervals between consecutive heartbeats), which, 96 among other purposes, can be exploited for emotion recognition [42]; it is worthy to note that, since PPG sensor 97 is prone to motion artifacts (for example, in the literature Empatica E4 is said to correctly detect heartbeats 98 during sitting and household work for 68% and 9% of the cases, respectively [43]), proper correction algorithms 99 should be adopted [44]. Electrodermal activity reflects eccrine sweat gland activity [45] and can consequently 100 provide the measurement of the so-called "emotional sweating", independent from the thermoregulatory 101 system, hence evaluating SNS function and limbic activity. EDA can be used for stress detection and emotion 102 recognition [10], [46]; in particular, the relaxed state is characterised by a low variability signal with a 103 decreasing trend, whereas the stressed state is characterised by a high variability and an increasing trend. It is 104 important to note that after the electrodes application the conductivity increases over time until reaching the 105 subject's skin conductivity value; this process takes time, hence before recording it should be waited at least for 106 20 minutes [46] – even if others consider only 5 minutes [47]. The signal acquired with wearable devices is 107 vulnerable to several types of disturbances; artifacts can derive from electronic noise or variations in contact 108 between electrodes and skin [48] and they need to be recognised and corrected. The EDA signal (and 109 particularly the magnitude of its changes [49]) seems to be associated more to arousal (i.e. the level of emotions, 110 low/high) than to valence (i.e. the pleasantness of emotions, positive/negative). Moreover, given that arousal 111 can have similar intensity of response for positive/negative stimuli (this can be confirmed through Self-112 Assessment Manikin – SAM – questionnaire, enabling to assess valence, arousal and dominance associated to 113 a presented stimulus [50]), the authors have chosen to consider a binary classification of presence/absence of 114 stimuli starting from the measured physiological signals, also considering that the accuracy of arousal 115 discrimination seems to be higher than that of valence differentiation [49]. In the literature it has been evidenced 116 that the combination of EDA and HRV measurements can provide an improved quantification of sympathovagal 117 balance, since EDA is related to sympathetic activity, whereas HRV spectral estimates to parasympathetic one 118 [51]. Moreover, also SKT data have been considered, since it can vary consequently to changes in blood flow 119 associated to the modulation of local vascular resistance operated by the smooth muscle tone, which in turn is 120 mediated by the SNS [34]. For the data processing, the authors used the Kubios software tool [52] for HRV 121 analysis and algorithms for BVP, EDA and SKT, employing the Biosignal-Specific Processing (Bio-SP) Tool 122 from Matlab® [53], [54] for the analysis of EDA and the extraction of its related features. In order to elicit 123 emotions, audio stimuli chosen from the International Affective Digitized Sound system (IADS-2) database, 124 which are already classified in terms of valence and arousal through the SAM scale, were used [55].

Finally, in order to validate the proposed measurement method, it was tested on a publicly available multimodal dataset, the Wearable Stress and Affect Detection (WESAD), which is available online and it is commonly used for stress and affect detection from data acquired by means of wearables [56]. Specifically, the validation phase aims to provide an additional analysis, thanks to the WESAD dataset collected under daily external stimuli in free living conditions.

130 The paper is organized as follows: Section 2 describes materials and methods adopted in this research, 131 whereas results are presented in Section 3. Finally, in Section 4 the authors comment on the results and provide

132 conclusions.

133 2. Materials and Methods

134 A. Participants

The experimental tests involved a population of 7 subjects (5 females and 2 males) in healthy conditions, aged between 15 and 52 years and with a Body Mass Index (BMI) between 20.8 and 26.8 kg/m². All the participants were informed about the purpose and the methods of the experiment and decided voluntary to be part of the study, by signing the informed consent before starting the tests (which were performed following the principles outlined in the WMA Declaration of Helsinki - Ethical Principles for Medical Research Involving Human Subjects [57]).

141 B. Experiment procedure and data collection

Since the measurement protocol was intended to acquire data in a relaxed situation, the participants were tested individually at their own home, in a quiet room with lights turned off to reduce any potential interfering input. In particular, they were in supine position on a bed with closed eyes, in order to focus on the presented stimuli and avoid disturbances on the measured data due to movements.

- sumun and avoid distarbances on the inclusived data due to movements.
- 146 In this study, the individual physiological changes were simultaneously measured by wearing a wrist-worn,
- 147 medical device (Empatica E4 Fig. 2) [58], on the dominant wrist.



148 149

Fig. 2 Wrist-worn medical device (mod. Empatica E4 device): front (left) and back (right) view.

150 In order to induce an emotional reaction, audio recordings were extracted from the International Affective 151 Digitized Sounds 2nd Edition (IADS-2) standardized database, in which 167 natural sounds of daily life are 152 categorized in terms of arousal, valence and dominance [55]. The following sounds were selected: 1 neutral event 153 (i.e. Walking sound no. 722), 1 pleasant event (i.e. Rock'n'roll sound no. 815) and 1 unpleasant event (i.e. Scream 154 sound no. 275). Because of the short duration (6 s) of audio recordings in the database, all sounds were repeated 155 to obtain 1-minute long stimuli. Participants completed three trials, each lasting 10 minutes. In detail, after 156 recording 5 minutes of baseline of physiological parameters, one sound was randomly selected among the others, 157 and played through a Bluetooth speaker for 1 minute to collect physiological changes through the wearable 158 device. It is worthy to underline that the sensors were positioned at least 5 minutes before starting the acquisition, 159 in order to reach stable measured data, e.g. for EDA signal. Then, 4-minute-long signals were collected in rest 160 condition. All the subjects listened to the three audio clips twice, for a total of 42 recordings. Simple self-161 annotations, by pressing the event-marker button on E4 device, were performed by the subjects to label the 162 beginning and the end of each acoustic stimulation. Thanks to data-labelling, for each measurement we well-163 categorized the first and the second part of acquisitions, i.e. in absence and in presence of stimulus, respectively. 164 From the beginning of the stimulation to the end of the recording, the data portion was labelled as presence of 165 stimulus due to the potential prolonged psychological reaction after the stimulus [59].

166 C. Acquisition device

The wrist-worn medical device utilised in our experiment is the Empatica E4, a wireless multi-sensor device (Class IIA Medical Device according to the 93/42/EEC Directive) for comfortable and real-time data acquisition. The E4 has four embedded sensors, namely PPG, EDA, 3-axial MEMS accelerometer and infrared (IR) thermometer. According to the definition of the acquisition protocol, i.e. at rest physical conditions of users, the signals acquired from the accelerometer sensor were not included in this study. All the others were included in the analysis, specifically:

PPG sensor samples at 64 Hz. In particular, BVP is the input signal to the algorithm that provides the Heart
Rate (HR) and the IBI signal as outputs. The digital sensor output, with a resolution of 0.9 nW/Digit, is generated
by the light produced with 4 light emitting diodes (LEDs, 2 green and 2 red ones) and 2 photodiodes with a total
sensitive area of 14 mm². The light during the green exposure mainly contains the information on the heartbeats,
while the red exposure helps the reduction of motion artifacts that are dynamically compensated by firmware.

178 - EDA sensor measures the changes in skin electrical conductance with a sampling rate of 4 Hz, in the range 179 of $[0.01, 100] \mu$ S and with a resolution of 900 pS. Through the Ag/AgCl electrodes placed on the ventral wrist, 180 a small alternating current (8 Hz frequency – max 100 μ A) is applied to the user's skin.

181 - IR thermometer is configured with a sample frequency of 4 Hz. SKT values are measured by an optical
182 thermopile sensor. The reported accuracy within the range of human skin temperature (i.e. 36-39 °C) is ± 0.20°C.
183 Calibration is valid in the range [-40, 115] °C.

184The multi-parameter sensor can operate either in streaming mode for real-time data visualization using a185Bluetooth Low Energy (BLE) interface and the *E4 Realtime* app from supported mobile devices, or in-memory186recording mode storing temporarily data in the internal flash memory (memory capacity > 48 hours of continuous187data). In any case, the recording sessions associated to the serial number of the used Empatica E4 are saved as188available from the *E4 Connect* remote platform for data management and can be download as .csv files.

189 D. Data pre-processing

As mentioned above, the approach proposed in this study analysed the following data: IBI, EDA, SKT and BVP. The pre-processing pipeline, first in MATLAB environment and then in WEKA [60], included mainly the following phases: segmentation, filtering, feature extraction and features selection. Then, these features were used to feed 8 different machine learning algorithms, which will be detailed in the following.

Firstly, raw data were split into two segments, representing the first and the second part of acquisitions, labelled as absence and presence of stimulus, respectively. Each segment lasted 5 minutes. Secondly, to reduce the artifacts and interference recorded during the acquisition phase, each measured signal was separately filtered according to the related literature.

198 IBI data, consisting in the duration (in ms) of successive heartbeats, were correctly and successfully 199 reconstructed in our previous work [44]. Therefore, we used the same artifact correction method and extracted 200 the same meaningful features through the Kubios toolbox [61]. EDA signals were pre-processed by using the 201 Bio-SP toolbox [62] to reduce noise and artifacts attributable to wrist motion and physiological properties at skin 202 level. More specifically, a Gaussian low-pass filter (with a 40-point window and a sigma of 400 ms), as 203 recommended in a previous study, was applied for the filtering process of EDA [63]. Since SKT data change 204 slightly and slowly under rest physical condition, as in the defined acquisition protocol, no filter was applied. 205 The same for BVP data, also to avoid potential signal distortion.

206 E. Features extraction

In the features extraction phase, meaningful metrics were extracted in both time and frequency domains, from each data portion (i.e. absence/presence of stimulus) to characterize the different segments of data. Additional non-linear and information theory-based parameters were extracted from IBI signal according to the Kubios toolbox. Regarding the BVP signal, the features were extracted from the blood volume amplitude (BVA) representing the blood flow, while EDA features were computed on both the filtered EDA signal and the skin conductance response (SCR) component, strictly related to the SNS activity and stimuli response. Table 1 details the 33 statistical features, successfully applied in previous works [64], [65] and selected to quantify each signal.

215

Table 1: Features extracted from the physiological signals grouped by the domain.

| Signals | Features | | | |
|---------|---------------------|--|--|--|
| | | IBIs mean (RR_mean, ms), IBIs standard deviation (RR_std, ms), HR | | |
| | Time Domain | mean (HR_mean, bpm), HR min (HR_min, bpm), HR max (HR_max, | | |
| | | bpm), root mean square of successive IBIs (RMSSD, ms) | | |
| | | Absolute powers in the VLF (0-0.04 Hz), LF (0.04-0.15 Hz), HF (0.15- | | |
| IBI | Frequency domain | 0.40 Hz) frequency bands (VLF_abs, LF_abs, HF_abs, ms ²), total | | |
| | | power (P_tot, ms ²) | | |
| | Non-linear and | HRV short- and long-term variability (SD1, SD2, ms) and their balance | | |
| | information theory- | SD2/SD1, approximate and sample entropy (ApEn, SampEn), short- | | |
| | based measures | and long-term fluctuation analysis (alpha1, alpha2) | | |
| | | Mean and standard deviation of BVA (BVA_mean, nW, and BVA_std, | | |
| | Time Domain | nW, respectively), mean and standard deviation of the BVP 1st | | |
| BVP | | derivative (BVA'_mean, nW/s, and BVA'_std, nW/s, respectively), | | |
| | | mean and standard deviation of the BVA 2 nd derivative (BVA''_mean, | | |
| | | nW/s ²), and BVA ^{''} _std, nW/s ² , respectively) | | |
| | | SCR mean duration (SCR_D_mean, s), SCR mean amplitude | | |
| EDA | Time Domain | (SCR_A_mean, µS), SCR mean rise-time (SCR_RT_mean, s), EDA | | |
| | | mean signal (EDA_mean, µS), no. of SCRs (SCR_n) | | |
| SVT | Time Demain | Mean (SKT_mean, °C), standard deviation (SKT_std, °C), minimum | | |
| SKT | Time Domain | (SKT_min, °C) and maximum (SKT_max, °C) values | | |

216 F. Features selection

217 A preliminary investigation was conducted using the full set of features. In fact, although a higher number of 218 features means greater information available to the algorithms, the use of redundant and irrelevant features results 219 in a poor classification performance [66]. Such a strategy was applied in [67], where the correlation-based 220 algorithm, along with the genetic algorithm, was able to reduce the features from more than 300 to 69, limiting 221 the computing time while increasing the ML algorithm performance. Therefore, in order to optimize the learning 222 accuracy, we performed a feature selection analysis with the correlation-based filter [41], a common and reliable 223 technique for features selection [68]. Such algorithm evaluates the worth of an attribute by measuring the 224 (Pearson's) correlation of the attribute with the class to predict. The output of Pearson's correlation varies 225 between high positive correlation (values close to 1) and high negative correlation (values close to -1) – 0 means 226 no correlation. Generally, the threshold from which defining two variables correlated can be arbitrarily selected

[69]; hence, after running the correlation filter in WEKA tool to generate a rank for each feature, all the attributeswith a rank below 0.10 were discarded.

229 G. Emotions and physiological reactions: stimulation detection by machine learning algorithms

After estimating the informative content of the physiological signals by extracting the related features, the correlation-based filter was used to establish the goodness of features extracted and to select a subset according to the high correlation between features and class. The resulting subset of features was used as input to the ML classifiers, from which an output identifying the class label related to the presence/absence of a stimulus is returned.

For the model evaluation, the ML algorithms were tested with the 10-fold cross validation configuration setting, in which the entire features dataset was randomly divided into 10 subsamples, namely 9 subsamples as training data and 1 as validation data for testing the model. After using the available subsamples as validation data, the resulting accuracy percentage is the average over the 10 iterations.

Given the large inter-individual variability for the physiological signals, especially considering the emotion
 recognition (indeed, individual differences can hinder emotion pattern discrimination [34]), several well-known
 classifiers were selected in WEKA tool for the classification task: Support Vector Machine (implemented with

242 SMO algorithm in WEKA, that is Sequential Minimal Optimization), Random Forest (RF), Decision Tree (J48),

- Naïve Bayes (NB), K-nearest neighbour (kNN), Bagging, Boosting (LogitBoost) and Linear Regression(SimpleLogistic).
- Finally, the performance of these algorithms was evaluated in terms of the ability of classifying the presence or absence of a stimulation, as a binary classification task. In detail, the classification performance was evaluated in terms of Accuracy (1), Sensitivity (2), Precision (3) and F-measure (4), as defined in [70]:

$$Accuracy = \left(1 - \frac{|N_{cci} - N_{ti}|}{N_{ti}}\right) \cdot 100 \tag{1}$$

being N_{cci} the number of correctly classified instances and N_{ti} the number of total instances considered by the
 classifier. The Sensitivity was computed as:

$$Sensitivity = \frac{TP}{TP + FN}$$
(2)

and Precision as:

$$Precision = \frac{TP}{TP + FP}$$
(3)

where TP denotes True Positives, FN False Negatives, and FP False Positives, respectively.

$$F - measure = \frac{(1 + \beta^2) \cdot Sensitivity \cdot Precision}{\beta^2 \cdot Sensitivity + Precision}$$
(4)

252 F-measure is the harmonic mean of Sensitivity (or equivalently Recall) and Precision, with the weight 253 coefficient $\beta = 1$ in WEKA tool.

Additionally, for a visual interpretation among the classification results for the two classes, a confusion matrix

255 has been realized to compare the predicted and actual classes.

256 H. Validation of the best performing algorithms on a different dataset

After having determined the best subset of features and the two best ML algorithms in terms of stimulation detection, trained and tested on the dataset acquired through Empatica E4 as described in Section 2. B, the authors validated their performance on a public dataset, namely WESAD. WESAD includes data measured on 15

260 participants (three females and twelve males, aged 27.5 ± 2.4 years, expressed as mean ± standard deviation)

261 during laboratory studies aimed to detect whether stress or affect (both representative of daily external stimuli) 262 from data acquired by means of wearable devices. In particular, Empatica E4 wrist-worn device was used to 263 measure BVP, EDA, SKT and acceleration signals (as already described in Section C). Moreover, the chest-strap 264 device RespiBAN [71] was employed for the measurement of ECG, EDA, EMG, SKT and acceleration signals; 265 the sampling frequency was equal to 700 Hz for all of them. Laboratory studies were performed during three 266 different affective states, namely neutral, stress and amusement; in particular, funny video clips were showed 267 during the amusement conditions, whereas the Trier Social Stress Test (TSST) was used to cause a high mental 268 load and, consequently, a stress condition. In order to have a ground truth, self-assessment questionnaires were 269 administered to the participants (also to verify the effective elicitation of the different affective states); 270 specifically, Positive and Negative Affect Schedule (PANAS, for positive and negative affect assessment) and 271 some items from the State-Trait Anxiety Inventory (STAI, for the anxiety level quantification) and from the Short 272 Stress Questionnaire (SSSQ, for the type of stress determination) were considered. Since the authors aimed to 273 analyse the WESAD dataset following the same procedure described above for Lab_dataset, only data acquired 274 through the Empatica E4 on 7 subjects in baseline and the stress conditions were selected among the signals 275 collected. Additionally, in this case the ML algorithms were trained on our dataset and tested on WESAD one. 276 This means that the ML algorithms were trained with data collected in rest physical state under acoustic 277 stimulation, and then tested with data recorded in tasks typical of free-living conditions, which can be associated 278 to daily external stimuli. More specifically, in the WESAD experimental protocol, Schmidt et al. [56] made the 279 volunteers perform a public speaking (a 5-minute speech in front of a three-person panel, speaking of their own 280 personality, highlighting strengths and weaknesses) and a mental arithmetic task (to count from 2023 to zero, 281 with steps of 17) to elicit stress. This widens the application context where the algorithms were trained (i.e. 282 laboratory controlled conditions with emotions elicited by audio stimuli).

3. Results

Data measured from Empatica E4 were pre-processed and analysed to extract features from each recording. More specifically, attributes were computed for the two data portions, which were labelled as absence (i.e. before playing the sound clip) and presence of stimulus (i.e. during and after playing the sound clip). Then, the selected features for feeding the ML algorithms are reported, together with the ranks obtained with the correlation-based feature selection method.

289 A. Features selection

Before the application of ML classifiers, the correlation-based feature selection algorithm was used to establish the importance of features over the whole dataset. The resulting subset of features included 22 metrics (from the highest to lowest ranked attributes) as shown in Fig. 3.



Fig. 3 Ranks listed in order of importance for each feature extracted from the Empatica E4 signals (the red horizontal line
 indicates the threshold of 0.10 over which the features are considered significant).

296

In summary, the features selected to feed ML algorithms were the following ones:

- 297 HRV analysis (from IBI signal): mean and standard deviation of RR intervals (RR_mean and 298 RR_std), mean, standard deviation, minimum and maximum values of HR (HR_mean, HR_std, 299 HR min, HR max), root mean square of successive RR intervals (RMSSD) in the time domain; 300 absolute powers in the VLF, LF and HF frequency bands (VLF_abs, LF_abs, HF_abs) and total 301 power (P_tot) in the frequency domain; short- and long-term variabilities (SD1 and SD2) and their 302 ratio as balance (SD2/SD1), approximate and sample entropies (ApEn and SampEn) and short- and 303 long-term fluctuation analysis (alpha1 and alpha2) as non-linear and information theory-based 304 features:
- BVP signal: the standard deviation of vasoconstriction (BVA_std) and the standard deviation of the 1st derivative of BVP signal (BVA'_std);
- EDA signal: the number of SCRs (SCR_n);
- SKT signal: the standard deviation of skin temperature (ST_std).

309 Such potential optimal subset of features, composed by the parameters more sensitive to stimuli, was examined310 for correctly discriminating the absence or presence of stimuli by means of different ML algorithms.

311 B. Classification of the presence/absence of acoustic stimuli

312 Eight different machine learning classifiers, trained with a 10-fold cross-validation scheme, were used for the

- 313 binary classification of stimulation events. Herein, the performance of the proposed scheme to classify the
- 314 presence or absence of acoustic stimulation (i.e. pleasant, unpleasant and neutral sound clips) are listed. Each
- 315 algorithm was evaluated in terms of Accuracy percentage, Sensitivity, Precision and F-measure (Table 2).

| 316 Ta | ble 2: Performance of classifiers in terms of Accuracy (%), Sensitivity, Precision and F-measu | | | | |
|--------|--|--------------|-------------|-----------|------------------|
| 317 | Classifiers | Accuracy (%) | Sensitivity | Precision | F-measure |
| 318 | Support Vector Machine | 72.62 | 0.78 | 0.73 | 0.71 |
| 210 | Random Forest | 70.24 | 0.70 | 0.72 | 0.70 |
| 319 | Decision Tree | 71.43 | 0.71 | 0.71 | 0.71 |
| 320 | Naïve Bayes | 67.86 | 0.68 | 0.78 | 0.65 |
| 321 | K-nearest neighbour | 58.33 | 0.58 | 0.58 | 0.58 |
| 322 | Bagging | 70.24 | 0.70 | 0.71 | 0.70 |
| 323 | Boosting | 71.43 | 0.71 | 0.72 | 0.71 |
| 324 | Linear Regression | 75.00 | 0.75 | 0.76 | 0.75 |

Table 2: Performance of classifiers in terms of Accuracy (%). Sensitivity. Precision and F-measure.

325 The highest average accuracy (75.00%) was achieved for the Linear Regression classifier (grey-highlighted

326 raw in Table 2), while an average accuracy of 58.33% was found concerning the worst classifier, i.e. K-nearest

327 neighbour. On the other hand, the highest sensitivity was reported for Support Vector Machine classifier, even if

328 its value (0.78) is very close to the Linear Regression one (0.75). Similar considerations can be done for precision:

329 the highest value (0.78) was reached by Naïve Bayes, but Linear Regression one is comparable (0.76).

330 Regarding the two best classifiers, i.e. the Linear Regression followed by the Support Vector Machine, the 331 number of correctly classified and misclassified instances is reported also by their confusion matrices (

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Table 3 and Table 4, respectively).

Table 3: Confusion matrix related to Linear344Regression.345

| Ab st | sence of imulus | Presence of stimulus |
|-------------------------|--------------------|-------------------------|
| Z Absence of | | |
| stimulus | 28 | 14 |
| Presence of stimulus | 7 | 35 |

Table 4: Confusion matrix related to Support Vector Machine.

| | | Predicted class | | |
|---------|----------------------|---------------------|----------------------|--|
| | | Absence of stimulus | Presence of stimulus | |
| l class | Absence of stimulus | 21 | 21 | |
| Actual | Presence of stimulus | 2 | 40 | |



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A similar behaviour of misclassification was found in both the classifiers: poor ability to distinguish the absence of stimulus, that were often predicted as presence of stimulus (Linear Regression: 14 wrong instances; Support Vector Machine: 21 wrong instances, resulting in a lower FP number for the former). Contrarily, the presence of stimulus was well-classified with a low number of misclassification (i.e. Linear Regression: 7 wrong instances; Support Vector Machine: 2 wrong instances, resulting in a lower FN number for the latter).

353 C. Validation of Linear Regression and Support Vector Machine algorithms on WESAD dataset

The discrimination performance of the two best ML algorithms, i.e. Linear Regression and Support Vector Machine algorithms, resulted good also in the analysis of signals from the WESAD dataset. In particular, the classification accuracy was equal to 71.43% and 64.29%, respectively, which are values not so far to those found on our laboratory data (75.00% and 72.62%, respectively). The related performance metrics are reported in Table 5. Finally, the confusion matrices are reported in

Table 6 and Table 7, respectively.

| 3 | 60 | |
|---|----|--|
| - | | |

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Table 5: Performance of classifiers in terms of Accuracy (%), Sensitivity, Precision and F-measure (WESAD dataset).

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| Classifiers | Accuracy (%) | Sensitivity | Precision | F-measure |
|------------------------|--------------|-------------|-----------|-----------|
| Support Vector Machine | 64.29 | 0.71 | 0.63 | 0.67 |
| Linear Regression | 71.43 | 1.00 | 0.64 | 0.69 |

| 365 | Table 6: Confusion matrix related to Linea |
|-----|--|
| 366 | Regression – WESAD dataset. |
| | |

| Predicted class | | |
|-----------------|-------------|--|
| Absence of | Presence of | |
| stimulus | stimulus | |

| Actual class | Absence of stimulus | 7 | 0 |
|--------------|-------------------------|---|---|
| | Presence of stimulus | 4 | 3 |

367 Table 7: Confusion matrix related to Support

Vector Machine – WESAD dataset.

| Predict | ed class | |
|------------|-------------|----|
| Absence of | Presence of | |
| stimulus | stimulus 3 | 69 |

| ial class | Absence of stimulus | 5 | 2 |
|-----------|---------------------|---|---|
| Actua | Presence of | 3 | 4 |
| | sumutus | | |

370 4. Discussion and Conclusions

371 In this study, a multimodal physiological system was proposed to analyse physiological signals measured 372 with a wearable device on a test population before and after listening to three acoustic stimuli twice. In order to 373 evaluate the effect of stimuli, causing reactions in the subjects' physiological state, a binary classification was 374 considered to identify the absence and presence of acoustic stimulation More specifically, after extracting the 375 meaningful features from the physiological signals, the correlation-based feature selection algorithm was used to 376 both improve the detection rate of the acoustic stimulation and to limit the number of involved parameters. 377 Specifically, 22 features were selected from the whole dataset. An interesting finding is that most of the features 378 that achieved the best results (considering a rank > 0.20) were inherent to the HRV analysis derived from the IBI 379 signal, except for the SKT_std, from SKT signal, which reached a quite high rank. Also, note that among the 380 BVP features only the standard deviation of vasoconstriction value (i.e. BVA_std) and the standard deviation of 381 the peaks detected on the 1st derivative of BVP signal were above the selected rank threshold (i.e. 0.10), while 382 the others resulted not meaningful according to the correlation-based features selection method. This means that, 383 for the stimulation detection, the dispersion of both SKT and BVP attributes resulted to be more important than 384 their average values. Furthermore, it is worthy to note that the whole HRV analysis starts from BVP signal, from 385 which IBI is directly derived by Empatica E4. Similarly, for EDA signal only the number of peaks in the phasic 386 component (i.e. SCR n) had a rank higher than the threshold: this confirms that SCR n is the main expression 387 of SNS activity from the EDA point of view. All the features below the threshold may not provide significant 388 improvement in the classifiers performance.

389 Although the attributes related to HRV signal are the most discriminating for the classification of the 390 presence/absence of acoustic stimuli events, by considering only a single physiological signal (i.e. HRV) the 391 classification performance reaches low values. For example, comparing the multimodal recordings system 392 proposed in this work and our previous study [44], the classification performance of SVM algorithm improved 393 from 66.67% to 72.62%, as it is evident also looking at the related confusion matrices. This underlines the 394 importance of using multimodal recordings to have a more complete description of the analysed situation, which 395 can be particularly useful when data were acquired in free-living conditions, hence compensating also for the 396 effect of movement artifacts (in particular on signals like PPG). However, comparing the results obtained for 397 each classifier, Linear Regression reached the highest results followed by the SVM (which was employed in the 398 authors' previous study [44]), which therefore confirms to be one of the most powerful in this type of analysis. 399 Both Linear Regression, building linear logistic regression models, and SVM, implementing the optimization 400 algorithm, are basically two-classification methods [72], [73]. As a result, they allowed to well-separate and 401 distinguish the presence/absence classes of stimulation in our binary classification system, aligned with the 402 literature [74], [75].

403 Further confirmation was observed from precision, sensitivity and from their harmonic mean (F-measure) 404 that were evaluated for each single classifier. F-measure values confirmed the accuracy trend, achieving 405 simultaneously the highest accuracy and F-measure with the Linear Regression approach. The good 406 performances of the Linear Regression and SVM classifiers were also observed in detail, by examining both

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407 classified and misclassified instances (see the confusion matrices for more details). A point of interest is to notice

- 408 how the presence of a stimulus is better classified with respect to the absence of a stimulus. A possible motivation
- 409 is that the performance of stimulation detection across subjects depends on many factors, especially on individual
- 410 characteristics (and the related reactions to stimuli), both physical and psychological ones measured by the
- 411 wearable device. More specifically, the first portion of signal (absence of stimulus) can be considered as a
- 412 physiological baseline (where the inter-subject variability plays a dominant role), while the second portion of
- 413 signal (presence of stimulus) is a sum of the physiological baseline and physiological responses elicited by the
- 414 specific stimulus, which prevails in determining the physiological response.
- The remaining classifiers achieved lower values for all the considered metrics; a possible motivation of these unexpected low percentages may be a consequence of the small dataset size (the tested population consisted of 7 subjects, each performing 3 tests repeated twice), which affects the classification performance. On the other hand, this assumption could influence the high percentages of Linear Regression and SVM algorithms. For this reason, the second experiment implied the validation phase on signals extracted from the WESAD dataset. Not surprisingly, a discrepancy between the performances was observed, but the good performances of both Linear
- 421 Regression and Support Vector Machine algorithms were confirmed, reporting accuracies of 71.43% and 422 64.29%, respectively. This means that the subset of features and the selected algorithms trained on the data 423 collected by the authors in laboratory during elicitation with audio stimuli can be successfully applied to detect 424 the presence of different stimuli, such as stressors from the TSST.
- 425 Despite the above-mentioned limitations, the proposed measurement method achieved a high performance in 426 the detection of different types of daily external stimulation (e.g. acoustic and visual). Specifically, this work 427 confirms that it is possible to recognize the elicitation of a stimulus through the variations in measured 428 physiological signals acquired in a living home environment through a wearable device. This means that, 429 similarly, the presence of stress can be easily detected and inferred in daily life, since the signals involved in the 430 analysis are nearly the same. Therefore, this point opens to some developments for the study of multimodal 431 physiological systems to assess the human psychological, physical and social well-being. In particular, future 432 works may be conducted by evaluating the proposed methodology on data gathered during emotional tasks from 433 a wider population (which, moreover, would be useful to counterbalance eventual side effects, e.g. movement 434 artifacts in PPG signals), also using different models of wearable devices in order to evaluate the influence of 435 their metrological characteristics on the results. Furthermore, tests performed on a wider population could provide
- 436 a database large enough to train the classifier to distinguish the valence and arousal among external stimuli of
- 437 different intensities, thus discriminating emotions, which was outside the scope of the present study.

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