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Historical DNA as a tool to genetically characterize the Mediterranean sand tiger shark (*Carcharias taurus*, Lamniformes: Odontaspidae): A species probably disappeared from this basin

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1 **Historical DNA as a tool to genetically characterize the Mediterranean sand tiger shark**  
2 **(*Carcharias taurus*, Lamniformes: Odontaspidae): a species probably disappeared from this**  
3 **basin**

4

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25 **ABSTRACT**

- 26 1. The sand tiger shark (*Carcharias taurus*) is a coastal species distributed in temperate and sub-  
27 tropical waters, classified as “Vulnerable” at global level and “Critically endangered” in Eastern  
28 Australia, Southwestern Atlantic Ocean and Mediterranean Sea. Six populations (Northwestern  
29 Atlantic, Brazil, South Africa, Japan, Eastern Australia and Western Australia) with low genetic  
30 diversity and limited gene flow were identified worldwide, but genetic information for many  
31 other geographic areas are still missing. Specifically, this species is listed in several reports as  
32 part of the Mediterranean fauna, even if there is a lack of catches and sightings in recent years in  
33 this basin. In order to clarify the origin of *C. taurus* individuals caught in the past in the  
34 Mediterranean Sea, historical samples were genetically analysed.
- 35 2. Nine samples with a certain Mediterranean origin were collected from different European  
36 museums. Genomic DNA was extracted and ~ 600 bp of the mitochondrial DNA control region  
37 was amplified using eight overlapping species-specific primer pairs. Sequences obtained were  
38 aligned with all the haplotypes globally known so far.
- 39 3. Genetic analysis revealed the misidentification of one museum specimen. Among the remaining  
40 Mediterranean historical samples, three different haplotypes were recovered. Two of them  
41 previously observed only in South Africa and one described in both South African and Brazilian  
42 populations.
- 43 4. Results suggest a genetic relationship between Mediterranean sand tiger sharks and those from  
44 the Western Indian Ocean. According to previous studies, we hypothesized that during the  
45 Pleistocene the cold Benguela upwelling barrier was temporarily reduced allowing the passage  
46 of *C. taurus* individuals from the Indian to Atlantic Ocean. After the restoration of this  
47 phylogeographic barrier some individuals were trapped in the Atlantic Ocean and probably  
48 migrated northward colonizing the Western African coasts and the Mediterranean Sea.

49

50 **KEYWORDS**

51 ancient DNA, *Carcharias taurus*, endangered species, genetics

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## 54 1. INTRODUCTION

55 The sand tiger shark (*Carcharias taurus* Rafinesque, 1810) is a lamniform shark characterized by a  
56 burly body and protruding teeth. It can be found in coastal temperate and sub-tropical areas, except  
57 in the Eastern Pacific Ocean, usually swimming in shallow waters close to sandy or rocky bottoms  
58 or submerged reefs (Compagno, 2001). Tracking and tagging studies have demonstrated that,  
59 despite the presence of some differences depending on the geographic area examined, this is a  
60 phylopatric species and undertakes north-south seasonal migrations (Lucifora *et al.*, 2002; Dicken *et*  
61 *al.*, 2007; Bansemer and Bennett, 2011; Kneebone *et al.*, 2014; Teter *et al.*, 2015; Haulsee *et al.*,  
62 2018). *C. taurus* reaches sexual maturity at the age of six-seven years in males and nine-ten years in  
63 females (Goldman *et al.*, 2006). Gestation lasts between nine-twelve months and, together with  
64 intra-uterine cannibalism, leads to the birth of only two newborns every two years (Gilmore, 1993).  
65 As for many other sharks, the features of its life cycle (i.e. late sexual maturity, long gestation, low  
66 fecundity) make it extremely prone to the risk of extinction (García *et al.*, 2008). This risk is  
67 exacerbated by the drastic population decline observed in some areas as a direct consequence of  
68 coastal habitat degradation and overexploitation, due to by-catch and intentional fisheries (Pollard  
69 *et al.*, 1996; Otway *et al.*, 2004). For these reasons, in 2000, the IUCN classified the sand tiger  
70 shark as “Vulnerable” at global level (Pollard and Smith, 2000) and it is currently considered  
71 “Critically endangered” in Eastern Australia (Pollard, Gordon, Williams, Flaherty, & McAuley,  
72 2003), Southwestern Atlantic Ocean (Chiaramonte *et al.*, 2007) and Mediterranean Sea (Walls and  
73 Soldo, 2016).

74 It is well-known that a reduction in size of wild populations leads to a loss of genetic diversity  
75 (Frankham, 1996), with a consequent decrease in the ability to adapt to future environmental  
76 changes and an increased probability of extinction (Frankham, 2005). In this context, to shed light  
77 on the conservation status of threatened sharks, such as *C. taurus*, genetic population analyses are  
78 necessary (Dudgeon *et al.*, 2012). Currently, there are a limited number of studies describing levels  
79 of genetic variation and connectivity between different populations of this species. The first was  
80 performed at regional scale (South Africa, Eastern and Western Australia) by Stow *et al.* (2006)  
81 using AFLP loci and the mitochondrial DNA control region (mtDNA CR) as molecular markers.  
82 The second one was performed at global level using a longer sequence of the mtDNA CR and six  
83 microsatellite loci (Ahonen *et al.*, 2009). Low levels of genetic diversity were demonstrated,  
84 probably related to historical processes rather than recent human-mediated bottleneck events (Stow  
85 *et al.*, 2006; Ahonen *et al.*, 2009). In addition, a genetic structure with six distinct populations  
86 corresponding to different geographic areas (Northwestern Atlantic, Brazil, South Africa, Japan,

87 Eastern Australia and Western Australia) was revealed, with a low gene flow shown only between  
88 Southern Africa and Brazilian populations. These results highlighted the necessity to manage the  
89 populations of this shark as distinct Evolutionary Significant Units (ESUs; Waples, 1991) for a  
90 better conservation of this species (Ahonen *et al.*, 2009).

91 Unfortunately, the genetic characterization of *C. taurus* populations seems to be still incomplete  
92 because for some geographic areas the current presence and abundance of this species is unknown,  
93 even if their existence has been historically well documented. This is the case of the Mediterranean  
94 Sea where the occurrence of this species was known in the past. Since the 1970s, records of *C.*  
95 *taurus* have become more and more sporadic until they ceased in the last decade (Fergusson *et al.*,  
96 2002). Some of the last catches were made in Sicily (Fergusson *et al.*, 2002), Tunisia (Quignard and  
97 Capapé, 1972; Capapé *et al.*, 1976), Croatia (Lipej *et al.*, 2004) and Aegean Sea (Ismen *et al.*,  
98 2009). The lack of contemporary records makes the sampling of individuals for genetic studies  
99 impossible, however, the analysis of historical samples of *C. taurus* from the Mediterranean area  
100 could be very useful to improve the phylogeography of this species.

101 A first attempt to extract good quality DNA from historical shark jaws and teeth, including from *C.*  
102 *taurus* specimens, was made by Ahonen & Stow (2008). Two different DNA extraction methods  
103 were successfully tested. As expected, a lower amplification success of historical DNA compared to  
104 a contemporary one was observed. In fact, the PCR amplification of DNA from ancient samples is  
105 usually difficult due to the high degradation and small concentration of DNA extracted and/or by  
106 the presence of PCR inhibitors (Pääbo *et al.*, 2004). Subsequently, DNA from historical tissue and  
107 jaw cartilage was analysed to confirm the previous hypothesized Indo-Pacific origin of  
108 Mediterranean great white sharks (*Carcharodon carcharias* Linnaeus, 1758) (Gubili *et al.*, 2011,  
109 2015). In this paper, the mtDNA CR of historical samples of Mediterranean *C. taurus* was  
110 amplified and sequenced with the aim to genetically characterize sand tiger sharks observed and  
111 caught in the past in the Mediterranean Sea. The Mediterranean haplotypes found were then  
112 compared with haplotypes known from the literature in order to assess the presence of haplotypes  
113 endemic to the Mediterranean Sea and therefore to understand if the extinction of *C. taurus* in this  
114 basin may have affected the global genetic variability of the species.

115

## 116 **2. METHODS**

### 117 **2.1 Precautions to work on historical DNA**

118 Genetic analyses on ancient and historical samples are subject to a high risk of contamination by  
119 exogenous DNA. In order to avoid this problem, pre- and post- PCR work phases were performed  
120 in two separate laboratories located in different buildings (Pääbo *et al.*, 2004; Knapp *et al.*, 2012).  
121 In particular, the pre-PCR laboratory was equipped with two hoods provided with UV lamps, the  
122 first one dedicated only to DNA extraction and the second one to reagents and PCR preparation  
123 (Knapp *et al.*, 2012). The entrance to the pre-PCR area was allowed only to qualified staff equipped  
124 with total body coverall, laboratory shoes, safety glasses, face mask and two pairs of gloves (Knapp  
125 *et al.*, 2012). All laboratory surfaces were daily cleaned with 10% bleach and wiped with ethanol  
126 70%. In addition, they were UV irradiated for 20-30 min before and after every work session.  
127 Laboratory equipment (micropipettes, glassware, plasticware, etc...) was exposed to UV light for  
128 20-30 min before and after their use. In contrast, the post-PCR area was dedicated only to  
129 thermocycling, electrophoretic analysis of amplicons on agarose gel and preparation of samples for  
130 sequencing. The thermocycler placed in this area was dedicated only to the amplification of ancient  
131 or historical DNA and, after each PCR cycle, was decontaminated with UV light for 30 min.  
132 Moreover, each sample was analysed separated from others to avoid cross-contamination and,  
133 extraction and PCR controls were always added to detect if contamination occurred during work  
134 phases (Pääbo *et al.*, 2004) .

## 135 **2.2 Sampling and DNA extraction**

136 An overview of the ichthyological collections of the main European museums was done through on-  
137 line resources and personal contact with curators in search of *Carcharias taurus* Mediterranean  
138 specimens. A total of nine historical samples of *C. taurus* with a certain Mediterranean origin  
139 (Table 1) were found and collected. Five samples were powder from jaw cartilage, two were pieces  
140 of cartilage and two were teeth (Table 1). All samples were decontaminated prior to DNA  
141 extraction to reduce the presence of exogenous DNA and inhibitors from their surface, thus  
142 reducing the risk of contamination and the probability of PCR failure (Rohland and Hofreiter,  
143 2007). In the case of cartilage powder, the decontamination phase was performed before sampling.  
144 Specifically, the sampling area was chosen from an internal portion of the jaws and was previously  
145 scratched using sandpaper, washed with bleach and then rinsed with ultrapure sterile water. When  
146 the surface was perfectly dry, the cartilage powder was obtained using a drill equipped with a sterile  
147 drill bit at very low speed to avoid overheating and additional damage to DNA (Rohland and  
148 Hofreiter, 2007). The powder obtained was recovered in a sterile 1.5 ml microcentrifuge tube and  
149 the hole produced on the jaws was closed with dental restoration paste to make them invisible for  
150 museum visitors. For pieces of cartilage, the decontamination phase was the same as described

151 above for the jaw surface, while teeth were decontaminated using the protocol proposed by Rohland  
152 and Hofreiter (2007) with an additional final step consisting in the exposure to UV light for 30 min  
153 for each side of the tooth. After decontamination, small pieces of the root were cut using a serrated  
154 blade previously washed with DNA AWAY™ Surface Decontaminant (Thermo Scientific) and UV  
155 irradiated for 30 min per side. The root was chosen for DNA extraction because it was more  
156 accessible than the inner part. In addition, *C. taurus* teeth do not contain a pulp cavity, that usually  
157 has a higher quantity of DNA, but both the root and the inside of the tooth are made of osteodentine  
158 (Whitenack *et al.*, 2010).

159 Genomic DNA was extracted using the protocol developed for ancient bones by Yang, Cannon, and  
160 Saunders (2004) with some modifications. Samples were put in 4 ml of lysis buffer (0.5 M EDTA  
161 pH 8.0, 0.5% SDS, 0.5 mg/ml proteinase K) and were incubated overnight at 50°C in a washing  
162 bath with gentle orbital oscillation. After incubation, samples were centrifuged to facilitate the  
163 deposition of undigested materials, 3 ml of supernatant were recovered and transferred on  
164 Amicon Ultra-15 centrifugal filter units (MWCO 30kDa, Merck Millipore) to concentrate samples  
165 up to 125 µl. Finally, the recovered volume was purified using QIAquick PCR purification kit  
166 (Qiagen) and DNA was eluted in 100 µl of ultrapure sterile water.

### 167 **2.3 Amplification and Sanger sequencing**

168 A fragment of ~ 600 bp of the mtDNA CR (Ahonen *et al.*, 2009) was analysed in this study. In  
169 order to avoid amplification problems related to the low quality and quantity of DNA extracted  
170 from historical samples, eight overlapping primer pairs were designed (Table 2, Figure 1) using the  
171 software Primer3Plus (Untergasser *et al.*, 2012) and the complete mtDNA genome of *C. taurus*  
172 deposited in GenBank (Accession number: KF569943, Chang, Jabado, Lin, & Shao, 2015) as  
173 reference sequence.

174 PCRs were performed in a 25 µl reaction volume containing 1X PCR buffer, 1.5 mM MgCl<sub>2</sub>, 0.08  
175 mM of each dNTP, 0.48 µM of each primer, 4U of Platinum Taq DNA Polymerase (Invitrogen) and  
176 3 µl of genomic DNA. All amplifications were performed in a BioRad T100™ Thermal Cycler  
177 (BioRad) with an initial denaturation step at 94°C for 7 min, followed by 60 cycles of 20 s at 94°C,  
178 30 s at 54°C and 40 s at 72°C, with a final extension at 72°C for 7 min.

179 PCR products were checked on 2% agarose gel stained with GelRed™ (Biotium). All amplicons  
180 were sent to BMR Genomics (Padua, Italy) for Sanger sequencing, purified by exoSAP-IT™

181 (Thermo Scientific) and sequenced in both directions using an automated sequencer, ABIPRISM  
182 3730XL (Applied Biosystems).

## 183 **2.4 Alignment and data analysis**

184 For all the samples, sequences obtained using each primer pair were checked by eye and assembled  
185 to have the complete sequence of interest. All historical sequences were checked with BLAST  
186 (Altschul *et al.*, 1990) and aligned using CLUSTALW (Larkin *et al.*, 2007) with the 11 haplotypes  
187 described so far at the global level (Ahonen *et al.*, 2009; Chang *et al.*, 2015; Wynne and Wilding,  
188 2018). When necessary, the alignment was manually edited on BioEdit (Hall, 1999). For the sample  
189 PA002, the very low amplification success and the lack of a correspondence after the alignment  
190 with *C. taurus* sequences suggested a mislabelling of the museum specimen. For this reason, the  
191 short and not contiguous sequences obtained from this sample were checked using BLAST  
192 (Altschul *et al.*, 1990) and a morphological analysis of the teeth on the jaws was carried out  
193 (Compagno, 2001) using pictures taken during the sampling phase.

194 Excluding the PA002 sample, evolutionary relationships between all haplotypes were shown on a  
195 Median-Joining Network (Bandelt *et al.*, 1999) using Network 5 (Fluxus Technology Ltd.,  
196 [www.fluxus-engineering.com](http://www.fluxus-engineering.com)), considering also gaps and missing nucleotides. The  $\epsilon$  parameter was  
197 set to zero and information from previous studies about sampled individuals and sampling locations  
198 (Ahonen *et al.*, 2009; Chang *et al.*, 2015; Wynne and Wilding, 2018) were added to the analysis.

199

## 200 **3. RESULTS**

201 DNA was successfully extracted and amplified from all the historical samples of *Carcharias taurus*  
202 (Table 1). The complete mtDNA CR sequence of 574 bp in length, previously analysed also by  
203 Ahonen *et al.* (2009), was obtained for five samples (FI002, PA001, PA003, PR004, XL002).  
204 Amplification failures produced 550 bp for PA004, 507 bp for PR001, 495 bp for XL001 and only  
205 198 bp for PA002. Specifically, the primer pairs CtCR2 and CtCR3 failed the amplification of the  
206 samples PR001 and XL001, respectively. The sequence produced by the primer pair CtCR6 was not  
207 obtained for two samples, PA004 and PR001. For PA002, only CtCR4, CtCR7 and CtCR8 provided  
208 a PCR product.

209 Undoubtedly, the primer pair and the sample with the worst amplification success were CtCR6 and  
210 PA002, respectively. The comparison of the short not contiguous sequences obtained from PA002

211 with those of *C. taurus* and with all the sequences deposited in data banks did not show any perfect  
212 match. The morphological analysis of the jaws showed a probable misidentification of the museum  
213 specimen; teeth on the museum jaws have two lateral cusplets on each side of the main cusp, a  
214 characteristic of the small-tooth sand tiger shark (*Odontaspis ferox* Risso, 1810) (Compagno, 2001).  
215 The lack of the complete mitochondrial genome and/or the mtDNA CR sequence of this species in  
216 data banks makes the corroboration of morphological observations impossible and this sample was  
217 precautionarily excluded from the following analysis.

218 All sequences obtained from historical samples have been submitted to the GenBank database under  
219 accession numbers: MK434273-MK434280. The alignment of all *C. taurus* sequences known so far  
220 and those obtained in this study have allowed, on the basis of 18 polymorphic sites, the  
221 classification of Mediterranean historical samples into three previously described haplotypes:  
222 Haplotypes A, B and I (Table 3). Of the five samples for which the complete sequence of interest  
223 was obtained, four belonged to Haplotype A (FI002, PA001, PA003, PR004) and one to Haplotype  
224 I (XL002) (Table 3). The affinity to a specific haplotype was also clearly defined for two of the  
225 three Mediterranean incomplete sequences. Sample PA004 seems to belong to Haplotype A also in  
226 absence of the diagnostic site in 356 and, sample XL001 to Haplotype B also in absence of the  
227 diagnostic site 182 (Table 3). The classification of the sample PR001 was more difficult. The  
228 amplification failure of the primer pair CtCR2 did not mask any known polymorphic sites (Table 3),  
229 while the failure of the primer pair CtCR6 did not allow us to obtain the diagnostic site 356. This  
230 latter failure prevented us from understanding if sample PR001 belonged to Haplotype B or  
231 Haplotype J (Table 3).

232 The alignment result was also confirmed by the Median Joining network performed to visualize  
233 haplotypes relationships (Figure 2). In addition, the inclusion of information about sampling  
234 locations from other previous studies (Ahonen *et al.*, 2009; Chang *et al.*, 2015; Wynne and Wilding,  
235 2018) was very useful because it showed that Mediterranean historical samples have the same  
236 haplotypes as *C. taurus* individuals sampled in South Africa (Western Indian Ocean) and Brazil  
237 (Western Atlantic Ocean). Specifically, five Mediterranean samples (FI002, PA001, PA003, PR004,  
238 PA004) belonged to Haplotype A and one (XL002) to Haplotype I, previously observed only in  
239 individuals sampled in South Africa (Figure 2). The sample XL001 was identified as Haplotype B,  
240 which was found in both South Africa and Brazil (Figure 2). The Network 5 software also included  
241 the PR001 sample within Haplotype B cluster, on the basis of the maximum parsimony principle  
242 (Figure 2).

243

#### 244 4. DISCUSSION

245 The sand tiger shark (*Carcharias taurus*) is considered as “Critically endangered” within the  
246 Mediterranean Sea by the IUCN (Walls and Soldo, 2016). However, its presence in this basin is  
247 currently uncertain due to the lack of sightings and catches over the last decade, which suggest a  
248 probable extinction at regional scale (Fergusson *et al.*, 2002; Walls and Soldo, 2016). The use of  
249 DNA extracted from historical samples has allowed us to genetically characterize, for the first time,  
250 *C. taurus* individuals that inhabited the Mediterranean waters in the past and to suggest a possible  
251 route of colonization of this basin. Only eight specimens of certain Mediterranean origin were  
252 sampled and analysed. It was not possible to obtain a larger sample mainly because of the lack of  
253 information about the original catch location for most museum specimens and because some  
254 institutions do not allow samples to be taken from their collections.

255 MtDNA was successfully extracted from all the nine historical samples using a protocol developed  
256 for ancient bones (Yang *et al.*, 2004) and, in contrast to Ahonen and Stow (2008), a higher  
257 amplification success was achieved. Ahonen and Stow (2008) tried the DNA extraction and PCR  
258 amplification on 34 historical samples (20-40 years old) from different shark species, including of  
259 *C. taurus* (cartilage and teeth). The PCR amplification failed for 19 of them highlighting that the  
260 use of a single primer pair to amplify a region of ~ 700 bp of the mtDNA CR (Stow *et al.*, 2006) is  
261 unsuitable to analyse historical DNA. Instead, the use of overlapping primer pairs delimiting a  
262 region of 150-200 bp in length has been able to improve the amplification success of both historical  
263 and ancient DNA (Barnett *et al.*, 2014; Splendiani *et al.*, 2016, 2017; Cole *et al.*, 2018) and was  
264 successful also in this study. However, for three samples, an incomplete sequence was obtained  
265 probably due to the degradation of DNA extracted and/or to the presence of PCR inhibitors (Pääbo  
266 *et al.*, 2004). The primer pairs with the lowest amplification success was CtCR6 because it failed  
267 the amplification in two *C. taurus* samples. It was designed to amplify a sequence of 213 bp in  
268 length, while it is widely known that DNA molecules extracted from ancient samples rarely exceed  
269 200 bp (Pääbo *et al.*, 2004). The presence of repeated motifs and a high AT content in the region  
270 encompassed by these primers have limited us in primer design. The repetition of a single base or  
271 dinucleotide motifs for many times in a DNA sequence can cause the incorrect pairing of the  
272 primers on the DNA template. In addition, the presence of AT rich sequences leads to primers with  
273 a very low melting temperature ( $T_m$ ). A low  $T_m$  is responsible for pairing of the primers even in

274 regions with several mismatches, thus leading to the amplification of aspecific PCR products  
275 (Dieffenbach *et al.*, 1993).

276 Excluding the sample PA002, due to the probable misidentification of the museum specimen, all the  
277 other historical jaws and teeth undoubtedly belonged to *C. taurus* individuals. The mtDNA CR  
278 sequences obtained here were attributed to two different haplotypes (Haplotype A and I) previously  
279 reported only for South Africa and one (Haplotype B) shared by both South Africa and Brazil  
280 (Ahonen *et al.*, 2009). The incomplete sequence of PR001 could be attributed to two distinct  
281 haplotypes (Haplotype B and J) however, the presence of another Haplotype B among the  
282 Mediterranean historical samples (XL001) and the distribution of the Haplotype J only in Abu  
283 Dhabi waters (Chang *et al.*, 2015) suggest that the sample PR001 bears Haplotype B, as indicated  
284 also by the Median Joining network. The lack of new haplotypes from Mediterranean historical  
285 samples was probably due to the limited number of samples analysed or to the low rate of molecular  
286 evolution estimated for this species (Stow *et al.*, 2006; Ahonen *et al.*, 2009). Instead, the  
287 observation of haplotypes mainly described for South African individuals suggests a genetic  
288 relationship between Mediterranean sand tiger sharks and those from the Western Indian Ocean.

289 Ahonen *et al.* (2009) observed the deepest genetic divergence between the Northwest Atlantic  
290 population and all the others, while the lowest divergence was identified between South Africa and  
291 Brazil, which also share some haplotypes. In the first case, the major divergence was traced back to  
292 the formation of the Isthmus of Panama (~ 3 million years ago), which has definitively separated  
293 Atlantic and Pacific Oceans (Toonen *et al.*, 2016). On the other hand, the low differentiation  
294 between South African and Brazilian populations indicates a relatively recent connection (Ahonen  
295 *et al.*, 2009). The belonging of historical samples analysed here to haplotypes already described in  
296 the Western Indian Ocean highlights a recent origin also in the case of the Mediterranean sand tiger  
297 sharks excluding an ancient origin due to the separation between the Mediterranean Sea and the  
298 Indo-Pacific Ocean by the rising of the Isthmus of Suez (11-18 million years ago) (Toonen *et al.*,  
299 2016). The Mediterranean Sea was separated many years before the formation of the Isthmus of  
300 Panama indicating that if the Mediterranean *C. taurus* are descendant from those trapped after the  
301 raising of the Isthmus of Suez, they should have a greater genetic divergence than observed.

302 The connection between the Red and Mediterranean seas was re-established in 1876, after the  
303 opening of the Suez Canal, and promoted the entry of Indo-Pacific species into the Mediterranean  
304 basin, a phenomenon known as “Lessepsian migration” (Por, 1978). However, this route for  
305 colonization by Lessepsian migrants of *C. taurus* is rejected as several evidences indicate that this

306 species was already present in the Mediterranean Sea before the opening of the Suez Canal: i) the  
307 species was described for the first time by Rafinesque in 1810, based on an individual caught in  
308 Sicilian waters (Compagno, 2001; Fergusson *et al.*, 2002), ii) other catches and sightings were  
309 reported in the Mediterranean basin before the 1876 (Fergusson *et al.*, 2002) and iii) our historical  
310 samples were mainly from the Western Mediterranean and the collection dates are earlier or close to  
311 the date of the opening of the Suez Canal opening. A migration through the Red Sea can also be  
312 hypothesized in the opposite direction (anti-Lessepsian migration), from the Mediterranean Sea to  
313 the Western Indian Ocean, but anti-Lessepsian migrants are very rare (Por, 1978). In addition, the  
314 low genetic diversity observed in the Mediterranean historical samples could be due to a “founder  
315 effect” suggesting that the South Africa, characterized by the highest genetic diversity (Ahonen *et*  
316 *al.*, 2009), was probably the origin of the Mediterranean population.

317 Thus, the most probable biogeographic way used by the sand tiger sharks to colonize the  
318 Mediterranean Sea is along the Western African coasts. Ahonen *et al.* (2009) explained the low rate  
319 of genetic differentiation and the gene flow observed between South African and Brazilian  
320 populations by the establishment of a recent connection between Indian and Atlantic Ocean. The  
321 Southwestern African coast is characterised by the presence of an upwelling zone, caused by the  
322 northward flow of the cold Benguela Current, that acts as a phylogeographic barrier (Benguela  
323 barrier) (Dudgeon *et al.*, 2012; Toonen *et al.*, 2016). During Pleistocene interglacial periods, the  
324 northward cold Benguela current was reduced with a simultaneous expansion of the south-westward  
325 warm Agulhas current (Peeters *et al.*, 2004) that seems to have promoted the passage of *C. taurus*  
326 individuals from the Western Indian to Atlantic Ocean (Ahonen *et al.*, 2009). A similar pattern of  
327 dispersion was also proposed to explain the genetic similarities observed for South Atlantic and  
328 Indo-Pacific populations of other shark species such as *Carcharinus limbatus* (Keeney and Heist,  
329 2006), *Carcharhinus longimanus* (Camargo *et al.*, 2016) and *Carcharhinus falciformis* (Domingues  
330 *et al.*, 2018).

331 A relatively recent colonization of the Mediterranean Sea by individuals of Indo-Pacific origin was  
332 also suggested for the great white shark *Carcharodon carcharias* (Gubili *et al.*, 2011) and  
333 confirmed by the analysis of historical samples (Gubili *et al.*, 2015). Contrary to what observed for  
334 the Mediterranean sand tiger shark, the great white shark haplotypes from the Mediterranean Sea  
335 were more similar to North-Eastern Pacific/Australia/New Zealand haplotypes and not to South  
336 African (Western Indian Ocean) ones (Gubili *et al.*, 2011, 2015). This discrepancy is probably  
337 related to the life history characteristics of the two species. Both species are characterized by natal  
338 philopatry but shows a different migratory behaviour. *C. taurus* is a coastal species that usually

339 accomplish short migration, for example in the South-eastern coast of South Africa a seasonal  
340 north-south migration between mating, gestating and parturition areas was observed (Dicken *et al.*,  
341 2006). *C. carcharias* instead has a high migratory capacity as documented by the observation of a  
342 trans-oceanic migration from South Africa to Western Australia (Bonfil *et al.*, 2005). Gubili *et al.*  
343 (2011) estimated that the separation between Mediterranean and Indo-Pacific white shark  
344 populations occurred during the Late Pleistocene, a period characterized by climate instability.  
345 During a trans-oceanic migration some Indo-Pacific white sharks reached South Africa and,  
346 following the expansion of the Agulhas current, were driven to the Eastern Atlantic Ocean. The  
347 chase of prey, such as Atlantic bluefin tuna and swordfish, that showed a similar dispersion pattern  
348 (Alvarado Bremer *et al.*, 2005) and the propensity to swim eastward to return to natal areas have  
349 forced them within the Mediterranean Sea.

350 In the case of *C. taurus*, an immediate colonization of the Mediterranean area seems unlikely  
351 because this species usually undertakes short migrations, only in one case a distance travelled of ~  
352 2000 km was observed (Dicken *et al.*, 2007). We propose that South African individuals have  
353 reached the Atlantic Ocean during the Pleistocene, when the cold Benguela Current was temporarily  
354 attenuated and the Agulhas current enhanced. The restoration of the cold Benguela upwelling  
355 barrier probably trapped some individuals of sand tiger shark along the Southeast African coasts  
356 from which they migrated northward to reach warmer habitats. In fact, *C. taurus* rarely tolerates  
357 temperature lower than 15°C (Lucifora *et al.*, 2002; Otway and Ellis, 2011; Smale *et al.*, 2012;  
358 Kneebone *et al.*, 2014; Teter *et al.*, 2015). The coastal behaviour of this species together with the  
359 propensity to accomplish north-south seasonal migrations probably allowed, following a stepping  
360 stone model of dispersion, the colonization of Western African coasts and finally entry into the  
361 Mediterranean basin. However, the lack of unique haplotypes among the Mediterranean historical  
362 samples and the lack of genetic data for Western Atlantic Ocean do not allow us to understand if  
363 Mediterranean sand tiger sharks belonged to a distinct population or if they were visitors from  
364 African Atlantic coasts (Fergusson *et al.*, 2002).

#### 365 **4.1 Conclusion**

366 The decline of chondrichthyan species recorded at global scale and in particular in the  
367 Mediterranean Sea as a consequence of human activities is alarming (Ferretti *et al.*, 2008; Dulvy *et al.*  
368 *et al.*, 2014). In this context, the importance of genetic tools to develop beneficial management and  
369 conservation strategies has been largely demonstrated (Dudgeon *et al.*, 2012). However, the  
370 difficulty in collecting shark specimens poses a serious limit to conservation genetic studies. This

371 limit can be overcome by the use of historical shark jaws and teeth that represent an alternative  
372 source of DNA (Ahonen and Stow, 2008; Gubili *et al.*, 2015; Nielsen *et al.*, 2017). In this study, the  
373 genetic analysis of historical samples helped us to genetically characterize Mediterranean sand tiger  
374 sharks using historical DNA and to hypothesize a biogeographic scenario for the colonization of the  
375 Mediterranean Sea by individuals coming from Western Indian Ocean. However, the limited  
376 number of samples and the complete lack of genetic information for some geographic areas (e.g.  
377 Eastern Atlantic Ocean) did not allow us to clarify if Mediterranean individuals belonged to a  
378 distinct population currently extinct or if they were vagrants from the African Atlantic coast  
379 (Fergusson *et al.*, 2002). The identification of previously described haplotypes among historical  
380 Mediterranean samples suggests that, if a Mediterranean *C. taurus* population had been lost, there  
381 would have not been a loss in terms of global genetic variability. Regarding individuals from  
382 African Atlantic coasts, a conservation planning to reduce the threats for this species could allow  
383 the recolonization of the Eastern Atlantic coast and probably of the Mediterranean Sea. Shark  
384 species of Western Africa have long been subjected to over-exploitation by fishing activities (Diop  
385 and Dossa, 2011), this could have led to the reduction of *C. taurus* populations also in this area.  
386 Further studies are therefore necessary to clarify the status of the Mediterranean sand tiger shark  
387 and to improve the global knowledge on this species. Following the last IUCN assessment for the  
388 sand tiger shark (Walls and Soldo, 2016), trends and dynamics in the world populations of this  
389 species are still unknown. Data about its distribution range and conservation status are absent or  
390 incomplete for several geographic area, as observed for the Mediterranean Sea and Eastern Atlantic  
391 Ocean. Fragmentation and isolation are known as factors that may weak subpopulations, and in the  
392 case of a species as the sand tiger sharks such vulnerable to coastal human impact (i.e. by-catch,  
393 commercial fisheries, habitat degradation), they can strengthen a declining process. Additional  
394 information about the distribution range, size of populations, levels of genetic diversity and gene  
395 flow between different geographic areas, also by the analysis of historical samples, must be  
396 obtained. These data could favour the development of regional and inter-regional conservation  
397 policies to prevent the extinction of *C. taurus* at local and global level and, if possible, to encourage  
398 the recolonization of areas from which it seems to have disappeared.

399

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589

## 590 TABLES

591 **TABLE 1** Information about museum specimens of *Carcharias taurus* sampled and analysed in the  
592 present study

Genetic code	Institution	Institution code	Description	Sampling location and date	Reference	Sample type
FI002	Museo di Storia Naturale, Sezione di Zoologia “La Specola”, Florence, Italy	INV6136	Taxidermied specimen, male, 170 cm length	Messina, Sicily, 15th November 1879	Vanni, 1992	Cartilage powder
PA001	Museo di Zoologia “Pietro Doderlein”, Palermo, Italy	ID AN 68	Jaws	Sicily, second half of the XIX century	Doderlein, 1879	Cartilage powder
PA002	Museo di Zoologia “Pietro Doderlein”, Palermo, Italy	ID AN 94	Jaws	Sicily, second half of the XIX century	Doderlein, 1879	Cartilage powder

<b>PA003</b>	Museo di Zoologia “Pietro Doderlein”, Palermo, Italy	ID AN 60	Jaws	Sicily, second half of the XIX century	Doderlein, 1879	Cartilage powder
<b>PA004</b>	Museo di Zoologia “Pietro Doderlein”, Palermo, Italy	ID AN 38	Skeleton	Sicily, second half of the XIX century	Doderlein, 1879	Cartilage powder
<b>PR001</b>	Muséum National d’Histoire Naturelle, Paris, France	A-9685	Taxidermied specimen, female	Algeria, ~ 1840	Guichenot, 1850 B. Seret, pers. comm.	Piece of cartilage
<b>PR004</b>	Muséum National d’Histoire Naturelle, Paris, France	AB-0038	Jaws	Algeria, ~ 1840	Guichenot, 1850 B. Seret, pers. comm.	Piece of cartilage
<b>XL001</b>	Royal Belgian Institute of Natural Sciences, Brussels, Belgium	507β	Jaws	Algeria, end of the XIX century	O. Pauwels, pers. comm.	Tooth
<b>XL002</b>	Royal Belgian Institute of Natural Sciences, Brussels, Belgium	1386β	Teeth collection, erroneously classified as <i>Odontaspis ferox</i>	Tunisia, 1st October 1933	O. Pauwels, pers. comm.	Tooth

593

594 **TABLE 2** Primer pairs designed and used to amplify a portion of the mtDNA CR of *Carcharias*  
595 *taurus* historical samples

Primer name	Sequence 5' to 3'	Product length
<b>CtCR1</b>	<b>F</b> CTTCAATCCTTGATCGCGTCA	135 bp
	<b>R</b> CTTCCGGGGAATAGCGATGG	
<b>CtCR2</b>	<b>F</b> TGGCATTTCGTCCCTTGATCG	146 bp
	<b>R</b> TGAGTATGTTAGATAGATGTCGAGGA	
<b>CtCR3</b>	<b>F</b> GGCTGAACTGGGACACTGAG	146 bp
	<b>R</b> TCGAAACTTGCCGACTATGG	
<b>CtCR4</b>	<b>F</b> TGTCAAGTTGACCAAACTGAAA	118 bp
	<b>R</b> CCGGATGGGGGTTAAGAGAG	
<b>CtCR5</b>	<b>F</b> CCATAGTCGGCAAGTTTTCGA	148 bp
	<b>R</b> TGCCAGATAAAGTGAAGAATGTGT	
<b>CtCR6</b>	<b>F</b> CTCTCTTAACCCCATCCGG	213 bp
	<b>R</b> GGGTTTTTCGAGGAGTCCGT	
<b>CtCR7</b>	<b>F</b> ACACATTCTTCACTTTATCTGGCA	172 bp

R ATGTCCGGCCCTCGTTTTAG

F ACGGACTCCTCGAAAAACCC

CtCR8

141 bp

R TCATCTTAGCATCTTCAGTGCCA

596

597 **TABLE 3** Polymorphic sites detected after the alignment

	Polymorphic sites																	
	42	131	182	318	330	335	337	339	356	407	408	420	421	427	444	445	562	572
<b>Haplotypes</b>																		
HapA	T	C	A	C	A	G	G	G	T	A	G	-	-	G	G	A	G	A
FI002	.	.	.	.	.	.	.	.	.	.	.	-	-	.	.	.	.	.
PA001	.	.	.	.	.	.	.	.	.	.	.	-	-	.	.	.	.	.
PA003	.	.	.	.	.	.	.	.	.	.	.	-	-	.	.	.	.	.
PR004	.	.	.	.	.	.	.	.	.	.	.	-	-	.	.	.	.	.
PA004	.	.	.	.	.	.	.	.	?	.	.	-	-	.	.	.	.	.
HapB	.	.	.	.	.	A	.	.	.	.	.	-	-	.	.	.	.	.
XL001	.	.	?	.	.	A	.	.	.	.	.	-	-	.	.	.	.	.
PR001	.	.	.	.	.	A	.	.	?	.	.	-	-	.	.	.	.	.
HapJ	.	.	.	.	.	A	.	.	C	.	.	-	-	.	.	.	.	.
HapD	.	.	.	.	G	A	.	.	.	.	.	-	-	.	.	.	.	.
HapI	.	.	.	.	.	A	A	.	.	.	.	-	-	.	.	.	.	.
XL002	.	.	.	.	.	A	A	.	.	.	.	-	-	.	.	.	.	.
HapC	.	.	.	.	.	A	A	.	.	.	.	-	-	.	.	.	.	G
HapH	.	T	G	.	G	A	A	A	.	.	.	-	-	.	.	.	.	G
HapE	.	.	G	.	G	A	A	A	.	.	.	-	-	.	.	.	.	G
HapG	.	.	G	.	G	A	A	A	.	G	A	A	T	A	-	-	T	.
HapF	.	.	G	T	G	A	A	A	.	G	A	A	T	A	-	-	T	.
HapK	A	.	G	.	G	A	A	A	.	G	A	A	T	A	-	-	?	?

Haplotypes from previous studies were highlighted in grey (Ahonen *et al.*, 2009; Chang *et al.*, 2015; Wynne and Wilding, 2018). Haplotype A was used as a reference sequence. All identical nucleotides in other sequences are indicated as full stops (.), indels as dashes (-) and missing nucleotides as question marks (?). In the case of historical samples, missing data are due to amplification failures, whereas for Haplotype K (Wynne and Wilding, 2018) they are present because the sequence is shorter than the others (518 bp vs 574 bp).

598

599 **FIGURE LEGENDS**

600 **FIGURE 1** Graphic representation of the eight overlapping primer pairs designed to amplify a  
601 portion of the mtDNA CR sequence in Mediterranean historical samples of *Carcharias taurus*. The  
602 numeration of the mitochondrial DNA started from the first base of the region studied by Ahonen et  
603 al. (2009)

604 **FIGURE 2** Median Joining network showing the relationship between mtDNA CR haplotypes of  
605 *Carcharias taurus*. The circle size is related to the number of individuals sampled worldwide for  
606 each haplotype. Each colour indicates a different sampling location