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Deconstructing human-shaped treelines: Microsite topography and distance to seed source control *Pinus nigra* colonization of treeless areas in the Italian Apennines

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*Original*

Deconstructing human-shaped treelines: Microsite topography and distance to seed source control *Pinus nigra* colonization of treeless areas in the Italian Apennines / Vitali, Alessandro; Camarero, J. Julio; Garbarino, Matteo; Piermattei, Alma; Urbinati, Carlo. - In: FOREST ECOLOGY AND MANAGEMENT. - ISSN 0378-1127. - ELETTRONICO. - 406:(2017), pp. 37-45. [10.1016/j.foreco.2017.10.004]

*Availability:*

This version is available at: 11566/252130 since: 2022-07-07T07:57:40Z

*Publisher:*

*Published*

DOI:10.1016/j.foreco.2017.10.004

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note finali coverage

(Article begins on next page)

1 **Deconstructing human-shaped treelines: microsite topography and**  
2 **distance to seed source control *Pinus nigra* colonization of treeless**  
3 **areas in the Italian Apennines**

4

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8

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22 **Keywords**

23

24 Anthropogenic treeline, black pine, pasture abandonment, pine recruitment, Mediterranean  
25 mountains

26

27 **Highlights**

- 28 • Land-use changes can trigger tree colonization in high-elevation grasslands.
- 29 • Rapid recruitment and high tree growth rates facilitate treeline upward shift.
- 30 • Microsite topography and distance from pine plantations influence treeline dynamics.
- 31 • *Pinus nigra* treelines in Italy can respond quickly to the future global change.

32

33 **Abstract**

34 Human-shaped treelines are a common feature in mountain landscapes across Europe, and  
35 particularly in secularly managed Mediterranean high-elevation areas. The abandonment of  
36 traditional land use, and especially the reduction in grazing pressure at high elevations, triggered  
37 secondary succession in treeless grassland areas, and favored the upward shift of anthropogenic  
38 treelines in some cases. We investigated this process in four anthropogenic treeline ecotone sites in  
39 the Central Apennines, Italy, populated by European black pine (*Pinus nigra* Arn.). The upward  
40 treeline shift was controlled by microsite topography and the proximity to plantations acting as seed  
41 source. We found a 50% probability of producing cones in trees with basal diameters of 15–25 cm,  
42 heights of 2–5 m and ages of 20–25 years. The role played by climate on growth and the recruitment  
43 processes seems to be secondary, or could be masked by human-shaped processes. The presence of  
44 reproductive age trees at the treeline, mainly growing on debris-rich and steep slope sites, could  
45 indicate that the recruitment process will increase in future, leading to patchy tree patterns at  
46 different elevations. The high growth and encroachment rates observed at these human-shaped  
47 treelines would indicate that general growth dynamics are speeding up, including the tree  
48 colonization of treeless areas. These succession processes could cause a significant long-term  
49 decline in plant diversity in species-rich grasslands. Nonetheless, tree encroachment could increase  
50 forest protection against landslides and avalanches in the context of global change.

51

## 52 **1. Introduction**

53 Alpine treeline ecotones are sensitive indicators when assessing the ecological effects of two  
54 global-change components on forests, namely climate warming and land-use modification (Harsch  
55 and Bader 2011). The greater sensitivity of these high altitude tree populations to temperature  
56 variability confirms that growth and tree recruitment in these marginal populations respond to  
57 climate variation (Daniels and Veblen 2004, Camarero and Gutiérrez 2004). However, climate  
58 warming is only one aspect of global change that may affect the location of treelines (Holtmeier and  
59 Broll 2005). If traditional human activities near the treeline are common (Körner 2012), the forest-  
60 grassland ecotones are usually affected by changes in the anthropogenic disturbance regimes (  
61 Batllori and Gutiérrez 2008, Woods 2014).

62 Anthropogenic treelines develop under severe human impact that alters site conditions, such  
63 as forest clearing, fire or livestock grazing (Holtmeier and Broll 2005). Moreover, land-use shifts  
64 can severely influence treeline physiognomy masking or reversing the response of treelines to  
65 climate warming (Harsch and Bader 2011, Woods 2014). Although land use is more frequently  
66 associated with an abrupt transition from forested to treeless areas, different treeline types and  
67 structures may be the result of various past human influences (Batllori and Gutiérrez 2008, Harsch  
68 and Bader 2011). Land-use changes are long-term broad-scale disturbances and should be  
69 considered as major drivers of treeline formation in human-disturbed mountain areas (Foster et al.  
70 1998). Their effects on treeline dynamics can persist long after the abandonment of human activities  
71 (Camarero et al. 2017, Gimmi et al. 2008).

72 During the past century, European mountains experienced rapid and extensive changes in  
73 land-cover and landscape patterns which facilitated woody plant invasions in formerly grass-  
74 dominated ecosystems and also upward shifts in treelines (Hofgaard 1997, Chauchard et al. 2007,  
75 Gehrig-Fasel et al. 2007, Ameztegui et al. 2010, Treml et al. 2016). In southern Europe, the rapid  
76 industrialization of the mid-20<sup>th</sup> century triggered deep socio-economic shifts, including a massive  
77 rural exodus toward cities and the decline of traditional practices in mountains that had been based

78 on small-scale agriculture, pastoralism and forest resource utilization (Blondel and Aronson, 1999).  
79 In the northern Mediterranean Basin, traditional land uses (grazing, forest and forest-floor  
80 exploitation) in mountain areas became unsustainable during the 20<sup>th</sup> century and rapidly  
81 abandoned (Debussche et al., 1999). Land abandonment and/or the reduction of grazing pressure  
82 were often followed by national forest plantation programs which increased the surface area of  
83 mountain forests and, in some cases, fostered tree invasion in old-field pasture lands (Chauchard et  
84 al. 2007).

85         The Apennines are a mountainous range extending for 1200 km NW-SE across Italy with  
86 numerous peaks higher than 2000 m a.s.l. (maximum elevation Mt. Corno Grande 2912 m a.s.l.).  
87 The vegetation zones of the Apennines have been severely shaped by climate change and millenary  
88 human activities, and now are mainly covered by deciduous forests and woodlands. European beech  
89 (*Fagus sylvatica* L.) is the main species of the mountainous zone ranging from 800-900 m to 1700-  
90 1800 m and forming the treeline at most sites (Vitali et al. 2017. Submitted). In Paleo and Neolithic  
91 times, high-elevation Apennine forests (1900-2200 m a.s.l.) were extensively cleared for hunting of  
92 wild herbivores and were transformed into wood pastures or grasslands (Piermattei et al. 2014). In  
93 the central Apennines, the decrease of agro pastoral exploitation and the migration of rural  
94 populations toward urban areas increased during the last 60 years (Falcucci et al. 2007). These  
95 changes, together with climate warming, enhanced tree encroachment and forest expansion at high  
96 elevations (Dibari et al. 2015). European black pine (*Pinus nigra* Arn.) was extensively used in  
97 mountain plantations during the 20<sup>th</sup> century reforestation programs for landslide and erosion  
98 control due to its pioneering character and fast land cover capacity (Isajev et al. 2004, Piermattei et  
99 al. 2016). Black pine revealed a natural inclination to expand on treeless areas above the closed  
100 forest limit exclusively on the limestone soils of central Apennines, reaching unexpectedly high  
101 elevations (> 2000 m a.s.l.) and suggesting the formation of new and higher treeline ecotones  
102 (Piermattei et al. 2012, 2014, 2016).

103 Black pine was more dynamic and expanded upslope more rapidly than most of the other  
104 woody species (*Juniperus* species, *Rhamnus alpina*, *Fagus sylvatica*) in the central Apennines. This  
105 process could rearrange anthropogenic treelines and timberlines (*sensu* Körner 2012) ecotones  
106 above secularly disturbed mountain forests. This upslope spread of black pine could be regarded as  
107 an “advance guard” of a conifer-dominated anthropogenic treeline (Piermattei et al. 2012). The  
108 black pine upward expansion started 30-40 years ago and its spatial distribution at higher elevations  
109 generally appeared random with no evident patterns (Piermattei et al. 2012) or even over-dispersed  
110 along the slope (Piermattei et al. 2016). The radial growth of planted black pine is particularly  
111 sensitive to maximum temperatures and water availability during the growing season forming  
112 numerous intra-annual density fluctuations (IADF) (Piermattei et al. 2014). In a recent study in the  
113 central Apennines the spatial pattern of black pine encroachment revealed that its expansion pattern  
114 is independent of site location and local disturbance histories (Piermattei et al. 2016). This process  
115 of secondary succession is considered to be complex and depends on several factors such as  
116 masting occurrence, seed availability and dispersal, suitability of regeneration niches (*sensu* Grubb  
117 1977), growth rates and tree establishment (Piermattei et al. 2012, 2016). Some of these variables  
118 are linked to tree growth rates, and could be enhanced by the observed temperature increase  
119 (Camarero et al. 2017). Nevertheless, site micro-topography is a major factor in treeline dynamics  
120 since concave and wind-sheltered lee slopes can promote the formation of thick and long-lasting  
121 snowpack, affecting tree establishment (Hagedorn et al. 2014, Kullman and Öberg 2009).

122 We investigated a recent process leading to a treeline upshift, testing the predicting role of  
123 microsite topography and the distance of individual trees from neighboring black pine plantations  
124 acting as seed sources. We tested whether trees that had encroached at the higher elevations in the  
125 late 20<sup>th</sup> century acted as a secondary source of regeneration. We also investigated how tree size and  
126 age could influence cone production which would facilitate in turn the ascent of new individuals  
127 and the treeline (Piermattei et al. 2016). We used tree height rather than tree diameter because  
128 height is the variable that defines treeline position and determines the tree uncoupling from soil

129 microclimate conditions (Körner 2012). Finally, we compared the microhabitat type closely around  
130 the seedlings or saplings (classes defined by tree height), assuming that saplings ground vegetation  
131 is influenced by vegetation dynamics under the influence of established trees.

132 In particular with this study, we searched for answers to the following questions: (i) are  
133 there differences between seedlings and saplings vegetation ground-cover?; (ii) what are the main  
134 abiotic variables affecting tree growth in these treeline populations?; (iii) is there a minimum  
135 reproductive age threshold for newly encroached pines?; and (iv) does microsite topography and/or  
136 the presence of cone-producing trees allow tree encroachment to be successfully predicted? We  
137 hypothesized that microsite topography and distance to seed sources were the main factors  
138 influencing the black pine colonization process at high elevation, and that this expansion process  
139 could be spatially aggregated.

140

## 141 2. Materials and Methods

142

### 143 2.1 Study sites

144 We sampled four mountain treeline ecotones situated in the central Apennines (Italy). Sites were  
145 located in the Marche (Mt. Bove, hereafter BOV site) and Abruzzo regions (Mt. Ocre, OCR; Mt.  
146 Morrone, MOR; Mt. Genzana, GEN), where black pine encroachment is widespread (Tables 1 and  
147 2; supporting information, Figure A1). We selected the sampling sites after examining and  
148 interpreting aerial photographs and then carried out field visits. Selected sites fulfilled three  
149 requirements: i) the presence of a timberline located over 1500 m; ii) the existence of mountain  
150 peaks with elevation higher than 2000 m a.s.l. and iii) the potentiality of the upward shift up to the  
151 mountain peak without geomorphological constrains. Field data was collected between 2013 and  
152 2015 within altitudinal transects (width 50 m and variable length) running from the timberline up to  
153 the uppermost black pine tree (Figure 1). All sampled area surfaces range from 2.25 to 4.50 ha  
154 (Table 1). We regarded the timberline as the upper limit of a closed canopy forest (tree cover > 50  
155 %), either broadleaf natural forest or conifer plantation, and the treeline as the highest elevation  
156 where we found trees with height > 2 m. All study sites were included in different types of  
157 protected areas: BOV is located in the Sibillini Mountains National Park, OCR in the Mount Ocre-  
158 Acquazzese State Forest, MOR in the Majella National Park, and GEN in the Natural Reserve of  
159 Mts. Genzana and Alto Gizio.

160

Site	Latitude (N°)	Longitude (E°)	Timberline elevation (m a.s.l.)	Treeline elevation (m a.s.l.)	Slope aspect	Mean slope angle (%)	Surveyed surface area (ha)
BOV	42° 54'	13° 11'	1715	1809	SW	49	3.60
OCR	42° 15'	13° 27'	1635	1708	NE	54	2.25
MOR	42° 06'	13° 57'	1542	1900	SW	32	4.50
GEN	41° 56'	13° 53'	1705	2016	SW	30	4.50

161



162 **Table 1.** Main features of the study sites. *Timberline* is the upper limit of a closed canopy forest (tree cover >  
163 50 %). *Treeline* is the altitude of the upper sampled tree with a height  $\geq 2$  m. The growing season length was  
164 set from May to September.

165



166

167 **Figure 1.** Upward encroachment of European black pine (*Pinus nigra*) trees at Mt. Bove site (BOV). A pine  
168 plantation acting as seed source is visible on the left. .

169

170 Livestock grazing, especially by sheep, cows, and more recently horses, was the most  
171 important human-induced pressure at all four sites. Grazing intensity has decreased significantly  
172 over the last 40 years in the study region (Santilocchi and D'Ottavio, 2005). Past uncontrolled  
173 intensive grazing caused widespread slope erosion that required extensive pine plantations between  
174 the 1950s and 1970s. Today, less disturbed treeline ecotones are formed by forests and woodlands  
175 of European beech (*Fagus sylvatica* L.) along the Apennines, and by species-rich dry grasslands  
176 dominated by *Sesleria*, *Bromus* and *Festuca* species (Halada et al. 2011). All study areas are mainly

177 located on calcareous bedrock. They share a temperate oceanic climate (Rivas-Martinez et al. 2004)  
 178 with a Mediterranean influence characterized by summer drought downwards and the main  
 179 precipitation peaks in spring and autumn. Summer precipitation is not a limiting factor for treeline  
 180 shift since it increases upwards as in other mountain chains (Körner 2012). Snowfall is more  
 181 common and abundant in late winter to early spring, especially from February to the end of March  
 182 (De Bellis et al. 2010). The mean growing season (May to September) temperatures at the four sites  
 183 are 11-13 °C (SD  $\pm$  3 °C), with extreme mean values ranging from 7° to 16 °C.

184

## 185 2.2 Field sampling

186 We mapped 429 *Pinus nigra* trees that had encroached above the timberline with a Trimble Pro 6H  
 187 GPS antenna (Trimble Inc., Sunnyvale, USA). A post-processing differential correction through  
 188 Pathfinder Office 4.2 software was performed with a 0.5-m estimated accuracy. We measured basal  
 189 stem diameter, total height and counted the number of cones for each individual pine (Table 2). To  
 190 characterize the regeneration niche of seedlings and saplings, we quantified the percentage cover of  
 191 microhabitat types (rock, debris, grass and shrub) within a circular plot with a 0.5 m radius around  
 192 each pine stem. The age of all the trees with a basal stem diameter  $\geq$ 4 cm was determined after the  
 193 extraction of one basal increment core. For trees with a basal stem diameter < 4 cm we counted the  
 194 number of annual internodes (terminal bud scars) along the main stem to estimate their age  
 195 (Camarero and Gutiérrez 1999). We classified trees as seedlings (tree height < 2 m) and saplings  
 196 (height  $\geq$  2 m), respectively.

197

Site	No. trees	Tree density (N° ha <sup>-1</sup> )	No. sampled cores	Trees with cones (%)	Seedlings / saplings (%)	Mean basal diameter $\pm$ SD (cm)	Mean height $\pm$ SD (m)	Mean age $\pm$ SD (years)
BOV	228	63	71	4	87 / 13	6 $\pm$ 7	1.09 $\pm$ 1.36	10 $\pm$ 5
OCR	39	17	23	26	77 / 23	9 $\pm$ 7	1.41 $\pm$ 1.23	16 $\pm$ 6
MOR	113	25	84	23	54 / 46	11 $\pm$ 6	1.81 $\pm$ 1.03	16 $\pm$ 5

198

199 **Table 2.** Main structural variables of the sampled black pine (*Pinus nigra*) trees that had encroached the four  
200 study sites. Seedlings are trees with height  $\leq 2$  m; saplings are trees with height  $\geq 2$  m.

201

202 We estimated the competition index for each sampled tree by calculating a distance-  
203 dependent competition index at the individual scale, taking into account the number and size of the  
204 neighboring competitors and their distance to the focal tree (Hegyí, 1974). We calculated the  
205 competition index as the sum of the diameter quotients obtained for all the neighboring trees located  
206 within a 2-m radius from the focal tree, divided by the distance between focal trees and  
207 neighborhoods. We corrected edge effects by omitting trees with distances from the plot limits of  
208 lower than 2 m from the calculation.

209

### 210 *2.3 Topographic, climatic and microsite ground-cover data*

211 Topographic variables were extracted from the 10-m-resolution DEM for the Italian territory  
212 (Tarquini et al. 2012) for each pixel covering the mapped altitudinal transects. Specifically, we  
213 calculated: i) the slope; ii) the north-eastness index with values ranging between  $-1$  (sunniest  
214 exposure) and  $+1$  (shadiest exposure) and iii) the plan curvature index perpendicular to the  
215 maximum slope and ranging from  $-1$  (concave) to  $+1$  (convex). Mean monthly temperatures for the  
216 period 1950-2015 were obtained using the procedure reported in Carturan et al. (2016) and in  
217 Brunetti et al. (2012). In the field, we assessed the seedling and saplings ground-cover, according to  
218 four cover types: grass, shrub, debris, and bare rock. We compared these ground-cover proportions  
219 in each site, to detect significant differences, considering that saplings can have a higher influence  
220 on the ground vegetation than seedlings, during their growing process.

221

### 222 *2.4 Growth data*

223 We collected 213 basal cores from trees located at four treeline ecotones (Table 2). Cores were  
224 mounted and glued on wooden supports, and then thoroughly polished with progressively finer  
225 sandpaper until the tree rings were clearly visible. We used the semi-automatic LINTAB system  
226 and WinTSAP (Rinntech, Heidelberg, Germany) to measure tree-ring width at 0.01 mm precision.  
227 Most of the ring-width series were too short (< 30 years), and did not allow for a robust statistical  
228 verification but only visual crossdating. Tree-ring widths were converted into basal area increments  
229 (BAI) since this variable reflects growth changes more accurately (Biondi and Qeadan, 2008).

230

### 231 *2.5 Statistical analyses*

232 We used  $\chi^2$  tests to compare seedlings and saplings microsites at each site. We used Generalized  
233 Linear Models (GLM) to predict the probability of cone production as a function of several relevant  
234 variables (stem diameter, tree height and age). The goodness of fit of the GLM models was assessed  
235 using McFadden's pseudo- $R^2$  values (Venables and Ripley 2002).

236 We fitted linear mixed-effect models (LME) to radial growth data at each site considering  
237 pine trees as random factors. We applied the autoregressive process (AR(1)) which describes the in-  
238 tree correlation structure of radial growth which was quantified as basal area increment. We  
239 considered the following as fixed factors in the LMEs: basal diameter, year, elevation, ground  
240 curvature, competition index and mean spring monthly temperatures (March April and May) that  
241 can play a crucial role at the beginning of the growing season. We ranked all the potential models  
242 according to the Akaike Information Criterion (AIC) and then selected the most parsimonious  
243 models showing the lowest AIC value (Burnham and Anderson, 2002). We also used the Akaike  
244 weights ( $W_i$ ) of each model to measure the conditional probability of the candidate model assuming  
245 it was the best one. We assessed the fit of the models by graphical examination of the residual and  
246 fitted values (Zuur et al. 2010).

247 Finally, we fitted negative binomial GLMs to predict the number of pines (the density of  
248 pines in 100 m<sup>2</sup> subplots) as a function of four topographic variables (elevation difference from

249 plantation, slope, north-eastness and curvature indices). These models were ranked according to  
250 their AIC values and we selected the best ones (Burnham and Anderson, 2002). Then we applied  
251 the Akaike weights ( $W_i$ ) to each model (Zuur et al., 2010).

252 All statistical analyses were run using the R package (R Core Team 2016). Model selection  
253 was performed using the MuMIn package (Barton, 2013). The ‘lme’ function of the *nlme* package  
254 was used to fit the LMEs (Pinheiro et al., 2016). The ‘glm.nb’ function of the *mass* package was  
255 used to fit the GLMs (Venables and Ripley 2002).

256

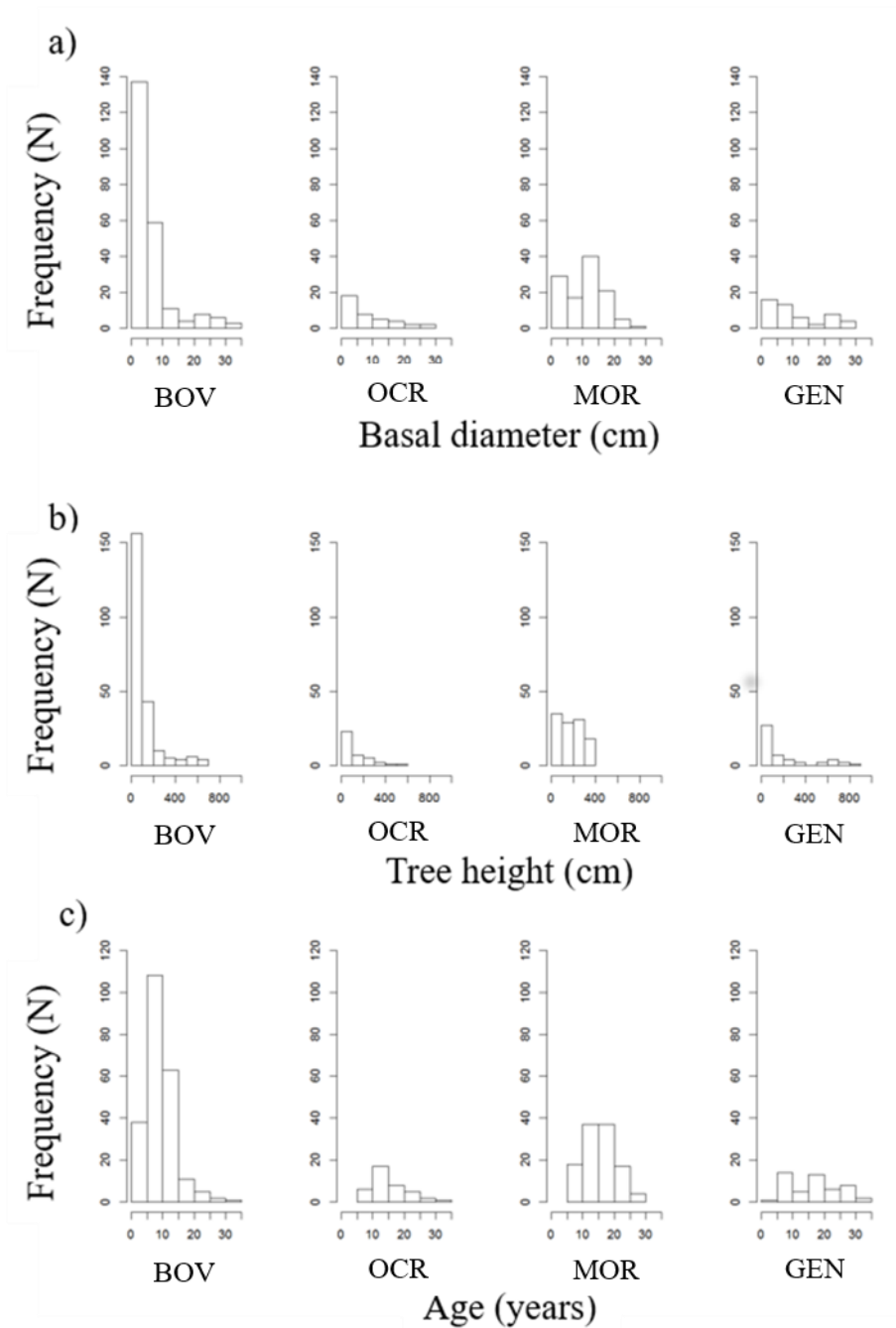
### 257 **3. Results**

#### 258 *3.1 Size and age of treeline trees*

259 Mean timberline elevation was 1650 m and ranged between 1542 m (MOR) and 1715 m a.s.l.  
260 (BOV), whilst the mean treeline elevation was 1858 m and ranged between 1708 m (OCR) and  
261 2016 m (GEN) (Table 1). Black pine colonization across the treeline ecotone was scattered since  
262 tree density showed a wide range of values, from 11 to 63 trees ha<sup>-1</sup> (Table 2). On average, these  
263 recruited pines corresponded to 71% and 29% of seedlings and saplings, respectively. Overall, the  
264 average tree size was small, with lower values at the BOV site and higher values at the GEN site.  
265 The mean basal diameter was 9 cm, the mean height was 1.60 m, and the mean age was 15 years  
266 (Table 2). Most sampled trees had basal diameters of lower than 15 cm and heights of lower than 2  
267 m (Figure 2). On average, trees took 10-15 years to reach a height of 2 m (Supporting Information,  
268 Figure A2). Modal age values varied between 5 and 20 years. The oldest trees suggested that  
269 colonization started at least 30 years ago (1985–1990).

270

271



272

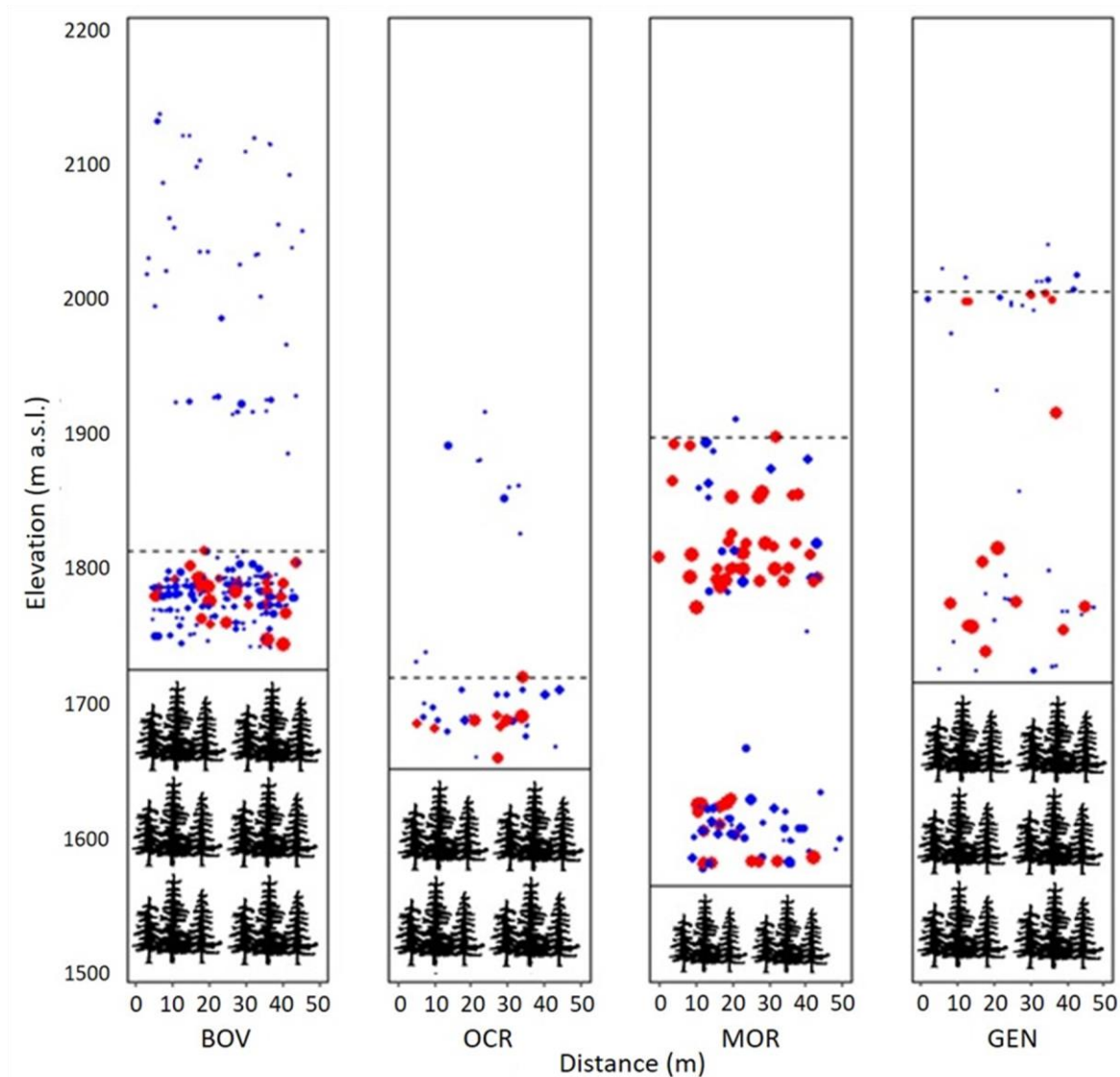
273 **Figure 2.** Frequency distribution of basal diameter (a), tree height (b) and estimated age (c) of the treeline  
 274 black pines (*Pinus nigra*) sampled at each of the four study sites.

275

### 276 3.2 Treeline structure and regeneration niche

277 Tree density decreased with altitude and distance from the pine plantations at most of the sites  
 278 (Figure 3), but tree height and age did not. A negative correlation of tree height with altitude was  
 279 observed only at BOV ( $r = -0.22, p < 0.05$ ). On the other hand, there were significant and positive

280 correlation values between altitude and tree height and age at the MOR site (both variables  $r = 0.36$   
281  $p < 0.05$ ). Generally, the pine encroachment pattern across the treeline ecotones was not spatially  
282 structured in cohorts, but some clustered patterns were detected at 200 m intervals. These spots with  
283 higher tree density occur usually with increasing slope and consequently debris cover. Whereas in  
284 moderate slopes the grass-cover treeless areas increased.  
285



286  
287 **Figure 3.** Mapped point patterns of black pine individuals at the four Apennines treeline ecotones (see  
288 Tables 1 and 2 for site characteristics). Blue dots are seedlings (tree height < 2 m) and red dots are saplings  
289 (height  $\geq 2$  m.). The dot scale size is proportional to the tree height. Solid and dashed lines correspond to the  
290 current timberline and treeline positions, respectively (*sensu* Körner 2012).

291

292 The negative binomial GLMs fitted to tree density showed that this variable decreased as the  
293 elevation and distance to the pine plantation increased at all sites but at GEN (Table 3). A steeper  
294 slope was also positively linked to tree encroachment at the BOV and MOR sites, whilst a higher  
295 north-eastness index (cooler conditions) was negatively related to tree density at the BOV and GEN  
296 sites.

Site	Parameters	<i>Wi</i>
BOV	- 8.48 Pla + 4.96 Slo - 2.41 NE	0.44
OCR	- 4.29 Pla °°	0.34
MOR	+ 2.89 Slo - 1.88 Pla	0.26
GEN	- 2.37 NE	0.26

297

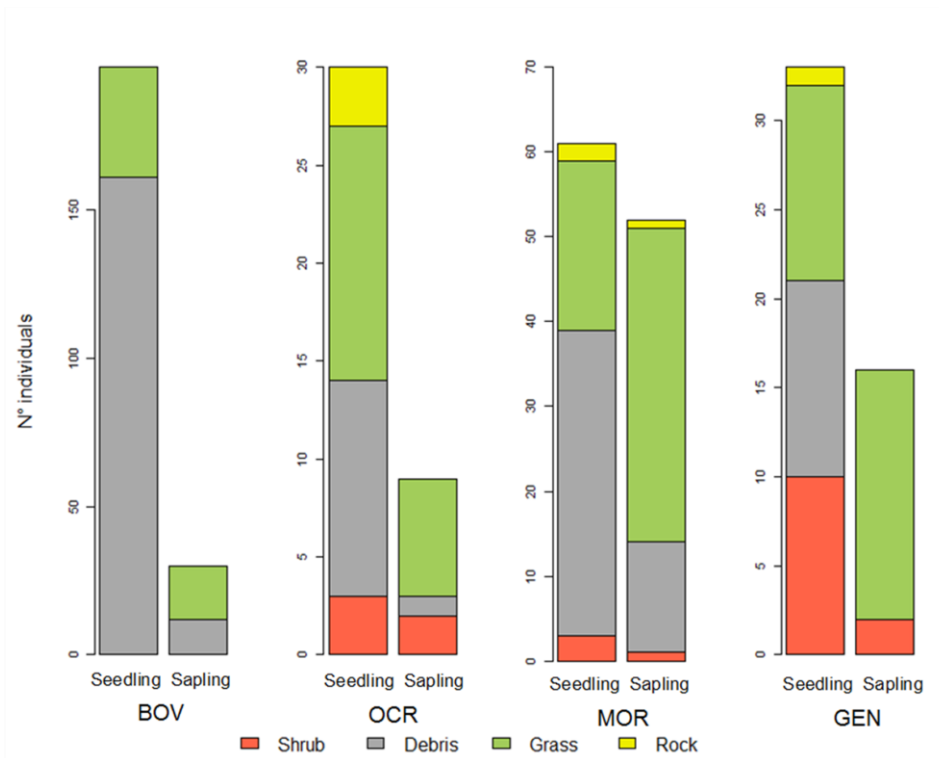
298 **Table 3.** Summary of the negative binomial generalized linear models fitted to black pine density as a  
299 function of topographic variables (elevation, slope, north-eastness index). The last column shows the relative  
300 Akaike weights (*Wi*). Variable abbreviations: Pla, elevation difference from pine plantation; Slo, slope; NE,  
301 north-eastness index; °°, not-significant parameters

302

303 We detected significant differences in ground cover types of seedlings and saplings at all  
304 sites ( $\chi^2 = 13.41 - 22.10$ ,  $p < 0.01$  in all cases), except for the OCR site ( $\chi^2 = 3.95$ ,  $p = 0.27$ ). Most  
305 mapped seedlings were found on debris microsites, whereas most saplings were found on grass  
306 microsites (Figure 4). The most relevant difference between seedlings and saplings microsites was  
307 the very lower proportion of debris cover in saplings sites.

308





309

310 **Figure 4.** Number of black pine recruits sampled at each treeline ecotone (BOV, OCR, MOR and GEN sites)  
 311 and classified as a function of their height (seedling and sapling; see Figure 3) and microsite types (shrub,  
 312 debris, grass and rock).

313

### 314 3.3 Growth trends

315 Basal-area increment (BAI) data showed high recent growth rates ( $5-10 \text{ cm}^2 \text{ yr}^{-1}$ ), and narrow rings  
 316 were formed one year later in response to the warm-dry summer conditions observed in 2003, 2007  
 317 and 2011 (Supporting Information, Figure A3). LMEs fitted to BAI data showed that tree age and  
 318 basal diameter were the most important predictors of growth, whereas elevation and mean spring  
 319 temperatures played a minor role (Table 4). Neither the curvature nor the competition indices were  
 320 selected as growth predictors in any of the best-fitted models.

321

Site	Parameters	$W_i$
BOV	18.66 Age + 3.45 Diam + 2.86 Tm	0.77
OCR	7.60 Age + 3.45 Diam + 2.86 Tm	0.79
MOR	14.99 Age + 9.73 Diam	0.78

GEN      12.95 Diam + 9.82 Age + 3.73 Tm      0.80

---

322

323 **Table 4.** Summary of the linear mixed-effect models of tree growth (basal area increment) fitted as a  
 324 function of site, topographic variables (elevation and curvature index), tree variables (age, basal diameter,  
 325 and competition index), and climate variables. All regression intercepts are significant. The last column  
 326 shows the relative Akaike weights ( $W_i$ ). Variable abbreviations: Age, age of tree; Diam, basal diameter; Tm,  
 327 mean spring temperature (March, April and May). Variables not included in the best-fitted models: Elev,  
 328 elevation; Ci, Competition index; Curv, Curvature index.

329

330 *3.4 Production of cones by treeline trees*

331 At each site, 19% of trees produced cones (Table 2). All sites showed common tree size and age  
 332 characteristics when they reached a 50% probability of producing cones: 15–25 cm of basal  
 333 diameter, 2-5 m of tree height, and 15–25 years in age (Supporting Information, Figure A4). The  
 334 GLMs of cone production showed higher pseudo- $R^2$  values with basal diameter ( $R^2 = 0.38–0.55$ ) or  
 335 height ( $R^2 = 0.37–0.75$ ) as predictors rather than using age ( $R^2 = 0.12–0.47$ ) (Table 5).

336

McFadden's pseudo- $R^2$				
Site	Degrees of freedom	Basal diameter	Height	Age
BOV	226	0.47	0.37	0.32
OCR	37	0.49	0.59	0.12
MOR	111	0.38	0.34	0.16
GEN	47	0.55	0.75	0.47

337

338 **Table 5.** Results of the Generalized Linear Models (GLMs) applied for the prediction of cone production by  
 339 black pine at the four study sites (BOV, OCR, MOR and GEN) as a function of tree basal diameter, height  
 340 and age.

341

342 **4. Discussion**

343 We described the natural ascent of human-shaped treelines in the central Apennines. Black pine is  
344 expanding upwards in formerly treeless areas, particularly on steep slopes and where seed  
345 availability depends on downslope pine plantations. The irregular structures found at all sites  
346 could also be affected by un-stationary mortality caused by climate extreme events, e.g. frosts,  
347 winter drought (Camarero et al. 2015, Barros et al. 2017), or other factors such as pathogens or  
348 mass wasting processes (e.g. rock fall, debris flow, land slide). We observed a patchy pattern  
349 (Figure 4) of treeline shift driven by both changes in microtopography and availability of suitable  
350 regeneration sites for black pine. Our results show that most tree seedlings were located on debris  
351 ground-cover and steeper slopes, avoiding grass competition. The relative lower number of trees on  
352 flat and grass-cover areas could be a consequence of herbs competition and snow accumulation on  
353 late winter, particularly in convex areas (Tremblay and Chuman 2005). However, recruits that  
354 overcome grass competition on less steep sites grew successfully, as confirmed by the abundance of  
355 saplings on those sites. Indeed, debris microsites on steeper slopes most frequently hosted pine  
356 seedlings (Figure 3), and tree encroachment was also favored at shorter distances from the pine  
357 plantations (Table 4). Herbaceous vegetation, which is often dominant at many treeline ecotones,  
358 exerts an important and mostly limiting impact on the establishment of tree seedlings (Loranger et  
359 al. 2017). Studies on Scots pine (*Pinus sylvestris*) encroachment in Mediterranean mountain  
360 grasslands showed that the physical barrier created by the herbaceous layer could hamper pine  
361 regeneration and limit potential forest expansion (Castro et al. 2002). Bare soil or very sparse  
362 vegetation are favourable substrates for pine recruitment (Loranger et al. 2017). Sites with  
363 prevailing shrub cover and rocky outcrops were considered safe for establishment and development  
364 of black pine (Piermattei et al. 2016) and for mountain pine (*Pinus uncinata*) recruitments  
365 (Camarero and Gutiérrez 2007, Batllori et al. 2009). Abrupt treeline physiognomy and a decreasing  
366 number of new trees (but no changes in height or age) as elevation increases are typical features of  
367 anthropogenic treelines (Batllori and Gutiérrez 2008).

368 These results compare well with other treelines that have been studied in the central Apennines,  
369 where the density of newly established trees decreased with elevation (Piermattei et al. 2016).

370 The general patchy pattern of the pine distribution observed at about every 200 linear meters  
371 does not imply the formation of spatially segregated even-aged cohorts. We found different age and  
372 size classes grouped together on the same preferred locations. We did not find any statistical  
373 correlation between elevation and tree age or height, except for the positive values at the MOR site,  
374 where older and taller trees are located at the highest elevations (Figure 2).

375 We observed that tree density decreased with elevation due to the increasing distance of  
376 upslope encroached trees from pine plantations. This fits with a general theory where the shorter the  
377 distance to old trees (the plantations in our case), the higher the reforestation rate (Tasser et al.  
378 2007, Stueve et al. 2011). The higher availability of seeds is the main driver of pine colonization  
379 since it helps propagules ascend higher, and potentially favors the establishment of new pine  
380 individuals. Moreover, the results based on the negative binomial GLMs showed a positive slope  
381 influence on tree density at least at the BOV and MOR sites (Table 3). Similar recruitment patterns  
382 were found in the Southern United States where increased density was found to relate to slope and  
383 proximity to the forest (Coop and Givnish 2007).

384 Our tree growth models showed the dominant role played by tree age and size in determining  
385 growth rates (BAI) at the treeline, whereas spring temperatures had secondary importance.  
386 Elevation, curvature and the competition index were not included in the best-fitted growth models  
387 (Table 4). Tree density was relatively low in the study sites, likely excluding the competition effect  
388 from the best models. The newly established pines are not growth-limited at high elevations  
389 indicating that they have not reached the uppermost climatic threshold for tree growth. We did not  
390 assess the role of wind disturbance and radiation stress on seedling abundance or tree growth even  
391 though they can be additional abiotic constraints at the treeline (McIntire et al. 2016). Linking  
392 changes of grazing intensity and individual tree growth is a big challenge since such historical  
393 pastoral records are often lacking at local to regional scales, but their availability would allow more

394 precise testing of the impact of land-use changes on forest growth at high elevation and treeline  
395 dynamics.

396 The fecundity of Mediterranean pines like black pines is particularly important considering  
397 that they are significant pioneering and/or invasive species across many world regions (Richardson  
398 and Rejmánek 2004). Our results showed that tree height or stem diameter were better predictors of  
399 cone production than tree age (Table 5), and that similar thresholds for becoming reproductive  
400 individuals occurred at all sites: ca. 15 cm of basal diameter, ca. 2 m of height and about 15 years of  
401 age (Supporting Information, Figure A4). This agrees with Isajev et al. (2004) indicating that black  
402 pine maturity is reached at 15 years old. We did not assess either seed production or seed viability  
403 which can be relevant variables in the understanding of treeline encroachment since slow-growing  
404 treeline standing trees or krummholz may form cones, but with few or no viable seeds (Camarero et  
405 al. 2017). Generally, trees release many more seeds when conditions are dry and windy, potentially  
406 increasing the proportion of long-distance dispersal events (Coutts et al. 2012). A comparison  
407 showed that non-native black pine populations had a higher net reproductive rate and higher  
408 effective dispersal than native Scots pine, leading to a rapid expansion of black pine into grasslands  
409 (Debain et al., 2007). If recently encroached trees overcome bottlenecks related to seed viability, the  
410 future of these anthropogenic treeline ecotones will depend on the future dynamics of successful  
411 high-density tree groups or clustered islands. These trees growing on debris-rich and steep slope  
412 sites may become new seed sources and catalyze the recruitment process, increasing the annual seed  
413 production at closer distances.

414 The establishment of trees into treeless, high-elevation areas could become widespread in  
415 many European mountains where there has been a consistent decline in human land-use and  
416 traditional agro pastoral practices (MacDonald et al. 2000, Chauchard et al. 2007, Gellrich et al.  
417 2007), combined with the absence of relevant geomorphological constraints (Leonelli et al. 2011).  
418 These tree encroachment and forest re-growth processes may have relevant impacts on ecosystem  
419 processes, influencing biogeochemical cycles, carbon sequestration and cycling, soil properties and

420 ecohydrological processes (Rundel et al. 2014). These successional processes could lead to  
421 biodiversity problems since they can cause significant long-term declines in plant diversity in  
422 species-rich calcareous grasslands (Dullinger et al. 2003). On the other hand, tree encroachment  
423 could improve protection against landslides and avalanches (Holtmeier and Broll 2005). We need a  
424 better characterization and understanding of these encroachment processes to predict the pace and  
425 pattern of human-shaped treeline rebuilding.

426

## 427 **5. Conclusions**

428 We investigated the patterns and processes of the recent encroachment of black pine cohorts taking  
429 place at various anthropogenic treelines in the Central Apennines due to the abandonment of  
430 traditional land-uses. Treeline encroachment was mainly driven by microsite topography and the  
431 presence of nearby seed sources (pine plantations). Overall, the high growth rates found at high  
432 elevation and the rapid and often clustered encroachment patterns indicate that these human-shaped  
433 treelines can also respond quickly to the future global change.

434

## 435 **Acknowledgements**

436 We wish to thank the following institutions and people: Monti Sibillini National Park, Sirente-  
437 Velino Regional Park, Majella National Park, for providing authorization and logistic support;  
438 Giampiero Ciaschetti, Francesca Lallo, Matteo Cerioni for field sampling and laboratory assistance;  
439 Michele Brunetti for providing the climatic dataset; Angela Sánchez-Miranda and Antonio Gazol  
440 for analysis support; Patricia Crotty ([www.expressolanguageservices.it](http://www.expressolanguageservices.it)) for English language  
441 revision. We also thank two anonymous reviewers for their constructive comments during the  
442 revision process.

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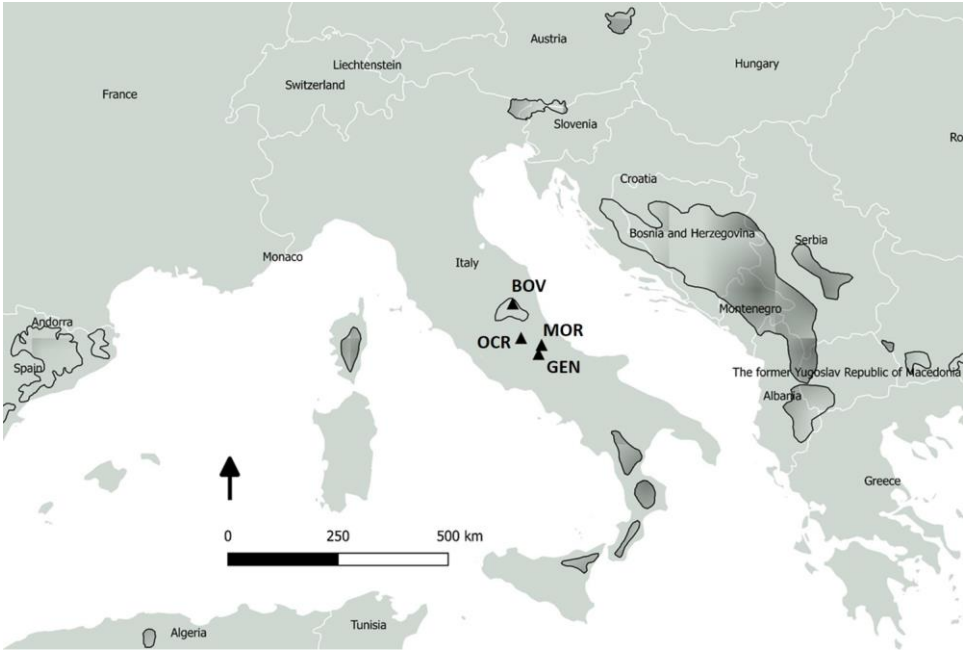
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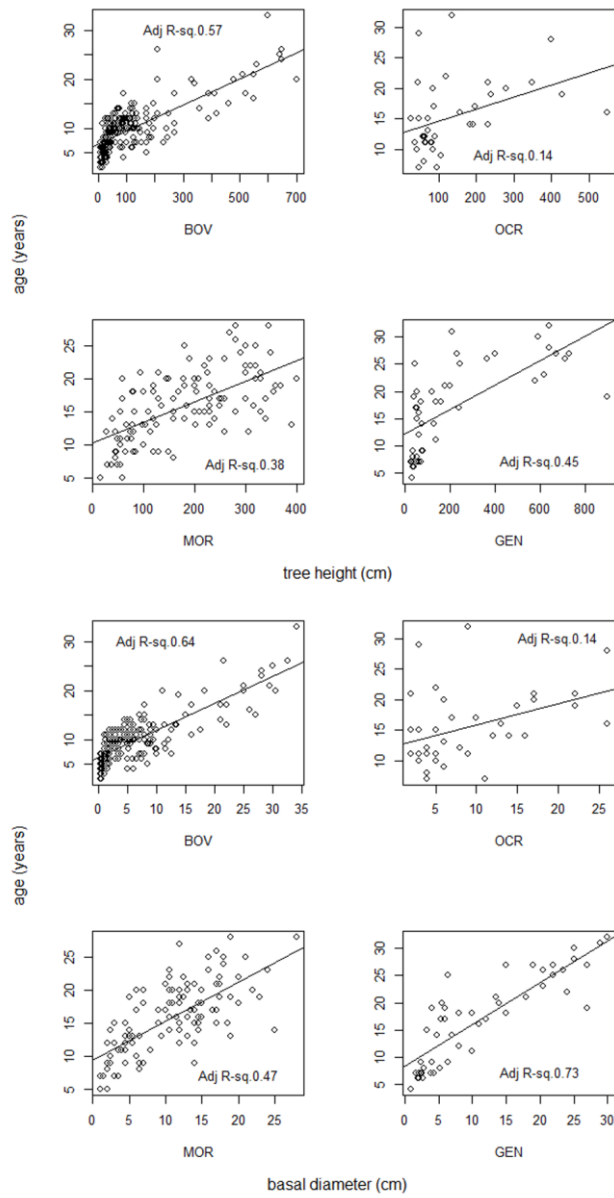
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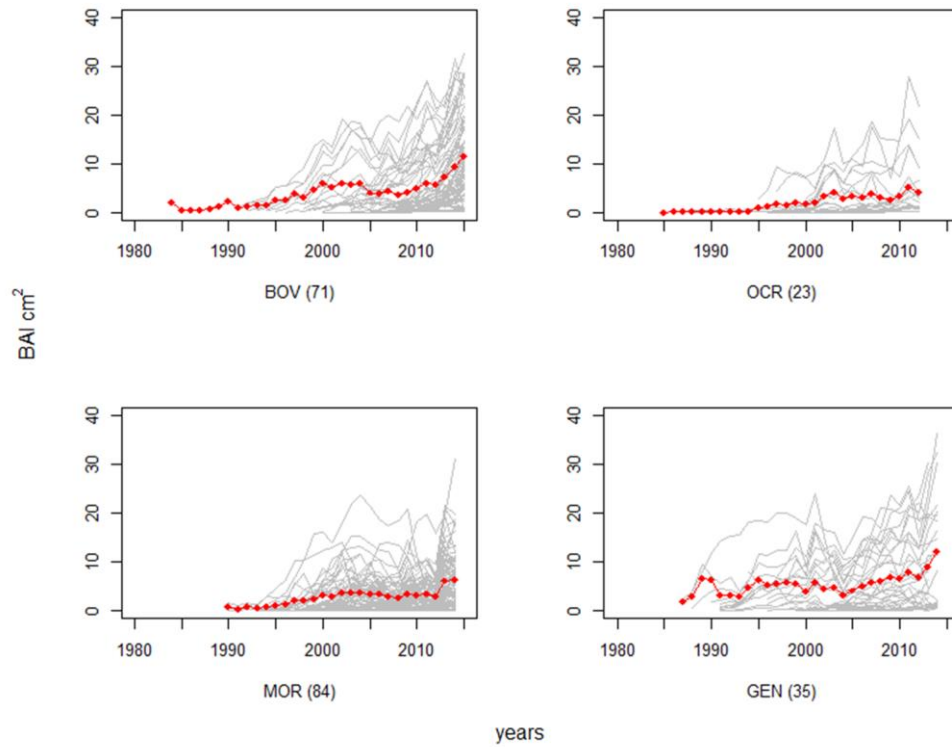
**Supporting Information - Appendix A**



**Figure A1.** Natural distribution range of European black pine (*Pinus nigra* and sub-species) in the circum-mediterranean areas (black contours); locations of the four study treeline sites at the central Apennines, Italy (black triangles).

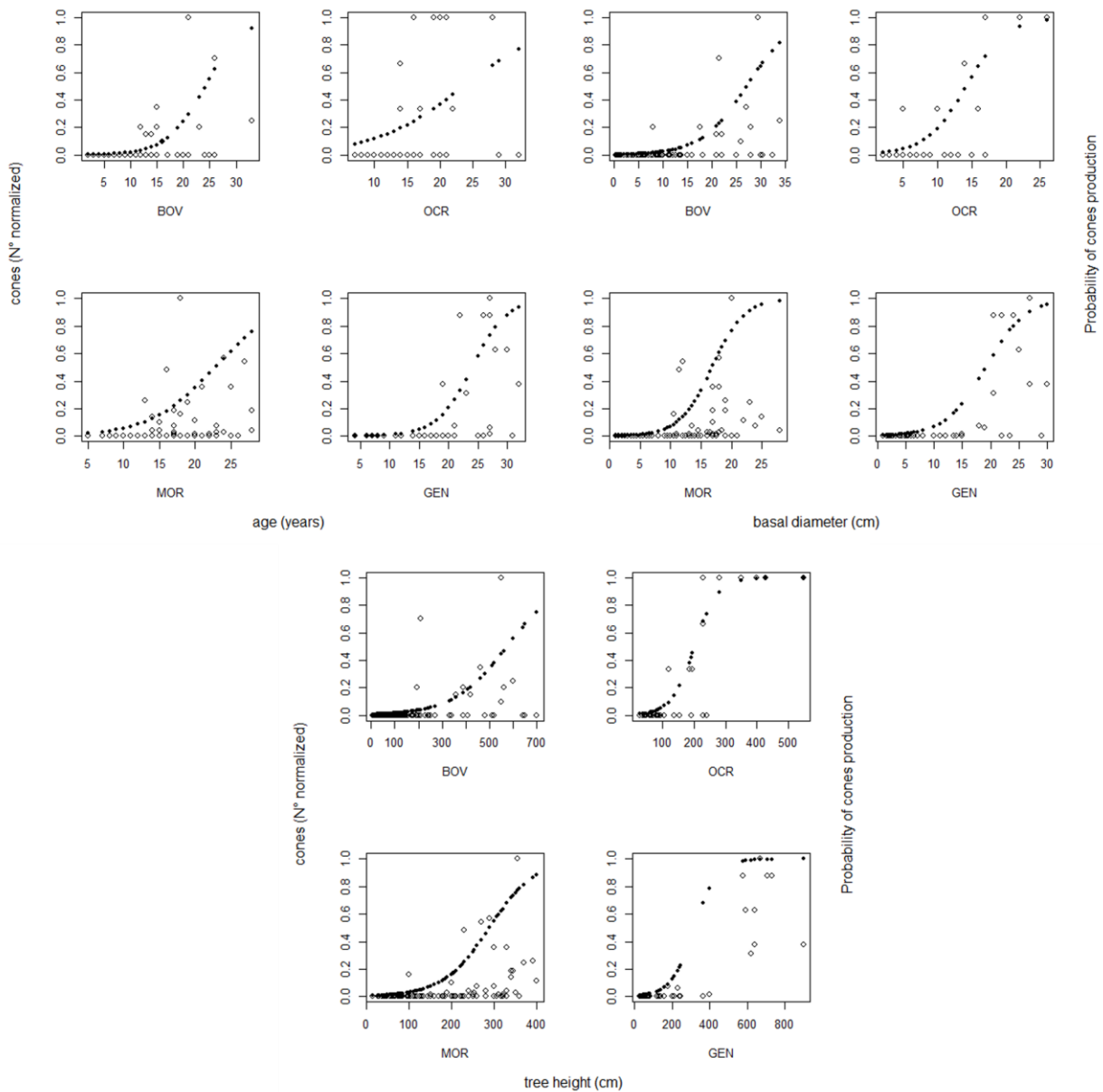


**Figure A2.** Adjusted  $R^2$  values and linear regressions calculated for models of tree age as a function of basal diameter and tree height in the four black pine treeline sites.



**Figure A3.** Radial-growth trends of black pine (*Pinus nigra*) at the four treeline sites expressed as basal area increment (BAI). Grey lines are individual series and red lines are the means of each. The numbers in brackets on the x-axis label are the number of analyzed cores at each site.





**Figure A4.** Generalized Linear Models (GLMs, black symbols) fitted to cone numbers (normalized values) to predict the probability of cone production in treeline black pine trees as a function of tree age, basal diameter and height.